



Ministry of Defence

Apache Project Team
Contract No: 700008662
For:

RCEFS and IAFS Framework Agreement

**Between Secretary of State for Defence of
the United Kingdom of Great Britain and
Northern Ireland**

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Standardised Contracting Terms

SC2

GENERAL CONDITIONS

1. General

- a. The defined terms in the Contract shall be as set out in Schedule 1.
- b. The Contractor shall comply with all applicable Legislation, whether specifically referenced in this Contract or not.
- c. The Contractor warrants and represents, that:
 - (1) it has the full capacity and authority to enter into, and to exercise its rights and perform its obligations under, the Contract;
 - (2) from the Effective Date of Contract and for so long as the Contract remains in force it shall give the Authority Notice of any litigation, arbitration (unless expressly prohibited from doing so in accordance with the terms of the arbitration), administrative or adjudication or mediation proceedings before any court, tribunal, arbitrator, administrator or adjudicator or mediator or relevant authority against itself or a Subcontractor which would adversely affect the Contractor's ability to perform its obligations under the Contract;
 - (3) as at the Effective Date of Contract no proceedings or other steps have been taken and not discharged (nor, to the best of the knowledge of the Contractor, threatened) for its winding-up or dissolution or for the appointment of a receiver, administrative receiver, administrator, liquidator, trustee or similar officer in relation to any of its assets or revenues;
 - (4) for so long as the Contract remains in force it shall give the Authority Notice of any proceedings or other steps that have been taken but not discharged (nor to the best of the knowledge of the Contractor, threatened) for its winding-up or dissolution or for the appointment of a receiver, administrator, liquidator, trustee or similar officer in relation to any of its assets or revenues.
- d. Unless the context otherwise requires:
 - (1) The singular includes the plural and vice versa, and the masculine includes the feminine and vice versa.
 - (2) The words "include", "includes", "including" and "included" are to be construed as if they were immediately followed by the words "without limitation", except where explicitly stated otherwise.
 - (3) The expression "person" means any individual, firm, body corporate, unincorporated association or partnership, government, state or agency of a state or joint venture.
 - (4) References to any statute, enactment, order, regulation, or other similar instrument shall be construed as a reference to the statute, enactment, order, regulation, or instrument as amended, supplemented, replaced or consolidated by any subsequent statute, enactment, order, regulation, or instrument.
 - (5) The heading to any Contract provision shall not affect the interpretation of that provision.
 - (6) Any decision, act or thing which the Authority is required or authorised to take or do under the Contract may be taken or done only by the person (or their nominated deputy) authorised in Schedule 3 (Contract Data Sheet) to take or do that decision, act, or thing on behalf of the Authority.
 - (7) Unless excluded within the Conditions of the Contract or required by law, references to submission of documents in writing shall include electronic submission.

2. Duration of Contract

This Contract comes into effect on the Effective Date of Contract and will expire automatically on the date identified in Schedule 3 (Contract Data Sheet) unless it is otherwise terminated in accordance with the provisions of the Contract, or otherwise lawfully terminated.

3. Entire Agreement

This Contract constitutes the entire agreement between the Parties relating to the subject matter of the Contract. The Contract supersedes, and neither Party has relied upon, any prior negotiations, representations and undertakings, whether written or oral, except that this condition shall not exclude liability in respect of any fraudulent misrepresentation.

4. Governing Law

- a. Subject to clause 4.d, the Contract shall be considered as a contract made in England and subject to English Law.
- b. Subject to clause 4.d and 40 (Dispute Resolution) and without prejudice to the dispute resolution process set out therein, each Party submits and agrees to the exclusive jurisdiction of the Courts of England to resolve, and the laws of England to govern, any actions proceedings, controversy or claim of whatever nature arising out of or relating to the Contract or breach thereof.
- c. Subject to clause 4.d any dispute arising out of or in connection with the Contract shall be determined within the English jurisdiction and to the exclusion of all other jurisdictions save that other jurisdictions may apply solely for the purpose of giving effect to this condition 4 and for the enforcement of any judgment, order or award given under English jurisdiction.
- d. If the Parties agree pursuant to the Contract that Scots Law should apply then the following amendments shall apply to the Contract:
 - (1) Clause 4.a, 4.b and 4.c shall be amended to read:
 - “a. The Contract shall be considered as a contract made in Scotland and subject to Scots Law.
 - b. Subject to condition 40 (Dispute Resolution) and without prejudice to the dispute resolution process set out therein, each Party submits and agrees to the exclusive jurisdiction of the Courts of Scotland to resolve, and the laws of Scotland to govern, any actions, proceedings, controversy or claim of whatever nature arising out of or relating to the Contract or breach thereof.
 - c. Any dispute arising out of or in connection with the Contract shall be determined within the Scottish jurisdiction and to the exclusion of all other jurisdictions save that other jurisdictions may apply solely for the purpose of giving effect to this condition 4 and for the enforcement of any judgment, order or award given under Scottish jurisdiction.”
 - Clause 40.b shall be amended to read:

“In the event that the dispute or claim is not resolved pursuant to clause 40.a the dispute shall be referred to arbitration. Unless otherwise agreed in writing by the Parties, the arbitration and this clause 40.b shall be governed by the Arbitration (Scotland) Act 2010. The seat of the arbitration shall be Scotland. For the avoidance of doubt, for the purpose of arbitration the tribunal shall have the power to make provisional awards pursuant to Rule 53 of the Scottish Arbitration Rules, as set out in Schedule 1 to the Arbitration (Scotland) Act 2010.”
- e. Each Party warrants to each other that entry into the Contract does not, and the performance of the Contract will not, in any way violate or conflict with any provision of law, statute, rule, regulation, judgement, writ, injunction, decree or order applicable to it. Each Party also warrants that the Contract does not conflict with or result in a breach or

termination of any provision of, or constitute a default under, any mortgage, contract or other liability, charge or encumbrance upon any of its properties or other assets.

- f. Each Party agrees with each other Party that the provisions of this condition 4 shall survive any termination of the Contract for any reason whatsoever and shall remain fully enforceable as between the Parties notwithstanding such a termination.
- g. Where the Contractor's place of business is not in England or Wales (or Scotland where the Parties agree pursuant to this Contract that Scots Law should apply), the Contractor irrevocably appoints the solicitors or other persons in England and Wales (or Scotland where the Parties agree pursuant to the Contract that Scots Law should apply) detailed in Schedule 3 (Contract Data Sheet) as its agents to accept on its behalf service of all process and other documents of whatever description to be served on the Contractor in connection with any litigation or arbitration within the English jurisdiction (or Scottish jurisdiction where the Parties agree pursuant to this Contract that Scots Law should apply) arising out of or relating to the Contract or any issue connected therewith.

5. Precedence

- a. If there is any inconsistency between the different provisions of the Contract the inconsistency shall be resolved according to the following descending order of precedence:
 - (1) Conditions 1 - 44 (and 45 - 47, if included in this Contract) of the Conditions of the Contract shall be given equal precedence with Schedule 1 (Definitions of Contract) and Schedule 3 (Contract Data Sheet);
 - (2) Schedule 2 (Schedule of Requirements) and Schedule 8 (Acceptance Procedure);
 - (3) the remaining Schedules; and
 - (4) any other documents expressly referred to in the Contract.
- b. If either Party becomes aware of any inconsistency within or between the documents referred to in clause 5.a such Party shall notify the other Party forthwith and the Parties will seek to resolve that inconsistency on the basis of the order of precedence set out in clause 5.a. Where the Parties fail to reach agreement, and if either Party considers the inconsistency to be material to its rights and obligations under the Contract, then the matter will be referred to the dispute resolution procedure in accordance with condition 40 (Dispute Resolution).

6. Amendments to Contract

- a. Except as provided in condition 31 all amendments to this Contract shall be serially numbered, in writing, issued only by the Authority's Representative (Commercial), and agreed by both Parties.
- b. Where the Authority or the Contractor wishes to introduce a change which is not minor or which is likely to involve a change to the Contract Price, the provisions of Schedule 4 (Contract Change Control Procedure) shall apply. The Contractor shall not carry out any work until any necessary change to the Contract Price has been agreed and a written amendment in accordance with clause 6.a above has been issued.

7. Variations to Specification

- a. The Authority's Representative may, by Notice (following consultation with the Contractor as necessary), alter the Specification as from a date agreed by both Parties and to the extent specified by the Authority, provided that any such variations shall be limited to the extent that they do not alter the fit, form, function or characteristics of the Contractor Deliverables to be supplied under the Contract. The Contractor shall ensure that the Contractor Deliverables take account of any such variations. Such variations shall not require formal amendment of the Contract in accordance with the process set out in condition 6 (Amendments to Contract) and shall be implemented upon receipt, or

- at the date specified in the Authority's Notice, unless otherwise specified.
- b. Any variations that cause a change to:
- (1) fit, form, function or characteristics of the Contractor Deliverables;
 - (2) the cost;
 - (3) Delivery Dates;
 - (4) the period required for the production or completion; or
 - (5) other work caused by the alteration,
- shall be the subject to condition 6 (Amendments to Contract). Each amendment under condition 6 shall be classed as a formal change.

8. Authority Representatives

- a. Any reference to the Authority in respect of:
- (1) the giving of consent;
 - (2) the delivering of any Notices; or
 - (3) the doing of any other thing that may reasonably be undertaken by an individual acting on behalf of the Authority, shall be deemed to be references to the Authority's Representatives in accordance with this condition 8.
- b. The Authority's Representatives detailed in Schedule 3 (Contract Data Sheet) (or their nominated deputy) shall have full authority to act on behalf of the Authority for all purposes of the Contract. Unless notified in writing before such act or instruction, the Contractor shall be entitled to treat any act of the Authority's Representatives which is authorised by the Contract as being expressly authorised by the Authority and the Contractor shall not be required to determine whether authority has in fact been given.
- c. In the event of any change to the identity of the Authority's Representatives, the Authority shall provide written confirmation to the Contractor, and shall update Schedule 3 (Contract Data Sheet) in accordance with condition 6 (Amendments to Contract).

9. Severability

- a. If any provision of the Contract is held to be invalid, illegal or unenforceable to any extent then:
- (1) such provision shall (to the extent that it is invalid, illegal or unenforceable) be given no effect and shall be deemed not to be included in the Contract but without invalidating any of the remaining provisions of the Contract; and
 - (2) the Parties shall use all reasonable endeavours to replace the invalid, illegal or unenforceable provision by a valid, legal and enforceable substitute provision the effect of which is as close as possible to the intended effect of the invalid, illegal or unenforceable provision.

10. Waiver

- a. No act or omission of either Party shall by itself amount to a waiver of any right or remedy unless expressly stated by that Party in writing. In particular, no reasonable delay in exercising any right or remedy shall by itself constitute a waiver of that right or remedy.
- b. No waiver in respect of any right or remedy shall operate as a waiver in respect of any other right or remedy.

11. Assignment of Contract

Neither Party shall be entitled to assign the Contract (or any part thereof) without the prior written consent of the other Party.

12. Third Party Rights

Notwithstanding anything to the contrary elsewhere in the Contract, no right is granted to any person who is not a Party to the Contract to enforce any term of the Contract in its own right and the Parties to the Contract declare that they have no intention to grant any such right.

13. Transparency

- a. Subject to clause 13.b but notwithstanding condition 14 (Disclosure of Information), the Contractor understands that the Authority may publish the Transparency Information to the general public. The Contractor shall assist and cooperate with the Authority to enable the Authority to publish the Transparency Information.
- b. Before publishing the Transparency Information to the general public in accordance with clause 13.a, the Authority shall redact any Information that would be exempt from disclosure if it was the subject of a request for Information under the Freedom of Information Act 2000 or the Environmental Information Regulations 2004, and any Information which has been acknowledged by the Authority at Schedule 5 – Contractor's Commercially Sensitive Information.
- c. The Authority may consult with the Contractor before redacting any Information from the Transparency Information in accordance with clause 13.b. The Contractor acknowledges and accepts that its representations on redactions during consultation may not be determinative and that the decision whether to redact Information is a matter in which the Authority shall exercise its own discretion, subject always to the provisions of the Freedom of Information Act 2000 or the Environmental Information Regulations 2004.
- d. For the avoidance of doubt, nothing in this condition 13 shall affect the Contractor's rights at law.

