



Collaborative Delivery Framework

Embankment Rd. Refurbishment SOC-OBC - JBA

Jeremy Benn Associates Ltd

Professional Service Contract

Framework: Supplier: Company Number:

Geographical Area: Project Name: Project Number:

Contract Type: Option:

Contract Number:

35609

Stage:

SOC_to_OBC

Option C

03246693

South East

ENV003238C

Revision	Sta	itus	Origi	nator	Revi	ewer	Date	

PROFESSIONAL SERVICE CONTRACT under the Collaborative Delivery Framework CONTRACT DATA

Project Name Embankment Rd. Refurbishment SOC-OBC - JBA

Project Number ENV003238C

This contract is made on 09 May 2022 between the *Client* and the *Consultant*

- This contract is made pursuant to the Framework Agreement (the "Agreement") dated 01st day of April 2019 between the *Client* and the *Consultant* in relation to the Collaborative Delivery Framework. The entire agreement and the following Schedules are incorporated into this Contract by reference
- Schedules 1 to 22 inclusive of the Framework schedules are relied upon within this contract.
- The following documents are incorporated into this contract by reference EmbankmentRd_NGSA SOC-OBC Scope_Ver1.6

Part One - Data provided by the *Client* Statements given in all Contracts

1 General

The conditions of contract are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017.

Main	Option C
Option	Option C

Option for resolving and avoiding disputes

and W2

Secondary Options

X2: Changes in the law

X7: Delay damages

X9: Transfer of rights

X10: Information modelling

X11: Termination by the *Client*

X18: Limitation of liability

X20: Key Performance Indicators

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

Z: Additional conditions of contract

The *Client* is

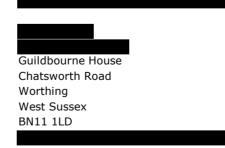
Address for communications

Environment Agency

Horizon House Deanery Road Bristol BS1 5AH

Address for electronic communications

The *Service Manager* is Address for communications



Address for electronic communications

The Scope is in EmbankmentRd_NGSA SOC-OBC Scope_Ver1.6

The language of the contract is English

The *law of the contract* is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

The period for reply is 2 weeks

The period for retention is

6 years following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than

2 The Consultant's main responsibilities

	The <i>key dates</i> and <i>conditions</i> to be met are <i>conditions</i> to be met 'none set' 'none set' 'none set'	<i>key date</i> 'none set' 'none set' 'none set'
	The <i>Consultant</i> prepares forecasts of the total Defined Cost plus Fee and <i>expenses</i> at intervals no longer than	4 weeks
3 Time	The starting date is	09 May 2022
	The <i>Client</i> provides access to the following persons, places and thin access ASite SharePoint Fast Draft	ngs <i>access date</i> 16 May 2022 16 May 2022 16 May 2022
	The <i>Consultant</i> submits revised programmes at intervals no longer than	4 weeks
	The <i>completion date</i> for the whole of the <i>service</i> is	14 June 2023
	The period after the Contract Date within which the <i>Consultant</i> is to submit a first programme for acceptance is	4 weeks
4 Quality management		

4 Quality management

The period after the Contract Date within which the <i>Consultant</i> is to submit a quality policy statement and quality plan is	4 weeks
The period between Completion of the whole of the <i>service</i> and the <i>defects date</i> is	26 weeks

5 Payment

The currency of the contract is the £ sterling				
The assessment interval is	Monthly			
The Client set total of the Prices is	£430,254.00			
The expenses stated by the Client are as stated in Schedule 9				

The interest rate is 2.00% per annum (not less than 2) above the Base rate of the Bank of England

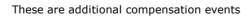
The locations for which the Consultant provides a charge for the cost of support people and office overhead are

All UK Offices

2 weeks

If Option C is used	The <i>Consultant's</i> s	share percentages	s and the sh	are ranges are:		
		share range			Consultant's share percentage	
	less than		80 %		0	%
	from	80 %	to	120 %	as set out in Sc	hedule 17
	greater than		120 %		as set out in Sc	hedule 17