14. Disclosure of Information

- a. Subject to clauses 14.d, 14.e, 14.h and condition 13 each Party:
 - (1) shall treat in confidence all Information it receives from the other;
 - (2) shall not disclose any of that Information to any third party without the prior written consent of the other Party, which consent shall not unreasonably be withheld, except that the Contractor may disclose Information in confidence, without prior consent, to such persons and to such extent as may be necessary for the performance of the Contract;
 - (3) shall not use any of that Information otherwise than for the purpose of the Contract; and
 - (4) shall not copy any of that Information except to the extent necessary for the purpose of exercising its rights of use and disclosure under the Contract.
- b. The Contractor shall take all reasonable precautions necessary to ensure that all Information disclosed to the Contractor by or on behalf of the Authority under or in connection with the Contract:
 - (1) is disclosed to its employees and Subcontractors, only to the extent necessary for the performance of the Contract; and
 - (2) is treated in confidence by them and not disclosed except with the prior written consent of the Authority or used otherwise than for the purpose of performing work or having work performed for the Authority under the Contract or any subcontract.
- c. The Contractor shall ensure that its employees are aware of the Contractor's arrangements for discharging the obligations at clauses 14.a and 14.b before receiving Information and shall take such steps as may be reasonably practical to enforce such arrangements.
- d. Clauses 14.a and 14.b shall not apply to any Information to the extent that either Party:
 - (1) exercises rights of use or disclosure granted otherwise than in consequence of,

- or under, the Contract;
- (2) has the right to use or disclose the Information in accordance with other Conditions of the Contract; or
- (3) can show:
 - (a) that the Information was or has become published or publicly available for use otherwise than in breach of any provision of the Contract or any other agreement between the Parties;
 - (b) that the Information was already known to it (without restrictions on disclosure or use) prior to receiving the Information under or in connection with the Contract;
 - (c) that the Information was received without restriction on further disclosure from a third party which lawfully acquired the Information without any restriction on disclosure; or
 - (d) from its records that the same Information was derived independently of that received under or in connection with the Contract; provided that the relationship to any other Information is not revealed.
- e. Neither Party shall be in breach of this condition where it can show that any disclosure of Information was made solely and to the extent necessary to comply with a statutory, judicial or parliamentary obligation. Where such a disclosure is made, the Party making the disclosure shall ensure that the recipient of the Information is made aware of and asked to respect its confidentiality. Such disclosure shall in no way diminish the obligations of the Parties under this condition.
- f. The Authority may disclose the Information:
 - (1) on a confidential basis to any Central Government Body for any proper purpose of the Authority or of the relevant Central Government Body, which shall include: disclosure to the Cabinet Office and/or HM Treasury for the purpose of ensuring effective cross-Government procurement processes, including value for money and related purposes;
 - (2) to Parliament and Parliamentary Committees or if required by any Parliamentary reporting requirement;
 - (3) to the extent that the Authority (acting reasonably) deems disclosure necessary or appropriate in the course of carrying out its public functions;
 - (4) on a confidential basis to a professional adviser, consultant or other person engaged by any of the entities defined in Schedule 1 (including benchmarking organisations) for any purpose relating to or connected with this Contract;
 - (5) on a confidential basis for the purpose of the exercise of its rights under the Contract; or
 - (6) on a confidential basis to a proposed body in connection with any assignment, novation or disposal of any of its rights, obligations or liabilities under the Contract;and for the purposes of the foregoing, references to disclosure on a confidential basis shall mean disclosure subject to a confidentiality agreement or arrangement containing terms no less stringent than those placed on the Authority under this condition.
- g. Before sharing any Information in accordance with clause 14.f, the Authority may redact the Information. Any decision to redact Information made by the Authority shall be final.
- h. The Authority shall not be in breach of the Contract where disclosure of Information is made solely and to the extent necessary to comply with the Freedom of Information Act 2000 (the "Act") or the Environmental Information Regulations 2004 (the "Regulations"). To the extent permitted by the time for compliance under the Act or the Regulations, the Authority shall consult the Contractor where the Authority is considering the disclosure of Information under the Act or the Regulations and, in any event, shall provide prior notification to the Contractor of any decision to disclose the Information. The Contractor acknowledges and accepts that its representations on disclosure during

consultation may not be determinative and that the decision whether to disclose Information in order to comply with the Act or the Regulations is a matter in which the Authority shall exercise its own discretion, subject always to the provisions of the Act or the Regulations.

- i. Nothing in this condition shall affect the Parties' obligations of confidentiality where Information is disclosed orally in confidence.

15. Publicity and Communications with the Media

The Contractor shall not and shall ensure that any employee or Subcontractor shall not communicate with representatives of the press, television, radio or other media on any matter concerning the Contract unless the Authority has given its prior written consent.

16. Change of Control of Contractor

- a. The Contractor shall notify the Representative of the Authority at the address given in clause 16.b, as soon as practicable, in writing of any intended, planned or actual change in control of the Contractor. The Contractor shall not be required to submit any notice which is unlawful or is in breach of either any pre-existing non-disclosure agreement or any regulations governing the conduct of the Contractor in the UK or other jurisdictions where the Contractor may be subject to legal sanction arising from issuing such a notice.

- b. Each notice of change of control shall be taken to apply to all contracts with the Authority. Notices shall be submitted to:

Mergers & Acquisitions Section
Strategic Supplier Management Team
Spruce 3b # 1301
MOD Abbey Wood,
Bristol, BS34 8JH

The Representative of the Authority shall consider the notice of change of control and advise the Contractor in writing of any concerns the Authority may have. Such concerns may include but are not limited to potential threats to national security, the ability of the Authority to comply with its statutory obligations or matters covered by the declarations made by the Contractor prior to Contract Award.

- c. The Authority may terminate the Contract by giving written notice to the Contractor within six months of the Authority being notified in accordance with clause 16.a. The Authority shall act reasonably in exercising its right of termination under this condition.
- d. If the Authority exercises its right to terminate in accordance with clause 16.c the Contractor shall be entitled to request the Authority to consider making a payment representing any commitments, liabilities or expenditure incurred by the Contractor in connection with the Contract up to the point of termination. Such commitments, liabilities or expenditure shall be reasonably and properly chargeable by the Contractor, and shall otherwise represent an unavoidable loss by the Contractor by reason of the termination of the Contract. Any payment under this clause 16.d must be fully supported by documentary evidence. The decision whether to make such a payment shall be at the Authority's sole discretion.
- e. Notification by the Contractor of any intended, planned or actual change of control shall not prejudice the existing rights of the Authority or the Contractor under the Contract nor create or imply any rights of either the Contractor or the Authority additional to the Authority's rights set out in this condition.

17. Environmental Requirements

The Contractor shall in all its operations to perform the Contract, adopt a sound proactive environmental approach that identifies, considers, and where possible, mitigates the environmental impacts of its supply chain. The Contractor shall provide evidence of so doing to the Authority on demand.

18. Contractor's Records

- a. The Contractor and its sub-contractors shall maintain all records specified in and connected with the Contract (expressly or otherwise) and make them available to the Authority when requested on reasonable notice.
- b. The Contractor and its sub-contractors shall also permit access to relevant records that relate to the contractual obligations to supply goods or services under the Contract, held by or controlled by them and reasonably required by the Comptroller and Auditor General, their staff and any appointed representative of the National Audit Office, and provide such explanations and information as reasonably necessary for the following purposes:
 - (1) to enable the National Audit Office to carry out the Authority's statutory audits and to examine and/or certify the Authority's annual and interim report and accounts; and
 - (2) to enable the National Audit Office to carry out an examination pursuant to Part II of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Authority has used its resources.
- c. With regard to the records made available to the Authority under clause 1 of this Condition, and subject to the provisions of SC2 conditions of contract clause 14, the Contractor shall permit records to be examined and if necessary copied, by the Authority, or Representative of the Authority, as the Authority may require.
- d. Unless the Contract specifies otherwise the records referred to in this Condition shall be retained for a period of at least 6 years from:
 - (1) the end of the Contract term;
 - (2) termination of the Contract; or
 - (3) the final paymentwhichever occurs latest.

19. Notices

- a. A Notice served under the Contract shall be:
 - (1) in writing in the English Language;
 - (2) authenticated by signature or such other method as may be agreed between the Parties;
 - (3) sent for the attention of the other Party's Representative, and to the address set out in Schedule 3 (Contract Data Sheet);
 - (4) marked with the number of the Contract; and
 - (5) delivered by hand, prepaid post (or airmail), facsimile transmission or, if agreed in Schedule 3 (Contract Data Sheet), by electronic mail.
- b. Notices shall be deemed to have been received:
 - (1) if delivered by hand, on the day of delivery if it is a Business Day in the place of receipt, and otherwise on the first Business Day in the place of receipt following the day of delivery;
 - (2) if sent by prepaid post, on the fourth Business Day (or the tenth Business Day in the case of airmail) after the day of posting;
 - (3) if sent by facsimile or electronic means:
 - (a) if transmitted between 09:00 and 17:00 hours on a Business Day (recipient's time) on completion of receipt by the sender of verification of the transmission from the receiving instrument; or
 - (b) if transmitted at any other time, at 09:00 on the first Business Day

(recipient's time) following the completion of receipt by the sender of verification of transmission from the receiving instrument.

20. Progress Monitoring, Meetings and Reports

- a. The Contractor shall attend progress meetings at the frequency or times (if any) specified in Schedule 3 (Contract Data Sheet) and shall ensure that its Contractor's Representatives are suitably qualified to attend such meetings.
- b. The Contractor shall submit progress reports to the Authority's Representatives at the times and in the format (if any) specified in Schedule 3 (Contract Data Sheet). The reports shall detail as a minimum:
 - (1) performance/Delivery of the Contractor Deliverables;
 - (2) risks and opportunities;
 - (3) any other information specified in Schedule 3 (Contract Data Sheet); and
 - (4) any other information reasonably requested by the Authority.

SUPPLY OF CONTRACTOR DELIVERABLES

21. Supply of Contractor Deliverables and Quality Assurance

- a. The Contractor shall provide the Contractor Deliverables to the Authority, in accordance with the Schedule of Requirements and the Specification, and shall allocate sufficient resource to the provision of the Contractor Deliverables to enable it to comply with this obligation.
- b. The Contractor shall:
 - (1) comply with any applicable quality assurance requirements specified in Schedule 3 (Contract Data Sheet) in providing the Contractor Deliverables; and
 - (2) discharge its obligations under the Contract with all due skill, care, diligence and operating practice by appropriately experienced, qualified and trained personnel.
- c. The provisions of clause 21.b. shall survive any performance, acceptance or payment pursuant to the Contract and shall extend to any remedial services provided by the Contractor.
- d. The Contractor shall:
 - (1) observe, and ensure that the Contractor's Team observe, all health and safety rules and regulations and any other security requirements that apply at any of the Authority's premises;
 - (2) notify the Authority as soon as it becomes aware of any health and safety hazards or issues which arise in relation to the Contractor Deliverables; and
 - (3) before the date on which the Contractor Deliverables are to start, obtain, and at all times maintain, all necessary licences and consents in relation to the Contractor Deliverables.

22. Marking of Contractor Deliverables

- a. Each Contractor Deliverable shall be marked in accordance with the requirements specified in Schedule 3 (Contract Data Sheet), if no such requirement is specified, the Contractor shall mark each Contractor Deliverable clearly and indelibly in accordance with the requirements of the relevant DEF-STAN 05-132 as specified in the contract or specification. In the absence of such requirements, the Contractor Deliverables shall be marked with the MOD stock reference, NATO Stock Number (NSN) or alternative reference number specified in Schedule 2 (Schedule of Requirements).
- b. Any marking method used shall not have a detrimental effect on the strength, serviceability or corrosion resistance of the Contractor Deliverables.
- c. The marking shall include any serial numbers allocated to the Contractor Deliverable.
- d. Where because of its size or nature it is not possible to mark a Contractor Deliverable with the required particulars, the required information should be included on the

package or carton in which the Contractor Deliverable is packed, in accordance with condition 23 (Packaging and Labelling (excluding Contractor Deliverables containing Munitions)).

23. Packaging and Labelling (excluding Contractor Deliverables containing Munitions)

- a. Packaging responsibilities are as follows:
 - (1) The Contractor shall be responsible for providing Packaging which fully complies with the requirements of the Contract.
 - (2) The Authority shall indicate in the Contract the standard or level of Packaging required for each Contractor Deliverable, including the PPQ. If a standard or level of Packaging (including the PPQ) is not indicated in the Contract, the Contractor shall request such instructions from the Authority before proceeding further.
 - (3) The Contractor shall ensure all relevant information necessary for the effective performance of the Contract is made available to all subcontractors.
 - (4) Where the Contractor or any of their subcontractors have concerns relating to the appropriateness of the Packaging design and or MPL prior to manufacture or supply of the Contractor Deliverables they shall use DEFFORM 129B to feedback these concerns to the Contractor or Authority, as appropriate.
- b. The Contractor shall supply Commercial Packaging meeting the standards and requirements of Def Stan 81-041 (Part 1). In addition the following requirements apply:
 - (1) The Contractor shall provide Packaging which:
 - (a) will ensure that each Contractor Deliverable may be transported and delivered to the consignee named in the Contract in an undamaged and serviceable condition; and
 - (b) is labelled to enable the contents to be identified without need to breach the package; and
 - (c) is compliant with statutory requirements and this Condition.
 - (2) The Packaging used by the Contractor to supply identical or similar Contractor Deliverables to commercial customers or to the general public (i.e. point of sale packaging) will be acceptable, provided that it complies with the following criteria:
 - (a) reference in the Contract to a PPQ means the quantity of a Contractor Deliverable to be contained in an individual package, which has been selected as being the most suitable for issue(s) to the ultimate user;
 - (b) Robust Contractor Deliverables, which by their nature require minimal or no packaging for commercial deliveries, shall be regarded as "PPQ packages" and shall be marked in accordance with Clauses 23.i to 23.l. References to "PPQ packages" in subsequent text shall be taken to include Robust Contractor Deliverables; and
 - (c) for ease of handling, transportation and delivery, packages which contain identical Contractor Deliverables may be bulked and overpacked, in accordance with clauses 23.i to 23.k.
- c. The Contractor shall ascertain whether the Contractor Deliverables being supplied are, or contain, Dangerous Goods, and shall supply the Dangerous Goods in accordance with:
 - (1) The Health and Safety At Work Act 1974 (as amended);
 - (2) The Classification Hazard Information and Packaging for Supply Regulations (CHIP4) 2009 (as amended);
 - (3) The REACH Regulations 2007 (as amended); and
 - (4) The Classification, Labelling and Packaging Regulations (CLP) 2009 (as amended).
- d. The Contractor shall package the Dangerous Goods as limited quantities, excepted quantities or similar derogations, for UK or worldwide shipment by all modes of

- transport in accordance with the regulations relating to the Dangerous Goods and:
- (1) The Safety Of Lives At Sea Regulations (SOLAS) 1974 (as amended); and
 - (2) The Air Navigation Order.
- e. As soon as possible, and in any event no later than one month before delivery is due, the Contractor shall provide a Safety Data Sheet in respect of each Dangerous Good in accordance with the REACH Regulations 2007 (as amended) and the Health and Safety At Work Act 1974 (as amended) and in accordance with condition 24 (Supply of Hazardous Materials or Substances in Contractor Deliverables).
- f. The Contractor shall comply with the requirements for the design of MLP which include clauses 23.f and 23.g as follows:
- (1) Where there is a requirement to design UK or NATO MLP, the work shall be undertaken by an MPAS registered organisation, or one that although non-registered is able to demonstrate to the Authority that its quality systems and military package design expertise are of an equivalent standard.
 - (a) The MPAS certification (for individual designers) and registration (for organisations) scheme details are available from:
 DES SEOC SCP-SptEng-Pkg
 MOD Abbey Wood
 Bristol, BS34 8JH
 Tel. +44(0)30679-35353
 DESSEOCSCP-SptEng-PKg@mod.uk
 - (b) The MPAS Documentation is also available on the DStan website.
 - (2) MLP shall be designed to comply with the relevant requirements of Def Stan 81-041, and be capable of meeting the appropriate test requirements of Def Stan 81-041 (Part 3). Packaging designs shall be prepared on a SPIS, in accordance with Def Stan 81-041 (Part 4).
 - (3) The Contractor shall ensure a search of the SPIS index (the 'SPIN') is carried out to establish the SPIS status of each requirement (using DEFFORM 129a 'Application for Packaging Designs or their Status').
 - (4) New designs shall not be made where there is an existing usable SPIS, or one that may be easily modified.
 - (5) Where there is a usable SFS, it shall be used in place of a SPIS design unless otherwise stated by the Contract. When an SFS is used or replaces a SPIS design, the Contractor shall upload this information on to SPIN in Adobe PDF.
 - (6) All SPIS, new or modified (and associated documentation), shall, on completion, be uploaded by the Contractor on to SPIN. The format shall be Adobe PDF.
 - (7) Where it is necessary to use an existing SPIS design, the Contractor shall ensure the Packaging manufacturer is a registered organisation in accordance with clause 23.f(1) above, or if un-registered, is compliant with MPAS ANNEX A Supplement (Code) M. The Contractor shall ensure, as far as possible, that the SPIS is up to date.
 - (8) The documents supplied under clause 23.f(6) shall be considered as a contract data requirement and be subject to the terms of DEFCON 15 and DEFCON 21.
- g. Unless otherwise stated in the Contract, one of the following procedures for the production of new or modified SPIS designs shall be applied:
- (1) If the Contractor or their subcontractor is the PDA they shall:
 - (a) On receipt of instructions received from the Authority's representative nominated in Box 2 of DEFFORM 111 at Annex A to Schedule 3 (Contract Data Sheet), prepare the required package design in accordance with clause 23.f.
 - (b) Where the Contractor or their subcontractor is registered they shall, on completion of any design work, provide the Authority with the following documents electronically:
 - i. a list of all SPIS which have been prepared or revised against the

- Contract; and
 - ii. a copy of all new / revised SPIS, complete with all continuation sheets and associated drawings, where applicable, to be uploaded onto SPIN.
 - (c) Where the PDA is not a registered organisation, then they shall obtain approval for their design from a registered organisation before proceeding, then follow clause 23.g(1)(b).
 - (2) Where the Contractor or their subcontractor is not the PDA and is un-registered, they shall not produce, modify, or update SPIS designs. They shall obtain current SPIS design(s) from the Authority or a registered organisation before proceeding with manufacture of Packaging. To allow designs to be provided in ample time, they should apply for SPIS designs as soon as practicable.
 - (3) Where the Contractor or their subcontractor is un-registered and has been given authority to produce, modify, and update SPIS designs by the Contract, he shall obtain approval for their design from a registered organisation using DEFFORM 129a before proceeding, then follow clause 23.g(1)(b).
 - (4) Where the Contractor or their subcontractor is not a PDA but is registered, he shall follow clauses 23.g(1)(a) and 23.g(1)(b).
- h. If special jigs, tooling etc., are required for the production of MLP, the Contractor shall obtain written approval from the Commercial Officer before providing them. Any approval given will be subject to the terms of DEFCON 23 (SC2) or equivalent condition, as appropriate.
- i. In addition to any marking required by international or national legislation or regulations, the following package labelling and marking requirements apply:
- (1) If the Contract specifies UK or NATO MPL, labelling and marking of the packages shall be in accordance with Def Stan 81-041 (Part 6) and this Condition as follows:
 - (a) Labels giving the mass of the package, in kilograms, shall be placed such that they may be clearly seen when the items are stacked during storage.
 - (b) Each consignment package shall be marked with details as follows:
 - i. name and address of consignor;
 - ii. name and address of consignee (as stated in the Contract or order);
 - iii. destination where it differs from the consignee's address, normally either:
 - (i). delivery destination / address; or
 - (ii). transit destination, where delivery address is a point for aggregation / disaggregation and / or onward shipment elsewhere, e.g. railway station, where that mode of transport is used;
 - iv. the unique order identifiers and the CP&F Delivery Label / Form which shall be prepared in accordance with DEFFORM 129J.
 - (i). If aggregated packages are used, their consignment marking and identification requirements are stated at clause 23.l.
 - (2) If the Contract specifies Commercial Packaging, an external surface of each PPQ package and each consignment package, if it contains identical PPQ packages, shall be marked, using details of the Contractor Deliverables as shown in the Contract schedule, to state the following:
 - (a) description of the Contractor Deliverable;
 - (b) the full thirteen digit NATO Stock Number (NSN);
 - (c) the PPQ;
 - (d) maker's part / catalogue, serial and / or batch number, as appropriate;
 - (e) the Contract and order number when applicable;
 - (f) the words "Trade Package" in bold lettering, marked in BLUE in respect

- (g) of trade packages, and BLACK in respect of export trade packages;
 - (g) shelf life of item where applicable;
 - (h) for rubber items or items containing rubber, the quarter and year of vulcanisation or manufacture of the rubber product or component (marked in accordance with Def Stan 81-041);
 - (i) any statutory hazard markings and any handling markings, including the mass of any package which exceeds 3kg gross; and
 - (j) any additional markings specified in the Contract.
- j. Bar code marking shall be applied to the external surface of each consignment package and to each PPQ package contained therein. The default symbology shall be as specified in Def Stan 81-041 (Part 6). As a minimum the following information shall be marked on packages:
 - (1) the full 13-digit NSN;
 - (2) denomination of quantity (D of Q);
 - (3) actual quantity (quantity in package);
 - (4) manufacturer's serial number and / or batch number, if one has been allocated; and
 - (5) the CP&F-generated unique order identifier.
- k. Requirements for positioning bar codes in relation to related text, as well as positioning on package etc., are defined in Def Stan 81-041 (Part 6). If size of the bar code does not allow a label to be directly attached, then a tag may be used. Any difficulties over size or positioning of barcode markings shall initially be referred to the organisation nominated in Box 3 of DEFFORM 111 at Annex A to Schedule 3 (Contract Data Sheet).
- l. The requirements for the consignment of aggregated packages are as follows:
 - (1) With the exception of packages containing Dangerous Goods, over-packing for delivery to the consignee shown in the Contract may be used by the consignor to aggregate a number of packages to different Packaging levels, provided that the package contains Contractor Deliverables of only one NSN or class group. Over-packing shall be in the cheapest commercial form consistent with ease of handling and protection of over-packed items.
 - (2) Two adjacent sides of the outer container shall be clearly marked to show the following:
 - (a) class group number;
 - (b) name and address of consignor;
 - (c) name and address of consignee (as stated on the Contract or Order);
 - (d) destination if it differs from the consignee's address, normally either:
 - i. delivery destination / address; or
 - ii. transit destination, if the delivery address is a point of aggregation / disaggregation and / or onward shipment e.g. railway station, where that mode of transport is used;
 - (e) where applicable, the reference number of the delivery note produced by CP&F relating to the contents. The consignee's copy of each delivery note shall be placed in the case / container. If the Contractor Deliverables listed in the delivery note are packed in several cases, the consignee's copy shall be placed in the first case and a separate list detailing the contents shall be prepared for each case after the first and placed in the case to which it relates. Each case is to be numbered to indicate both the number of the case and the total number of cases concerned e.g. 1/3, 2/3, 3/3;
 - (f) the CP&F-generated shipping label; and
 - (g) any statutory hazard markings and any handling markings.
- m. Authorisation of the Contractor to undertake Packaging design, or to use a packaging

design, that was not part of the original requirement under the Contract, shall be considered as an alteration to the specification in accordance with condition 7 (Variations to Specification).

- n. The Contractor shall ensure that timber and wood-containing products supplied under the Contract comply with the provisions of condition 25 (Timber and Wood-Derived Products) and Annex I and Annex II of the International Standards for Phytosanitary Measures, "Guidelines for Regulating Wood Packaging Material in International Trade", Publication No 15 (ISPM 15).
- o. All Packaging shall meet the requirements of the Packaging (Essential Requirements) Regulations 2003 (as amended) where applicable.
- p. In any design work the Contractor shall comply with the Producer Responsibility Obligations (Packaging Waste) Regulations 2007 (as amended) or equivalent legislation. Evidence of compliance shall be a contractor record in accordance with condition 18 (Contractor's Records).
- q. This Condition is concerned with the supply of Packaging suitable to protect and ease handling, transport and storage of specified items. Where there is a failure of suitable Packaging (a design failure), or Packaging fails and this is attributed to the Packaging supplier, then the supplier shall be liable for the cost of replacing the Packaging.
- r. Liability for other losses resulting from Packaging failure or resulting from damage to Packaging, (such as damage to the packaged item etc.), shall be specified elsewhere in the Contract.
- s. General requirements for service Packaging, including details of UK and NATO MLP and Commercial Packaging descriptions, are contained in Def Stan 81-041 (Part 1) "Packaging of Defence Materiel". Def Stans, NATO Standardisation Agreements (STANAGs), and further information are available from the DStan internet site at: <https://www.dstan.mod.uk/>
- t. Unless specifically stated otherwise in the invitation to tender or the Contract, reference to any standard including Def Stans or STANAGs in any invitation to tender or Contract document means the edition and all amendments extant at the date of such tender or Contract.
- u. In the event of conflict between the Contract and Def Stan 81-041, the Contract shall take precedence.