6 Compensation events



- 1. 'not used'
- 2. 'not used'
- 3. 'not used'
- 4. 'not used'
- 5. 'not used'

8 Liabilities and insurance

These are additional *Client's* liabilities

- 1. 'not used'
- 2. 'not used'
- 3. 'not used'

The minimum amount of cover and the periods for which the Consultant maintains insurance are

	EVENT	MINIMUM AMOUNT OF COVER	PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE SERVICE OR TERMINATION		
	The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>service</i>	£5,000,000 in respect of each claim, without limit to the number of claims	12 years after Completion		
	Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i>) arising from or in connection with the <i>Consultant</i> Providing the Service	£15,000,000 in respect of each claim, without limit to the number of claims			
	Death of or bodily injury to the employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract	Legal minimum in respect of each claim, without limit to the number of claims	For the period required by law		
	The <i>Consultant's</i> total liability to the <i>Client</i> for all matters arising under or in connection with the contract, other than the excluded matters is limited to	£5,000,000			
Resolving and avoiding disputes					
	The <i>tribunal</i> is litigation in	the courts			
	The <i>Adjudicator</i> is Address for communication	S	'to be confirmed' 'to be confirmed'		
	Address for electronic comm	nunications	<u>'to be confirmed'</u>		

The Adjudicator nominating body is

The Institution of Civil Engineers

Z Clauses

Z1 Disputes Delete existing clause W2.1

Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replaced by: The *service* is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
 Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
 Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

Add the following in second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).

Add the following additional bullets after 'and the cost of ' :

• Mistakes or delays caused by the Consultant's failure to follow standards in Scopes/quality plans

• Reorganisation of the Consultant's project team

• Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats

• Exceeding the Scope without prior instruction that leads to abortive cost

• Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors

• Production or preparation of self-promotional material

• Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)

• Any hours exceeding 8 per day unless with prior written agreement of the Service Manager

• Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the *Service Manager*

• Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the Service Manager

• Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance

• Costs associated with rectifications that are due to Consultant error or omission

• Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement

• Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements

• Was incurred as a result of the *Client* issuing a Yellow or Red Card to prepare a Performance Improvement Plan

• Was incurred as a resulting of rectifying a non-compliance with the Framework Agreement and/or any call off

Z4 Share on termination

Delete existing clause 93.3 and 93.4 and replace with:

93.3 In the event of termination in respect of a contract relating to services there is no Consultant's share'

Z6 The Schedule of Cost Components

The Schedule of Cost Components are as detailed in the Framework Schedule 9.

Z7 Consultant's share

Delete existing clauses 54 and 93.3 and replace with:

54.1 The *Service Manager* assess the *Consultant's* share of the difference between the Aggregated Total of the Prices and the Aggregated Price for Service Provided to Date.

The difference is divided into increments falling within each of the *share ranges*. The limits of a share range are the Aggregated Price for Service Provided to Date divided by the Aggregated Total of the Prices, expressed as a percentage. The *Consultant's* share equals the sum of the products of the increment within each share range and the corresponding *Consultant's* share percentage.

54.2 If the Aggregated Price for Service Provided to Date is less than the Aggregated Total of the Prices, the *Consultant* is paid its share of the saving. If the Aggregated Price for Service Provided to Date is greater than the Aggregated Total of the Prices, the *Consultant* pays its share of the excess.

54.3 If, prior to the Completion Date, the Price for Service Provided to Date exceeds 110% of the total of the Prices, the amount in excess of 110% of the total of the Prices is retained from the *Consultant*.

54.4 The *Service Manager* makes a preliminary assessment of the *Consultant's* share at Completion of the Whole of the *service* using forecasts of the final Aggregated Price for Service Provided to Date and the final Aggregated Total of Prices. This share is included in the amount due following Completion of the whole of the *services*.