24. Supply of Hazardous Materials or Substances in Contractor Deliverables

- a. The Contractor shall provide to the Authority:
 - (1) for each hazardous material or substance supplied, a Safety Data Sheet (SDS) in accordance with the extant Chemicals (Hazard Information and Packaging for Supply) Regulations (CHIP) and / or the Classification, Labelling and Packaging (CLP) Regulation 1272/2008 (whichever is applicable), and
 - (2) for each Contractor Deliverable containing hazardous materials or substances, safety information as required by the Health and Safety at Work, etc Act 1974, at the time of supply.
Nothing in this Condition shall reduce or limit any statutory duty or legal obligation of the Authority or the Contractor.
- b. If the Contractor Deliverable contains hazardous materials or substances, or is a substance falling within the scope of the REACH Regulation (EC) No 1907/2006:
 - (1) the Contractor shall provide to the Authority an SDS for the substance in accordance with the Regulation. If the Contractor becomes aware of new information which may affect the risk management measures or new information on the hazard, the Contractor shall update the SDS and forward it to the Authority and to the address listed in clause 24.h below, and
 - (2) the Authority, if it becomes aware of new information regarding the hazardous properties of the substance, or any other information that might call into question the appropriateness of the risk management measures identified in the

- SDS supplied, shall report this information in writing to the Contractor.
- c. If the Contractor is required, under, or in connection with the contract, to supply Contractor Deliverables or components of Contractor Deliverables that, in the course of their use, maintenance, disposal, or in the event of an accident, may release hazardous materials or substances, they shall provide to the Authority a list of those hazardous materials or substances, and for each hazardous material or substance listed, provide an SDS.
 - d. The Contractor shall provide to the Authority a completed Schedule 6 (Hazardous Contractor Deliverables, Materials or Substances Supplied under the Contract: Data Requirements) in accordance with Schedule 3 (Contract Data Sheet).
 - e. If the Contractor Deliverables, materials or substances are ordnance, munitions or explosives, in addition to the requirements of CHIP and / or the CLP Regulation 1272/2008 (whichever is applicable) and REACH the Contractor shall comply with hazard reporting requirements of DEF STAN 07-085 Design Requirements for Weapons and Associated Systems.
 - f. If the Contractor Deliverables, materials or substances are or contain or embody a radioactive substance as defined in the Ionising Radiation Regulations SI 1999/3232, the Contractor shall additionally provide details of:
 - (1) activity;
 - (2) the substance and form (including any isotope);
 - g. If the Contractor Deliverables, materials or substances have magnetic properties, the Contractor shall additionally provide details of the magnetic flux density at a defined distance, for the condition in which it is packed.
 - h. Any SDS to be provided in accordance with this Condition, including any related information to be supplied in compliance with the Contractor's statutory duties under Clause 24.a, any information arising from the provisions of Clauses 24.e, 24.f and 24.g and the completed Schedule 6, shall be sent directly to the Authority's Representative (Commercial) as soon as practicable, and no later than one (1) month prior to the Contract delivery date, unless otherwise stated in Schedule 3 (Contract Data Sheet). In addition, so that the safety information can reach users without delay, a copy shall be sent preferably as an email with attachment(s) in Adobe PDF or MS WORD format, or, if only hardcopy is available, to the addresses below:
 - (1) Hard copies to be sent to:
Hazardous Stores Information System (HSIS)
Defence Safety Authority (DSA)
Movement Transport Safety Regulator (MTSR)
Hazel Building Level 1, #H019
MOD Abbey Wood (North)
Bristol, BS34 8QW
 - (2) Emails to be sent to:
DSA-DLSR-MovTpt-DGHSIS@mod.uk
 - i. Failure by the Contractor to comply with the requirements of this Condition shall be grounds for rejecting the affected Contractor Deliverables. Any withholding of information concerning hazardous Contractor Deliverables, materials or substances shall be regarded as a material breach of Contract under Condition 43 (Material Breach) for which the Authority reserves the right to require the Contractor to rectify the breach immediately at no additional cost to the Authority or to terminate the Contract in accordance with Condition 43.

25. Timber and Wood-Derived Products

- a. All Timber and Wood-Derived Products supplied by the Contractor under the Contract:
 - (1) shall comply with the Contract Specification; and
 - (2) must originate either:

- (a) from a Legal and Sustainable source; or
 - (b) from a FLEGT-licensed or equivalent source.
- b. In addition to the requirements of clause 25.a, all Timber and Wood-Derived Products supplied by the Contractor under the Contract shall originate from a forest source where management of the forest has full regard for:
 - (1) identification, documentation and respect of legal, customary and traditional tenure and use rights related to the forest;
 - (2) mechanisms for resolving grievances and disputes including those relating to tenure and use rights, to forest management practices and to work conditions; and
 - (3) safeguarding the basic labour rights and health and safety of forest workers.
- c. If requested by the Authority, the Contractor shall provide to the Authority Evidence that the Timber and Wood-Derived Products supplied to the Authority under the Contract comply with the requirements of clause 25.a or 25.b or both.
- d. The Authority reserves the right at any time during the execution of the Contract and for a period of five (5) years from final Delivery under the Contract to require the Contractor to produce the Evidence required for the Authority's inspection within fourteen (14) days of the Authority's request.
- e. If the Contractor has already provided the Authority with the Evidence required under clause 25.c, the Contractor may satisfy these requirements by giving details of the previous notification and confirming the Evidence remains valid and satisfies the provisions of clauses 25.a or 25.b or both.
- f. The Contractor shall maintain records of all Timber and Wood-Derived Products delivered to and accepted by the Authority, in accordance with condition 18 (Contractor's Records).
- g. Notwithstanding clause 25.c, if exceptional circumstances render it strictly impractical for the Contractor to record Evidence of proof of timber origin for previously used Recycled Timber, the Contractor shall support the use of this Recycled Timber with:
 - (1) a record tracing the Recycled Timber to its previous end use as a standalone object or as part of a structure; and
 - (2) an explanation of the circumstances that rendered it impractical to record Evidence of proof of timber origin.
- h. The Authority may disclose the Information:
 - (1) The Authority reserves the right to decide, except where in the Authority's opinion the timber supplied is incidental to the requirement and from a low risk source, whether the Evidence submitted to it demonstrates compliance with clause 25.a or 25.b, or both. In the event that the Authority is not satisfied, the Contractor shall commission and meet the costs of an Independent Verification and resulting report that will:
 - (2) verify the forest source of the timber or wood; and
 - (3) assess whether the source meets the relevant criteria of clause 25.b.
- i. The statistical reporting requirement at clause 25.j applies to all Timber and Wood-Derived Products delivered under the Contract. The Authority reserves the right to amend the requirement for statistical reporting, in the event that the UK Government changes the requirement for reporting compliance with the Government Timber Procurement Policy. Amendments to the statistical reporting requirement will be made in accordance with condition 6 (Amendments to Contract).
- j. The Contractor shall provide to the Authority, a completed Schedule 7 (Timber and Wood-Derived Products Supplied under the Contract: Data Requirements), the data or Information the Authority requires in respect of Timber and Wood-Derived Products delivered to the Authority under the Contract, or in respect of each Order in the case of a Framework Agreement, or at such other frequency as stated in the Contract. The Contractor shall send all completed Schedule 7s (Timber and Wood-Derived Products Supplied under the Contract: Data Requirements), including Nil Returns where

- appropriate, to the Authority's Representative (Commercial).
- k. The Schedule 7 (Timber and Wood-Derived Products Supplied under the Contract: Data Requirements) may be amended by the Authority from time to time, in accordance with condition 6 (Amendments to Contract).
 - l. The Contractor shall obtain any wood, other than processed wood, used in Packaging from:
 - (1) companies that have a full registered status under the Forestry Commission and Timber Packaging and Pallet Confederation's UK Wood Packaging Material Marking Programme (more detailed information can be accessed at www.forestry.gov.uk) and all such wood shall be treated for the elimination of raw wood pests and marked in accordance with that Programme; or
 - (2) sources supplying wood treated and marked so as to conform to Annex I and Annex II of the International Standard for Phytosanitary Measures, "Guidelines for Regulating Wood Packaging Material in International Trade", Publication No 15 published by the Food and Agricultural Organisation of the United Nations (ISPM15) (more detailed information can be accessed at www.fao.org).

26. Certificate of Conformity

- a. Where required in Schedule 3 (Contract Data Sheet) the Contractor shall provide a Certificate of Conformity (CofC) in accordance with Schedule 2 (Schedule of Requirements) and any applicable Quality Plan. One copy of the CofC shall be sent to the Authority's Representative (Commercial) upon Delivery, and one copy shall be provided to the Consignee upon Delivery.
- b. The Contractor shall consider the CofC to be a record in accordance with condition 18 (Contractor's Records).
- c. The Information provided on the CofC shall include:
 - (1) Contractor's name and address;
 - (2) Contractor unique CofC number;
 - (3) Contract number and where applicable Contract amendment number;
 - (4) details of any approved concessions;
 - (5) acquirer name and organisation;
 - (6) Delivery address;
 - (7) Contract Item Number from Schedule 2 (Schedule of Requirements);
 - (8) description of Contractor Deliverable, including part number, specification and configuration status;
 - (9) identification marks, batch and serial numbers in accordance with the Specification;
 - (10) quantities;
 - (11) a signed and dated statement by the Contractor that the Contractor Deliverables comply with the requirements of the Contract and approved concessions.
Exceptions or additions to the above are to be documented.
- d. Where Schedule 2 (Schedule of Requirements) and any applicable Quality Plan require demonstration of traceability and design provenance through the supply chain the Contractor shall include in any relevant subcontract the requirement for the Information called for at clause 26.c. The Contractor shall ensure that this Information is available to the Authority through the supply chain upon request in accordance with condition 18 (Contractor Records).

27. Access to Contractor's Premises

- a. The Contractor shall provide to the Authority's Representatives following reasonable Notice, relevant accommodation/facilities, at no direct cost to the Authority, and all reasonable access to its premises for the purpose of monitoring the Contractor's progress and quality standards in performing the Contract.
- b. As far as reasonably practical, the Contractor shall ensure that the provisions of clause

1 are included in their subcontracts with those suppliers identified in the Contract. The Authority, through the Contractor, shall arrange access to such subcontractors.

28. Delivery / Collection

- a. Schedule 3 (Contract Data Sheet) shall specify whether the Contractor Deliverables are to be Delivered to the Consignee by the Contractor or Collected from the Consignor by the Authority.
- b. Where the Contractor Deliverables are to be Delivered by the Contractor (or a third party acting on behalf of the Contractor), the Contractor shall, unless otherwise stated in writing:
 - (1) contact the Authority's Representative as detailed in Schedule 3 (Contract Data Sheet) in advance of the Delivery Date in order to agree administrative arrangements for Delivery and provide any Information pertinent to Delivery requested;
 - (2) comply with any special instructions for arranging Delivery in Schedule 3 (Contract Data Sheet);
 - (3) ensure that each consignment of the Contractor Deliverables is accompanied by, (as specified in Schedule 3 (Contract Data Sheet)), a DEFFORM 129J in accordance with the instructions;
 - (4) be responsible for all costs of Delivery; and
 - (5) Deliver the Contractor Deliverables to the Consignee at the address stated in Schedule 2 (Schedule of Requirements) by the Delivery Date between the hours agreed by the Parties.
- c. Where the Contractor Deliverables are to be Collected by the Authority (or a third party acting on behalf of the Authority), the Contractor shall, unless otherwise stated in writing:
 - (1) contact the Authority's Representative (Transport) as detailed in box 10 of DEFFORM 111 at Annex A to Schedule 3 (Contract Data Sheet) in advance of the Delivery Date in order to agree specific arrangements for Collection and provide any Information pertinent to the Collection requested;
 - (2) comply with any special instructions for arranging Collection in Schedule 3 (Contract Data Sheet);
 - (3) ensure that each consignment of the Contractor Deliverables is accompanied by, (as specified in Schedule 3 (Contract Data Sheet)), a DEFFORM 129J in accordance with the instructions;
 - (4) ensure that the Contractor Deliverables are available for Collection by the Authority from the Consignor (as specified in Schedule 3 (Contract Data Sheet)) by the Delivery Date between the hours agreed by the Parties; and
 - (5) in the case of Overseas consignments, ensure that the Contractor Deliverables are accompanied by the necessary transit documentation. All Customs clearance shall be the responsibility of the Authority's Representative (Transport).
- d. Title and risk in the Contractor Deliverables shall only pass from the Contractor to the Authority:
 - (1) on the Delivery of the Contractor Deliverables by the Contractor to the Consignee in accordance with clause 28.b; or
 - (2) on the Collection of the Contractor Deliverables from the Consignor by the Authority once they have been made available for Collection by the Contractor in accordance with clause 28.c.

29. Acceptance

- a. Acceptance of the Contractor Deliverables shall occur in accordance with any acceptance procedure specified in Schedule 8 (Acceptance Procedure). If no acceptance procedure is so specified acceptance shall occur when either:

- (1) the Authority does any act in relation to the Contractor Deliverable which is inconsistent with the Contractor's ownership; or
- (2) the time limit in which to reject the Contractor Deliverables defined in clause 30.b has elapsed.

30. Rejection

- a. If any of the Contractor Deliverables Delivered to the Authority do not conform to the Specification or any other terms of this Contract, then (without limiting any other right or remedy that the Authority may have) the Authority may reject the Contractor Deliverables (in whole or in part). The Authority shall return these Contractor Deliverables to the Contractor at the Contractor's risk and cost.
- b. Rejection of any of the Contractor Deliverables under clause 30.a shall take place by the time limit for rejection specified in Schedule 3 (Contract Data Sheet), or if no such period is specified within thirty (30) Business Days.

31. Diversion Orders

- a. The Authority shall notify the Contractor at the earliest practicable opportunity if it becomes aware that a Contractor Deliverable is likely to be subject to a Diversion Order.
- b. The Authority may issue a Diversion Order for the urgent delivery of the Contractor Deliverables identified in it. These Contractor Deliverables are to be delivered by the Contractor using the quickest means available as agreed by the Authority.
- c. The Authority reserves the right to cancel the Diversion Order.
- d. If the terms of the Diversion Order are unclear, the Contractor shall immediately contact the Representative of the Authority who issued it for clarification and/or further instruction.
- e. If the Diversion Order increases the quantity of Contractor Deliverables beyond the scope of the Contract, it is to be returned immediately to the Authority's Commercial Officer with an appropriate explanation.
- f. The Contractor shall be entitled to reasonable additional delivery and packaging costs incurred in complying with the Diversion Order or cancellation. Claims are to be submitted by the Contractor to the Authority's Commercial Officer together with applicable receipts and agreed as an amendment to the Contract in accordance with condition 6 (Amendments to Contract). The Contractor shall comply with the requirements of the Diversion Order upon receipt of the Diversion Order.

32. Self-to-Self Delivery

Where it is stated in Schedule 3 (Contract Data Sheet) that any Contractor Deliverable is to be Delivered by the Contractor to its own premises, or to those of a Subcontractor ('self-to-self delivery'), the risk in such a Contractor Deliverable shall remain vested in the Contractor until such time as it is handed over to the Authority.

LICENCES AND INTELLECTUAL PROPERTY

33. Import and Export Licences

- a. If, in the performance of the Contract, the Contractor needs to import into the UK or export out of the UK anything not supplied by or on behalf of the Authority and for which a UK import or export licence is required, the responsibility for applying for the licence shall rest with the Contractor. The Authority shall provide the Contractor with sufficient information, certification, documentation and other reasonable assistance in obtaining any necessary UK import or export licence.
- b. When an export licence or import licence or authorisation either singularly or in

combination is required from a foreign government for the performance of the Contract, the Contractor shall as soon as reasonably practicable consult with the Authority on the licence requirements. Where the Contractor is the applicant for the licence or authorisation the Contractor shall:

- (1) ensure that when end use or end user restrictions, or both, apply to all or part of any Contractor Deliverable (which for the purposes of this Condition shall also include information, technical data and software), the Contractor, unless otherwise agreed with the Authority, shall identify in the application:
 - (a) the end user as: Her Britannic Majesty's Government of the United Kingdom of Great Britain and Northern Ireland (hereinafter "HM Government"); and
 - (b) the end use as: For the Purposes of HM Government; and
 - (2) include in the submission for the licence or authorisation a statement that "information on the status of processing this application may be shared with the Ministry of Defence of the United Kingdom".
- c. If the Contractor or any subcontractor in the performance of the Contract needs to export materiel not previously supplied by or on behalf of the Authority for which an export licence or import licence or authorisation from a foreign government is required, the responsibility for instituting expeditious action to apply for and obtain the licence shall rest with the Contractor or that subcontractor. For the purposes of this Condition materiel shall mean information, technical data and items, including Contractor Deliverables, components of Contractor Deliverables and software.
- d. Where the Contract performance requires the export of materiel for which a foreign export licence or import licence or authorisation is required, the Contractor shall include the dependencies for the export licence or import licence or authorisation application, grant and maintenance in the Contract risk register and in the risk management plan for the Contract, with appropriate review points. Where there is no requirement under the Contract for a risk management plan the Contractor shall submit this information to the Authority's representative.
- e. During the term of the Contract and for a period of up to 2 years from completion of the Contract, the Authority may make a written request to the Contractor to seek a variation to the conditions to a foreign export licence or import licence or authorisation to enable the Authority to re-export or re-transfer a licensed or authorised item or licensed or authorised information from the UK to a non-licensed or unauthorised third party. If the Authority makes such a request it will consult with the Contractor before making a determination of whether the Authority or the Contractor is best placed in all the circumstance to make the request. Where, subsequent to such consultation the Authority notifies the Contractor that the Contractor is best placed to make such request:
- (1) the Contractor shall, or procure that the Contractor's subcontractor shall, expeditiously consider whether or not there is any reason why it should object to making the request and, where it has no objection, file an application to seek a variation of the applicable export licence or import licence or authorisation in accordance with the procedures of the licensing authority. Where the contractor has an objection, the Parties shall meet within five (5) working days to resolve the issue and should they fail the matter shall be escalated to an appropriate level within both Parties' organisations, to include their respective export licensing subject matter experts; and
 - (2) the Authority shall provide sufficient information, certification, documentation and other reasonable assistance as may be necessary to support the application for the requested variation.
- f. Where the Authority determines that it is best placed to make such request the Contractor shall provide sufficient information, certification, documentation and other reasonable assistance as may be necessary to support the Authority to make the application for the requested variation.

- g. Where the Authority invokes clause 33.e or 33.f the Authority will pay the Contractor a fair and reasonable charge for this service based on the cost of providing it.
- h. Where the Contractor subcontracts work under the Contract, which is likely to be subject to foreign export control, import control or both the Contractor shall use reasonable endeavours to incorporate in each subcontract equivalent obligations to those set out in this Condition. Where it is not possible to include equivalent terms to those set out in this Condition, the Contractor shall report that fact and the circumstances to the Authority.
- i. Without prejudice to HM Government's position on the validity of any claim by a foreign government to extra-territoriality, the Authority shall provide the Contractor with sufficient information, certification, documentation and other reasonable assistance to facilitate the granting of export licences or import licences or authorisations by a foreign Government in respect of the performance of the Contract.
- j. The Authority shall provide such assistance as the Contractor may reasonably require in obtaining any UK export licences necessary for the performance of the Contract.
- k. The Contractor shall use reasonable endeavours to identify whether any Contractor Deliverable is subject to:
 - (1) a non-UK export licence, authorisation or exemption; or
 - (2) any other related transfer or export control, that imposes or will impose end use, end user or re-transfer or re-export restrictions, or restrictions on disclosure to individuals based upon their nationality. This does not include the Intellectual Property-specific restrictions of the type referred to in condition 34 (Third Party Intellectual Property – Rights and Restrictions).
- l. If at any time during the term of the Contract the Contractor becomes aware that all or any part of the Contractor Deliverables are subject to Clause 33.k(1) or 33.k(2), it shall notify the Authority of this as soon as reasonably practicable by providing details in the DEFFORM 528 or other mutually agreed alternative format. Such notification shall be no later than thirty (30) days of knowledge of any affected Contractor Deliverable and in any event such notification shall be not less than thirty (30) days prior to delivery of the Contractor Deliverables.
- m. If the information to be provided under Clause 33.l has been provided previously to the Authority by the Contractor under the Contract, the Contractor may satisfy these requirements by giving details of the previous notification and confirming they remain valid and satisfy the provisions of Clause 33.l.
- n. During the term of the Contract, the Contractor shall notify the Authority as soon as reasonably practicable of any changes in the information notified previously under clauses 33.l or 33.m of which it becomes or is aware that would affect the Authority's ability to use, disclose, re-transfer or re-export an item or part of it as is referred to in those Clauses by issuing an updated DEFFORM 528 to the Authority.
- o. For a period of up to 2 years from completion of the Contract and in response to a specific request by the Authority, the Contractor shall notify the Authority as soon as reasonably practicable of any changes in the information notified previously under Clause 33.l or 33.m of which it becomes aware that would affect the Authority's ability to use, disclose, re-transfer or re-export an item or part of it as is referred to in those Clauses by issuing an updated DEFFORM 528 to the Authority.
- p. Where following receipt of materiel from a subcontractor or any of its other suppliers restrictions are notified to the Contractor by that subcontractor, supplier or other third party or are identified by the Contractor, the Contractor shall immediately inform the Authority by issuing an updated DEFFORM 528. Within days of such notification, the Contractor shall propose to the Authority actions to mitigate the impact of such restrictions. Such proposals may include, where appropriate, mutually supported attempts to obtain removal or modification to the restrictions or to obtain appropriate authorisations from the relevant foreign government. The Authority shall notify the contractor within days of receipt of a proposal whether it is acceptable and where

appropriate the Contract shall be modified in accordance with its terms to implement the proposal.

- q. If the restrictions prevent the Contractor from performing its obligations under the Contract and have not been removed, modified or otherwise satisfactorily managed within a reasonable time, the Authority may at its absolute discretion elect to amend the contract in accordance with condition 6 or 7 or as otherwise may be provided by the Contract, or to terminate the Contract. Except as set out in clause 33.r, in the event of termination in these circumstances termination shall be on fair and reasonable terms having regard to all the circumstances including payments already made and that would otherwise be due under the Contract, costs incurred by the Contractor and benefits received by the Authority. The Parties, acting in good faith, will use all reasonable endeavours to agree such fair and reasonable terms failing which either Party may refer the matter to dispute resolution in accordance with the provisions in the Contract.
- r. In the event that the restrictions notified to the Authority pursuant to Clause 33.l were known or ought reasonably have been known by the Contractor (but were not disclosed) at contract award or if restrictions notified to the Authority pursuant to clauses 33.n or 33.p were known or ought reasonably to have been known by the Contractor at the date of submission of the most recent DEFFORM 528 submitted to the Authority in accordance with Clause 33.l, termination under Clause 33.q will be in accordance with condition 43 (Material Breach) and the provisions of clause 33.v will not apply.
- s. The Authority shall use reasonable endeavours to identify any export control restrictions applying to materiel to be provided to the Contractor as Government Furnished Assets (GFA). Where the Authority is to provide materiel necessary to enable the Contractor to perform the Contract or in respect of which the Services are to be provided, and that materiel is subject to a non-UK export licence, authorisation, exemption or other related transfer or export control as described in the provisions of Clause 33.k, the Authority shall provide a completed DEFFORM 528 or will provide a new or updated DEFFORM 528 to the Contractor within thirty (30) days of the date of knowledge and in any case not later than thirty (30) days prior to the delivery of such materiel to the Contractor.
- t. In the event that the Authority becomes aware that the DEFFORM 528 disclosure was incomplete or inaccurate or in the event additional such materiel is identified then the Authority shall provide, as soon as reasonably practicable a new or revised DEFFORM 528. In the event that the Authority becomes aware that a prior disclosure included in DEFFORM 528 submitted to the Contractor was incomplete or inaccurate less than thirty (30) days prior to the delivery to the Contractor of any material to which the updated or new disclosure relates, the Parties will meet as soon as reasonably practicable to discuss how to mitigate the impact of the incomplete or inaccurate disclosure.
- u. Where:
 - (1) restrictions are advised by the Authority to the Contractor in a DEFFORM 528 provided pursuant to Clauses 33.s or 33.t or both; or
 - (2) any of the information provided by the Authority in any DEFFORM 528 proves to be incorrect or inaccurate;

the Authority and the Contractor shall act promptly to mitigate the impact of such restrictions or incorrect or inaccurate information. Such mitigation shall include, where appropriate, mutually supported attempts to obtain removal or modification to the restrictions or to obtain appropriate authorisations from the relevant foreign government. If the restrictions or incorrect or inaccurate information adversely affect the ability of the Contractor to perform its obligations under the Contract, the matter shall be handled under the terms of condition 6 (Amendments to Contract) or condition 7 (Variations to Specification) or as may otherwise be provided by the Contract as appropriate and if no alternative solution satisfies the essential terms of the Contract and the restrictions have not been removed, modified or otherwise satisfactorily managed within a reasonable time the Authority may terminate the Contract. Termination under these circumstances

will be under the terms of condition 42 (Termination for Convenience) and as referenced in the Contract.