54.5 The Service Manager makes a final assessment of the Consultant's share, using the final Aggregated Price for Service Provided to Date and the final Aggregated Total of the Prices. This share is included in the final amount due. 93.3 If there is a termination except if Z4 applies, the Service Manager assesses the Consultant's share after certifying termination. The assessment uses as the Aggregated Price for Service Provided to Date the sum of • the total of

- the Defined Cost which the *Consultant* has paid and

- which it is committed to pay for work done before termination

and

• the total of

- the Defined Cost which the Consultant or Contractor has paid and

which it is committed to pay

in the *partner contract* before the date the termination certificate is issued under this contract.

The assessment uses as the Aggregated Total of the Prices the sum of

the total of

- the lump sum price for each activity which has been completed and

 a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed

and

• the total of

- the lump sum price for each activity which has been completed and

a properties of the lump our price for each incomplete activity which is the properties of the work in the activ

- a proportion of the lump sum price for each incomplete activity which is the proportion of the work in the activity which has been completed

Add:

- 11.2(25) The Aggregated Total of the Prices is sum of
- the total of the Prices and
- the total of the Prices in the partner contract

11.2(26) The Aggregated Price for Service Provided to Date is the sum of

- the Price for Service Provided to Date and
- the Price for Service Provided to Date or the Price for Work Done to Date in the partner contract.

Z23 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract or programme.

Z24 Requirement for Invoice

Add the following sentence to the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week

of the Service Manager's certificate.

Delete existing clause 51.2 and replace with:

51.2 Each certified payment is made by the later of

• one week after the paying Party receives an invoice from the other Party and

• three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made

Z25 Risks and insurance

The Consultant is required to submit insurances annually as Clause Z4 of the Framework Agreement

Secondary Options

OPTION X2: Changes in the law

The law of the project is the law of England and Wales, subject to the jurisdiction of the courts of England and Wales

OPTION X7: Delay damages

X7 only

OPTION X10: Information modelling

The period after the Contract Date within which the Consultant is to submit a first Information Execution Plan for acceptance is 2 weeks

Delay damages for Completion of the whole of the service are

OPTION X18: Limitation of liability

The Consultant's liability to the Client for indirect or consequential loss is limited to

The Consultant's liability to the Client for Defects that are not found until after the defects date is limited to

The end of liability date is	6 years	after the
Completion of the whole of the service		

OPTION X20: Key Performance Indicators (not used with Option X12)

The incentive schedule for Key Performance Indicators is in Schedule 17

A report of performance against each Key Performance Indicator is provided at intervals of

3 months

£1,000,000

£5,000,000

£161.79

per day

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

The period for payment is 14 days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties Act) 1999

term

beneficiary

Part Two - Data provided by the Consultant

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *Consultant* is Name

Jeremy Benn Associates Ltd

Address for communications

1 Broughton Park Old Lane North Broughton Skipton North Yorkshire BD23 3FD

Address for electronic communications

The fee percentage is

Option C

15.50%

The key persons are



Job Responsibilities Qualifications Experience

Name (6) Job Responsibilities Qualifications Experience

Name (7) Job Responsibilities Qualifications Experience The following matters will be included in the Early Warning Register CEEQUAL Missed information leads to revised design Staff Turnover Delay in programme due to resources at English Nature

3 Time

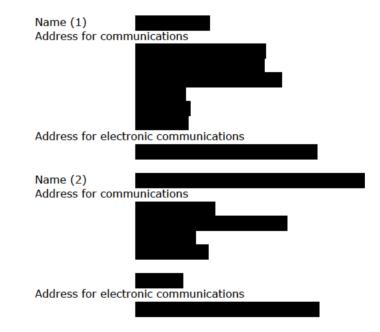
The programme identified in the Contract Data is

5 Payment

The activity schedule is

Resolving and avoiding disputes

The Senior Representatives of the Consultant are



X10: Information Modelling

The *information execution plan* identified in the Contract Data is

Contract Execution

Client execution Signed Underhand by [PRINT NAME]

for and on behalf of the Environment Agency

Consultant execution

Signed Underhand by [PRINT NAME]

for and on behalf of

Jeremy Benn Associates Ltd