- v. Pending agreement of any amendment of the Contract as set out in clause 33.q or 33.u, provided the Contractor takes such steps as are reasonable to mitigate the impact, the Contractor shall be relieved from its obligations to perform those elements of the Contract directly affected by the restrictions or provision of incorrect or incomplete information.

34. Third Party Intellectual Property – Rights and Restrictions

- a. The Contractor and, where applicable any Subcontractor, shall promptly notify the Authority as soon as they become aware of:
 - (1) any invention or design the subject of patent or registered Design Rights (or application thereof) owned by a third party which appears to be relevant to the performance of the Contract or to use by the Authority of anything required to be done or delivered under the Contract;
 - (2) any restriction as to disclosure or use, or obligation to make payments in respect of any other intellectual property (including technical Information) required for the purposes of the Contract or subsequent use by the Authority of anything delivered under the Contract and, where appropriate, the notification shall include such Information as is required by Section 2 of the Defence Contracts Act 1958;
 - (3) any allegation of infringement of intellectual property rights made against the Contractor and which pertains to the performance of the Contract or subsequent use by the Authority of anything required to be done or delivered under the Contract.

Clause 34.a does not apply in respect of Contractor Deliverables normally available from the Contractor as a Commercial Off The Shelf (COTS) item or service.

- b. If the Information required under clause 34.a has been notified previously, the Contractor may meet its obligations by giving details of the previous notification.
- c. For COTS Contractor Deliverables patents and registered designs in the UK, in respect of any question arising (by way of an allegation made to the Authority or Contractor, or otherwise) that the manufacture or provision under the Contract of Contractor Deliverables normally available from the Contractor as a COTS item or service is an infringement of a UK patent or registered design not owned or controlled by the Contractor or the Authority, the Contractor shall, subject to the agreement of the third party owning such patent or registered design, be given exclusive conduct of any and all negotiations for the settlement of any claim or the conduct of any litigation arising out of such question. The Contractor shall indemnify the Authority, its officers, agents and employees against any liability and cost arising from such allegation. This condition shall not apply if:
 - (1) the Authority has made or makes an admission of any sort relevant to such question;
 - (2) the Authority has entered or enters into any discussions on such question with any third party without the prior written agreement of the Contractor;
 - (3) the Authority has entered or enters into negotiations in respect of any relevant claim for compensation in respect of Crown Use under Section 55 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1977;
 - (4) legal proceedings have been commenced against the Authority or the Contractor in respect of Crown Use, but only to the extent of such Crown Use that has been properly authorised.
- d. The Authority may disclose the Information:
- e. The indemnity in clause 34.c does not extend to use by the Authority of anything supplied under the Contract where that use was not reasonably foreseeable at the time

- of the Contract.
- f. In the event that the Authority has entered into negotiation in respect of a claim for compensation, or legal proceedings in respect of the Crown Use have commenced, the Authority shall forthwith authorise the Contractor for the purposes of performing the Contract (but not otherwise) to utilise a relevant invention or design in accordance with Sections 55 and 56 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1949 and to use any model, document or information relating to any such invention or design which may be required for that purpose.
 - g. For all other Contractor Deliverables patents and registered designs in the UK, if a relevant invention or design has been notified to the Authority by the Contractor prior to the Effective Date of Contract, then unless it has been otherwise agreed, under the provisions of Sections 55 and 56 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1949, the Contractor is hereby authorised to utilise that invention or design, notwithstanding the fact that it is the subject of a UK Patent or UK Registered Design, for the purpose of performing the Contract.
 - h. If, under clause 34.a, a relevant invention or design is notified to the Authority by the Contractor after the Effective Date of Contract, then:
 - (1) if the owner (or its exclusive licensee) takes or threatens in writing to take any relevant action against the Contractor, the Authority shall issue to the Contractor a written authorisation in accordance with the provisions of Sections 55 and 56 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1949, and
 - (2) in any event, unless the Contractor and the Authority can agree an alternative course of action, the Authority shall not unreasonably delay the issue of a written authorisation in accordance with the provisions of Sections 55 and 56 of the Patents Act 1977 or Section 12 of the Registered Designs Act 1949.
 - i. The Authority shall assume all liability and shall indemnify the Contractor, its officers, agents and employees against liability, including the Contractor's costs, as a result of infringement by the Contractor or their suppliers of any patent, utility model, registered design or like protection outside the United Kingdom in the performance of the Contract when such infringement arises from or is incurred by reason of the Contractor following any specification, statement of work or instruction in the Contract or using, keeping or disposing of any item given by the Authority for the purpose of the Contract in accordance with the Contract.
 - j. The Contractor shall assume all liability and shall indemnify the Authority, its officers, agents and employees against liability, including the Authority's costs, as a result of infringement by the Contractor or their suppliers of any patent, utility model, registered design or like protection outside the UK in the performance of the Contract when such infringement arises from or is incurred otherwise than by reason of the Contractor following any specification, statement of work or instruction in the Contract or using, keeping or disposing of any item given by the Authority for the purpose of the Contract in accordance with the Contract.
 - k. The Contractor shall not be entitled to any reimbursement of any royalty, licence fee or similar expense incurred in respect of anything to be done under the Contract, where:
 - (1) a relevant discharge has been given under Section 2 of the Defence Contracts Act 1958, or relevant authorisation in accordance with Sections 55 or 57 of the Patents Act 1977, Section 12 of the Registered Designs Act 1949 or Section 240 of the Copyright, Designs and Patents Act 1988 in respect of any intellectual property; or
 - (2) any obligation to make payments for intellectual property has not been promptly notified to the Authority under clause 34.a.
 - l. Where authorisation is given by the Authority under clause 34.e, 34.f or 34.g, to the extent permitted by Section 57 of the Patents Act 1977, Section 12 of the Registered Designs Act 1949 or Section 240 of the Copyright, Designs and Patents Act 1988, the Contractor shall also be:
 - (1) released from payment whether by way of royalties, licence fees or similar

- expenses in respect of the Contractor's use of the relevant invention or design, or the use of any relevant model, document or information for the purpose of performing the Contract; and
- (2) authorised to use any model, document or information relating to any such invention or design which may be required for that purpose.
- m. The Contractor shall assume all liability and indemnify the Authority and its officers, agents and employees against liability, including costs as a result of:
- (1) infringement or alleged infringement by the Contractor or their suppliers of any copyright, database right, Design Right or the like protection in any part of the world in respect of any item to be supplied under the Contract or otherwise in the performance of the Contract;
 - (2) misuse of any confidential information, trade secret or the like by the Contractor in performing the Contract;
 - (3) provision to the Authority of any Information or material which the Contractor does not have the right to provide for the purpose of the Contract.
- n. The Authority shall assume all liability and indemnify the Contractor, its officers, agents and employees against liability, including costs as a result of:
- (1) infringement or alleged infringement by the Contractor or their suppliers of any copyright, database right, Design Right or the like protection in any part of the world in respect of any item provided by the Authority for the purpose of the Contract but only to the extent that the item is used for the purpose of the Contract;
 - (2) alleged misuse of any confidential Information, trade secret or the like by the Contractor as a result of use of Information provided by the Authority for the purposes of the Contract, but only to the extent that Contractor's use of that Information is for the purposes intended when it was disclosed by the Authority.
- o. The general authorisation and indemnity is:
- (1) clauses 34.a – 34.m represents the total liability of each Party to the other under the Contract in respect of any infringement or alleged infringement of patent or other Intellectual Property Right (IPR) owned by a third party;
 - (2) neither Party shall be liable, one to the other, for any consequential loss or damage arising as a result, directly or indirectly, of a claim for infringement or alleged infringement of any patent or other IPR owned by a third party;
 - (3) a Party against whom a claim is made or action brought, shall promptly notify the other Party in writing if such claim or action appears to relate to an infringement which is the subject of an indemnity or authorisation given under this Condition by such other Party. The notification shall include particulars of the demands, damages and liabilities claimed or made of which the notifying Party has notice;
 - (4) the party benefiting from the indemnity or authorisation shall allow the other Party, at its own expense, to conduct any negotiations for the settlement of the same, and any litigation that may arise therefrom and shall provide such information as the other Party may reasonably require;
 - (5) following a notification under clause 34.n(3), the Party notified shall advise the other Party in writing within thirty (30) Business Days whether or not it is assuming conduct of the negotiations or litigation. In that case the Party against whom a claim is made or action brought shall not make any statement which might be prejudicial to the settlement or defence of such a claim without the written consent of the other Party;
 - (6) the Party conducting negotiations for the settlement of a claim or any related litigation shall, if requested, keep the other Party fully informed of the conduct and progress of such negotiations.
- p. If at any time a claim or allegation of infringement arises in respect of copyright, database right, Design Right or breach of confidence as a result of the provision of any Contractor Deliverable by the Contractor to the Authority, the Contractor may at its own

expense replace the item with an item of equivalent functionality and performance so as to avoid infringement or breach. The Parties will co-operate with one another to mitigate any claim or damage which may arise from use of third party IPR.

- q. Nothing in condition 34 shall be taken as an authorisation or promise of an authorisation under Section 240 of the Copyright, Designs and Patents Act 1988.

PRICING AND PAYMENT

35. Contract Price

- a. The Contractor shall provide the Contractor Deliverables to the Authority at the Contract Price. The Contract Price shall be a Firm Price unless otherwise stated in Schedule 3 (Contract Data Sheet).
- b. Subject to condition 35.a the Contract Price shall be inclusive of any UK custom and excise or other duty payable. The Contractor shall not make any claim for drawback of UK import duty on any part of the Contract Deliverables supplied which may be for shipment outside of the UK.

36. Payment and Recovery of Sums Due

- a. Payment for Contractor Deliverables will be made by electronic transfer and prior to submitting any claims for payment under clause 36b the Contractor will be required to register their details (Supplier on-boarding) on the Contracting, Purchasing and Finance (CP&F) electronic procurement tool.
- b. Where the Contractor submits an invoice to the Authority in accordance with clause 36a, the Authority will consider and verify that invoice in a timely fashion.
- c. The Authority shall pay the Contractor any sums due under such an invoice no later than a period of 30 days from the date on which the Authority has determined that the invoice is valid and undisputed.
- d. Where the Authority fails to comply with clause 36a and there is undue delay in considering and verifying the invoice, the invoice shall be regarded as valid and undisputed for the purpose of clause 36c after a reasonable time has passed.
- e. The approval for payment of a valid and undisputed invoice by the Authority shall not be construed as acceptance by the Authority of the performance of the Contractor's obligations nor as a waiver of its rights and remedies under this Contract.
- f. Without prejudice to any other right or remedy, the Authority reserves the right to set off any amount owing at any time from the Contractor to the Authority against any amount payable by the Authority to the Contractor under the Contract or under any other contract with the Authority, or with any other Government Department.

37. Value Added Tax

- a. The Contract Price excludes any UK output Value Added Tax (VAT) and any similar EU (or non-EU) taxes chargeable on the supply of Contractor Deliverables by the Contractor to the Authority.
- b. If the Contractor is required by UK VAT law to be registered for UK VAT (or has registered voluntarily) in respect of his business activities at the time of any supply, and the circumstances of any supply are such that the Contractor is liable to pay the tax due to HM Revenue and Customs (HMRC), the Authority shall pay to the Contractor in addition to the Contract Price (or any other sum due to the Contractor) a sum equal to the output VAT chargeable on the tax value of the supply of Contractor Deliverables, and all other payments under the Contract according to the law at the relevant tax point.
- c. The Contractor is responsible for the determination of VAT liability. The Contractor shall consult its Client Relationship Manager or the HMRC Enquiries Desk (and not the Authority's Representative (Commercial)) in cases of doubt. The Contractor shall notify the Authority's Representative (Commercial) of the Authority's VAT liability under the Contract, and any changes to it, within twenty (20) Business Days of becoming aware

- the liability is other than at the standard rate of VAT. In the event of any doubt about the applicability of the tax in such cases, the Authority may require the Contractor to obtain, and pass to the Authority, a formal ruling from HMRC. The Contractor shall comply promptly with any such requirement. Where the Contractor obtains a ruling from HMRC, it shall supply a copy to the Authority within three (3) Business Days of receiving that ruling unless it proposes to challenge the ruling. Where the Contractor challenges the ruling it shall supply to the Authority a copy of any final decisions issued by HMRC on completion of the challenge within three (3) Business Days of receiving the decision.
- d. Where supply of Contractor Deliverables comes within the scope of UK VAT, but the Contractor is not required by UK VAT law to be registered for UK VAT (and has not registered voluntarily), the Authority shall be responsible for assessing and paying over directly to HMRC any UK output VAT due in respect of the Contractor Deliverables. The Contractor shall be responsible for ensuring it takes into account any changes in VAT law regarding registration.
 - e. Where Contractor Deliverables are deemed to be supplied to the Authority outside the UK, the Contractor may be required by the laws of the country where the supply takes place to register there for EU (or non-EU) turnover or similar tax. In that event, the Authority shall pay to the Contractor in addition to the Contract Price (and any other sum due to the Contractor under the Contract) a sum equal to the tax the Contractor is liable to pay to the tax authorities of the country in question in relation to the Contractor Deliverables within thirty (30) calendar days of a written request for payment of any such sum by the Contractor.
 - f. In relation to the Contractor Deliverables supplied under the Contract the Authority shall not be required to pay any sum in respect of the Contractor's input VAT (or similar EU or non-EU or both input taxes). However, these input taxes will be allowed where it is established that, despite the Contractor having taken all reasonable steps to recover them, it has not been possible to do so. Where there is any doubt that the Contractor has complied with this requirement the matter shall be resolved in accordance with condition 40 (Dispute Resolution).
 - g. Should HMRC decide that the Contractor has incorrectly determined the VAT liability, in accordance with clause 37.b above, the Authority will pay the VAT assessed by HMRC. In the event that HMRC so determines, the Contractor shall pay any interest charged on any assessment or penalties or both directly to HMRC. Such interest or penalties or both shall not be recoverable from the Authority under this Contract or any other contract. The Contractor shall supply the Authority with a copy of all correspondence between HMRC and the Contractor's advisors regarding the VAT assessment within three (3) Business Days of a written request from the Authority for such correspondence.

38. Debt Factoring

- a. Subject to the Contractor obtaining the prior written consent of the Authority in accordance with condition 11 (Assignment of Contract), the Contractor may assign to a third Party ("the Assignee") the right to receive payment of the Contract Price or any part thereof due to the Contractor under the Contract (including interest which the Authority incurred through late payment under the Late Payment of Commercial Debts (Interest) Act 1998 ("the Act")). Any assignment of the right to receive payment of the Contract Price (or any part thereof) under this condition 38 shall be subject to:
 - (1) reduction of any sums in respect of which the Authority exercises its right of recovery under clause 36.f
 - (2) all related rights of the Authority under the Contract in relation to the recovery of sums due but unpaid; and
 - (3) the Authority receiving notification under both clauses 38.b and 38.c(2).
- b. In the event that the Contractor obtains from the Authority the consent to assign the right to receive the Contract Price (or any part thereof) under clause 38.a, the Contractor shall notify the Authority in writing of the assignment and the date upon

- which the assignment becomes effective.
- c. The Contractor shall ensure that the Assignee:
 - (1) is made aware of the Authority's continuing rights under clauses 38.a(1) and 38.a(2); and
 - (2) notifies the Authority of the Assignee's contact Information and bank account details to which the Authority shall make payment, subject to any reduction made by the Authority in accordance with clauses 38.a(1) and 38.a(2).
 - d. The provisions of condition 36 (Payment and Recovery of Sums Due) shall continue to apply in all other respects after the assignment and shall not be amended without the prior approval of the Authority.

39. Subcontracting and Prompt Payment

- a. Subcontracting any part of the Contract shall not relieve the Contractor of any of the Contractor's obligations, duties or liabilities under the Contract.
- b. Where the Contractor enters into a Subcontract he shall cause a term to be included in such Subcontract:
 - (1) providing that where the Subcontractor submits an invoice to the Contractor, the Contractor will consider and verify that invoice in a timely fashion;
 - (2) providing that the Contractor shall pay the Subcontractor any sums due under such an invoice no later than a period of thirty (30) days from the date on which the Contractor has determined that the invoice is valid and undisputed;
 - (3) providing that where the Contractor fails to comply with clause 39.b(1) above, and there is an undue delay in considering and verifying the invoice, that the invoice shall be regarded as valid and undisputed for the purposes of clause 39.b(2) after a reasonable time has passed; and
 - (4) requiring the counterparty to that Subcontract to include in any Subcontract which it awards, provisions having the same effect as clauses 39.b(1) to 39.b(4).

TERMINATION

40. Dispute Resolution

- a. The Parties will attempt in good faith to resolve any dispute or claim arising out of or relating to the Contract through negotiations between the respective representatives of the Parties having authority to settle the matter, which attempts may include the use of any alternative dispute resolution procedure on which the Parties may agree.
- b. In the event that the dispute or claim is not resolved pursuant to clause 40.a the dispute shall be referred to arbitration. Unless otherwise agreed in writing by the Parties, the arbitration and this clause 40.b shall be governed by the Arbitration Act 1996. For the purposes of the arbitration, the arbitrator shall have the power to make provisional awards pursuant to Section 39 of the Arbitration Act 1996.
- c. For the avoidance of doubt, anything said, done or produced in or in relation to the arbitration process (including any awards) shall be confidential between the Parties, except as may be lawfully required in judicial proceedings relating to the arbitration or otherwise.

41. Termination for Insolvency or Corrupt Gifts

Insolvency:

- a. The Authority may terminate the Contract, without paying compensation to the Contractor, by giving written Notice of such termination to the Contractor at any time after any of the following events:
 - Where the Contractor is an individual or a firm:
 - (1) the application by the individual or, in the case of a firm constituted under

- English law, any partner of the firm to the court for an interim order pursuant to Section 253 of the Insolvency Act 1986; or
- (2) the court making an interim order pursuant to Section 252 of the Insolvency Act 1986; or
 - (3) the individual, the firm or, in the case of a firm constituted under English law, any partner of the firm making a composition or a scheme of arrangement with his or its creditors; or
 - (4) the presentation of a petition for bankruptcy order against the individual or, in the case of a firm constituted under English law, any partner of the firm unless it is withdrawn within three (3) Business Days from the date on which the Contractor is notified of the presentation; or
 - (5) the court making a bankruptcy order in respect of the individual or, in the case of a firm constituted under English law, any partner of the firm; or
 - (6) where the Contractor is either unable to pay his debts as they fall due or has no reasonable prospect of being able to pay debts which are not immediately payable. The Authority shall regard the Contractor as being unable to pay his debts if:
 - (a) he has failed to comply with or to set aside a Statutory demand under Section 268 of the Insolvency Act 1986 within twenty-one (21) days of service of the Statutory Demand on him; or
 - (b) execution or other process to enforce a debt due under a judgement or order of the court has been returned unsatisfied in whole or in part.
 - (7) the presentation of a petition for sequestration in relation to the Contractor's estates unless it is withdrawn within three (3) Business Days from the date on which the Contractor is notified of the presentation; or
 - (8) the court making an award of sequestration in relation to the Contractor's estates.

Where the Contractor is a company registered in England:

- (9) the presentation of a petition for the appointment of an administrator; unless it is withdrawn within three (3) Business Days from the date on which the Contractor is notified of the presentation; or
- (10) the court making an administration order in relation to the company; or
- (11) the presentation of a petition for the winding-up of the company unless it is withdrawn within three (3) Business Days from the date on which the Contractor is notified of the presentation; or
- (12) the company passing a resolution that the company shall be wound-up; or
- (13) the court making an order that the company shall be wound-up; or
- (14) the appointment of a Receiver or manager or administrative Receiver.

Where the Contractor is a company registered other than in England, events occur or are carried out which, within the jurisdiction to which it is subject, are similar in nature or effect to those specified in clauses 41.a(9) to 41.a(14) inclusive above.

- b. Such termination shall be without prejudice to and shall not affect any right of action or remedy which shall have accrued or shall accrue thereafter to the Authority and the Contractor.

Corrupt Gifts:

- c. The Contractor shall not do, and warrants that in entering the Contract it has not done any of the following (hereafter referred to as 'prohibited acts'):
 - (1) offer, promise or give to any Crown servant any gift or financial or other advantage of any kind as an inducement or reward;
 - (a) for doing or not doing (or for having done or not having done) any act in relation to the obtaining or execution of this or any other contract with the Crown; or

- (b) for showing or not showing favour or disfavour to any person in relation to this or any other Contract with the Crown.
- (2) enter into this or any other Contract with the Crown in connection with which commission has been paid or has been agreed to be paid by it or on its behalf, or to its knowledge, unless before the Contract is made particulars of any such commission and of the terms and conditions of any such agreement for the payment thereof have been disclosed in writing to the Authority.
- d. If the Contractor, its employees, agents or any subcontractor (or anyone acting on its behalf or any of its or their employees) does any of the prohibited acts or commits any offence under the Bribery Act 2010 with or without the knowledge or authority of the Contractor in relation to this Contract or any other contract with the Crown, the Authority shall be entitled:
 - (1) to terminate the Contract and recover from the Contractor the amount of any loss resulting from the termination;
 - (2) to recover from the Contractor the amount or value of any such gift, consideration or commission; and
 - (3) to recover from the Contractor any other loss sustained in consequence of any breach of this condition, where the Contract has not been terminated.
- e. In exercising its rights or remedies under this condition, the Authority shall:
 - (1) act in a reasonable and proportionate manner having regard to such matters as the gravity of, and the identity of the person performing, the prohibited act;
 - (2) give all due consideration, where appropriate, to action other than termination of the Contract, including (without being limited to):
 - (a) requiring the Contractor to procure the termination of a subcontract where the prohibited act is that of a Subcontractor or anyone acting on its or their behalf;
 - (b) requiring the Contractor to procure the dismissal of an employee (whether its own or that of a Subcontractor or anyone acting on its behalf) where the prohibited act is that of such employee.
- f. Recovery action taken against any person in Her Majesty's service shall be without prejudice to any recovery action taken against the Contractor pursuant to this Condition.

42. Termination for Convenience

- a. The Authority shall have the right to terminate the Contract in whole or in part at any time by giving the Contractor at least twenty (20) business days written notice (or such other period as may be stated in Schedule 3 (Contract Data Sheet)). Upon expiry of the notice period the Contract, or relevant part thereof, shall terminate without prejudice to the rights of the parties already accrued up to the date of termination. Where only part of the Contract is being terminated, the Authority and the Contractor shall owe each other no further obligations in respect of the part of the Contract being terminated, but will continue to fulfil their respective obligations on all other parts of the Contract not being terminated.
- b. Following the above notification the Authority shall be entitled to exercise any of the following rights in relation to the Contract (or part being terminated) to direct the Contractor to:
 - (1) not start work on any element of the Contractor Deliverables not yet started;
 - (2) complete in accordance with the Contract the provision of any element of the Contractor Deliverables;
 - (3) as soon as may be reasonably practicable take such steps to ensure that the production rate of the Contractor Deliverables is reduced as quickly as possible;
 - (4) terminate on the best possible terms any subcontracts in support of the Contractor Deliverables that have not been completed, taking into account any direction given under clauses 42.b(2) and 42.b(3) of this condition.
- c. Where this condition applies (and subject always to the Contractor's compliance with

any direction given by the Authority under clause 42.b):

- (1) The Authority shall take over from the Contractor at a fair and reasonable price all unused and undamaged materiel and any Contractor Deliverables in the course of manufacture that are:
 - (a) in the possession of the Contractor at the date of termination; and
 - (b) provided by or supplied to the Contractor for the performance of the Contract,
except such materiel and Contractor Deliverables in the course of manufacture as the Contractor shall, with the agreement of the Authority, choose to retain;
 - (2) the Contractor shall deliver to the Authority within an agreed period, or in absence of such agreement within a period as the Authority may specify, a list of:
 - (c) all such unused and undamaged materiel; and
 - (d) Contractor Deliverables in the course of manufacture, that are liable to be taken over by, or previously belonging to the Authority, and shall deliver such materiel and Contractor Deliverables in accordance with the directions of the Authority;
 - (3) in respect of Services, the Authority shall pay the Contractor fair and reasonable prices for each Service performed, or partially performed, in accordance with the Contract.
- d. The Authority shall (subject to clause 42.e below and to the Contractor's compliance with any direction given by the Authority in clause 42.b above) indemnify the Contractor against any commitments, liabilities or expenditure which would otherwise represent an unavoidable loss by the Contractor by reason of the termination of the Contract, subject to:
- (1) the Contractor taking all reasonable steps to mitigate such loss; and
 - (2) the Contractor submitting a fully itemised and costed list of such loss, with supporting evidence, reasonably and actually incurred by the Contractor as a result of the termination of the Contract or relevant part.
- e. The Authority's total liability under the provisions of this Condition shall be limited to the total price of the Contractor Deliverables payable under the contract (or relevant part), including any sums paid, due or becoming due to the Contractor at the date of termination, subject to the terms at clause 46.9.
- f. The Contractor shall include in any subcontract over £250,000 which it may enter into for the purpose of the Contract, the right to terminate the subcontract under the terms of clauses 42.a to 42.e except that:
- (1) the name of the Contractor shall be substituted for the Authority except in clause 42.c(1);
 - (2) the notice period for termination shall be as specified in the subcontract, or if no period is specified twenty (20) business days; and
 - (3) the Contractor's right to terminate the subcontract shall not be exercised unless the main Contract, or relevant part, has been terminated by the Authority in accordance with the provisions of this condition 42.
- g. Claims for payment under this condition shall be submitted in accordance with the Authority's direction.

43. Material Breach

- a. In addition to any other rights and remedies, the Authority shall have the right to terminate the Contract (in whole or in part) with immediate effect by giving written Notice to the Contractor where the Contractor is in material breach of its obligations under the Contract.
- b. Where the Authority has terminated the Contract under clause 43.a the Authority shall

have the right to claim such damages as may have been sustained as a result of the Contractor's material breach of the Contract, including but not limited, subject to Clause 46.10, to any costs and expenses incurred by the Authority in:

- (1) carrying out any work that may be required to make the Contractor Deliverables comply with the Contract; or
- (2) obtaining the Contractor Deliverable in substitution from another supplier.

44. Consequences of Termination

The termination of the Contract, however arising, shall be without prejudice to the rights and duties of either Party accrued prior to termination. The Conditions that expressly or by implication have effect after termination shall continue to be enforceable even after termination.

45 Project specific DEFCONs, DEFCON SC variants and DEFFORMS that apply to this contract

DEFCON 524A

DEFCON 524A (Edn. 02/20) – Counterfeit Materiel

Note: This DEFCON references DEFCON 524 – Rejection, this DEFCON is included as a narrative condition in the contract, therefore please refer to condition 30.

DEFCON 532B

DEFCON 532B (Edn. 05/18) - Protection Of Personal Data (Where Personal Data is being processed on behalf of the Authority)

DEFCON 601 (SC)

DEFCON 601 (SC) (Edn. 03/15) - Redundant Material

Note: The condition will apply to the extent that the redundant materiel derives from the property owned by the MOD and issued to the contractor.

DEFCON 611 (SC2)

DEFCON 611 (SC2) (Edn. 02/16) – Issued Property

DEFCON 624 (SC2)

DEFCON 624 (SC2) (Edn. 11/17) - Use of Asbestos

DEFCON 627

DEFCON 627 (Edn. 12/10) - Quality Assurance - Requirement for a Certificate of Conformity

DEFCON 630 (SC2)

DEFCON 630 (SC2) (Edn. 11/17) - Framework Agreements

DEFCON 658 (SC2)

DEFCON 658 (SC2) (Edn. 11/17) – Cyber

Note: Further to DEFCON 658 the Cyber Risk Profile of the Contract is Low, as defined in Def Stan 05-138.

DEFCON 660

DEFCON 660 (Edn. 12/15) - Official-Sensitive Security Requirements

DEFCON 684

DEFCON 684 (Edn. 01/04) - Limitation Upon Claims In Respect Of Aviation Products

DEFCON 694 (SC2)

DEFCON 694 (SC2) (Edn. 08/18) - Accounting For Property of the Authority

AUTHORISATION BY THE CROWN FOR USE OF THIRD-PARTY INTELLECTUAL PROPERTY RIGHTS

Notwithstanding any other provisions of the Contract and for the avoidance of doubt, award of the Contract by the Authority and placement of any contract task under it does not constitute an authorisation by the Crown under Sections 55 and 56 of the Patents Act 1977 or Section

12 of the Registered Designs Act 1949. The Contractor acknowledges that any such authorisation by the Authority under its statutory powers must be expressly provided in writing, with reference to the acts authorised and the specific intellectual property involved

DEFFORM 129J

Shipping Form Design

Use the following design and complete the fields in accordance with Annex A:

| | | | |
|---|---|---|--|
| DEFFORM 129J | | Edn 09/17 | |
| From: 777 ANGEL ROAD St PAULS EDENVILLE HE6 40N | | Unique Identifier:  823456-8234/823458234/82349245 | |
| Via: | | To: | |
| Demand / Task Reference:  *BC278787* | | | |
| Description: | | | |
| RDD: | SPC: | UN Haz Code: | |
| Date Shipped: | Batch Number | Piece Number: | |
| Weight: | | Dimensions: | |
| NSN: |  | | |
| | | | |
| *5120996260953* | | | |
| IMC/DMC: | D of Q: | Qty in Package: Total this Delivery: | |

NB Four fields have been completed for illustration purposes only

ANNEX A TO DEFFORM 129J

Shipping Form Attributes

The following table details the shipping form fields.

| Field | Field Name | Field Description | | Field Size | Data Type | Format | Mandatory for: |
|-------|---|---|---|------------|--|--|------------------|
| A | From | Details of the supplier providing the Goods or Service. | | 256 | alphanumeric | | Goods & Services |
| B | Unique Identifier (UOI, URRI or EUPI) | Unique Order Identifier (UOI) Produced by the Contracting, Purchasing and Finance (CP&F) electronic procurement tool for non inventory Purchase Orders | <p>The identifier that CP&F uses to uniquely identify a specific shipment within a Purchase Order Line.</p> <p>These fields are joined together in the UOI.</p> <p>This field should be provided in both Bar Code Symbology 39 and human readable text.</p> | 30 | Alphanumeric and Bar Code Symbology 39 | <p>The PO Number, PO Line Number and PO Shipment Number are separated by the forward slash character '/'</p> <p>If the PO Number is for a Blanket Purchase Agreement (BPA) then the format of the Number is: BPA Number and BPA Release Number delimited by the hyphen character '-'.</p> <p>Example of a UOI for a BPA: <i>123456-1234/12345/1234</i></p> <p>If the PO Number is for a Standard PO and Contract Purchase Agreement (CPA) then the format of the Number is: Numeric</p> <p>Example of a UOI for a Standard PO or CPA: <i>23456/12345/1234</i></p> | Goods & Services |

| Field | Field Name | Field Description | | Field Size | Data Type | Format | Mandatory for: |
|-------|------------|---|---|------------|--|--|--------------------|
| | | Unique Receipt Reference Identifier (URRI) Produced by CP&F for Inventory Orders | An alpha/numeric sequence that links the item received to original Purchase Order/Dues-In. For each full or part item delivery the Trading Partner will add an alpha suffix to the Unique Receipt Reference Number. | 6 | This attribute is provided in both Bar Code 39 and human readable text format. | 5 or 6 alphanumeric in the following formats: For deliveries to Sea: Sxxxxxa e.g. S1234AA For deliveries to Land: Lxxxxxa e.g. L1234BA For deliveries to Air: xxxxxA e.g. 12345A | Goods and Services |
| | | Electronic Business Capability Unique Package Identifier (EUPI) | An alphanumeric sequence generated by the supplier. | 12 | alphanumeric | | Goods |
| C | Via | Intermediate Address responsible for forwarding the package to the final destination. The address to which the supplier should send the delivery if filled in. | | 256 | alphanumeric | | Goods and Services |
| D | To | The Final Address to which the package shall be delivered or, in the case of a service, the address of the receipting authority. Unit name | | 256 | alphanumeric | | Goods and Services |

| Field | Field Name | Field Description | | Field Size | Data Type | Format | Mandatory for: |
|-------|---------------------|---|---|------------|--------------|---|--------------------|
| | | Non CP&F electronic Orders (Where the Unique Identifier is the EUPI) | Demand Date + Serial Number + Line number + UIN | 8+5+6+6 | alphanumeric | DDMMYYYY + 12345678 + 12345 + 123456 + 123456 | Goods |
| F | Description | Description of the item or service as defined in the contract. | | 240 | alphanumeric | | Goods and Services |
| G | RDD | Required Delivery Date (RDD) that the package is required at the demander's point of delivery. | | 8 | numeric | DD/MM/YYYY | Goods |
| H | SPC | The Standard Priority Code denoting at what speed the package should be handled within MoD Supply Chain. | | 2 | numeric | | Goods |
| J | UN Haz Code | UN Hazard Class. Denotes the potential hazard of the items within the package References: DEFCON 68 and DEFCON 129 | | 2 | alphanumeric | | Goods |
| K | Date Shipped | Date package dispatched from the supplier or service provided. | | 8 | Numeric | DD/MM/YYYY | Goods and Services |
| L | Batch Number | Batch Production Number indicated on the goods if required | | | | | Goods |

| Field | Field Name | Field Description | Field Size | Data Type | Format | Mandatory for: |
|-------|-----------------------|--|------------|----------------------|--------|----------------|
| M | Piece Number | The specific number of the package as a constituent of a number of packages delivered to complete one order. i.e. 1 of 1, 2 of 2 or 4 of 10 | 6 | alphanumeric | | Goods |
| N | Weight | The gross weight of the package in metric format. | 8 | numeric | | Goods |
| P | Dimensions | The size of the package in L x B x H in metric format | 15 | alphanumeric | | Goods |
| Q | NSN | The NATO Stock number. The NATO supply Classification code (NSC), Nation Code (NC) and Item Identity Number (IIN) that denotes the unique identification of a line item within the inventory system. This 13 character attribute (NSC 4, NC2, IIN 7) is provided in both Barcode 39 and human readable test format. | 13 | numeric & Barcode 39 | | Goods |
| R | IMC/DMC | The Inventory Management Code (IMC) / Domestic management Code (DMC) code given to a range of like or linked items managed by MoD Inventory manager | 6 | alphanumeric | | Goods |
| S | DofQ | Denomination of Quantity of the items in the package | 2 | alphanumeric | | Goods |
| T | Qty in Package | The total quantity of the item contained within the package | 7 | numeric | | Goods |

| Field | Field Name | Field Description | Field Size | Data Type | Format | Mandatory for: |
|-------|----------------------------|--|------------|-----------|--------|----------------|
| T | Total This Delivery | The total quantity of the item being delivered for a specific order shipment | 9 | numeric | | Goods |

DEFFORM 532**Personal Data Particulars****DEFFORM 532**

Edn 05/18

This Form forms part of the Contract and must be completed and attached to each Contract containing DEFCON 532B.

| | |
|--|---|
| Data Controller | <p>The Data Controller is the Secretary of State for Defence (the Authority). The Personal Data will be provided by:</p> <p>Apache Delivery Team, DE&S Helicopters, Box 188, Centenary House, Leonardo Helicopters, Lysander Road, Yeovil, BA20 2YB</p> <p>DES Apache-ComrcI8 Lewis.Parker117@mod.gov.uk DES Apache-EngMechASM Glen.Barker479@mod.gov.uk</p> |
| Data Processor | <p>The Data Processor is the Contractor. The Personal Data will be processed at:</p> <p>Robertson Fuel Systems LLC, 800 West Carver Rd, Tempe, Arizona 85284 USA</p> <p>This text has been redacted under exemptions set out by the Freedom of Information act.</p> |
| Data Subjects | <p>The Personal Data to be processed under the Contract concern the following Data Subjects or categories of Data Subjects:</p> <p><i>Not Applicable</i></p> |
| Categories of Data | <p>The Personal Data to be processed under the Contract concern the following categories of data:</p> <p><i>Not Applicable</i></p> |
| Special Categories of data (if appropriate) | <p>The Personal Data to be processed under the Contract concern the following Special Categories of data:</p> |

| | |
|---|---|
| | <i>Not Applicable</i> |
| Subject matter of the processing | The processing activities to be performed under the contract are as follows: <i>Not Applicable</i> |
| Nature and the purposes of the Processing | The Personal Data to be processed under the Contract will be processed as follows: <i>Not Applicable</i> |
| Technical and organisational measures | The following technical and organisational measures to safeguard the Personal Data are required for the performance of this Contract: <i>Not Applicable</i> |
| Instructions for disposal of Personal Data | The disposal instructions for the Personal Data to be processed under the Contract are as follows (where Disposal Instructions are available at the commencement of Contract): <i>Not Applicable</i> |
| Date from which Personal Data is to be processed | Where the date from which the Personal Data will be processed is different from the Contract commencement date this should be specified here: <i>Not Applicable</i> |

The capitalised terms used in this form shall have the same meanings as in the General Data Protection Regulations.

46 Special conditions that apply to this Contract

46.1 – Option Years

- a. The Contract shall come into effect on Contract Award Date and shall expire on 31st March 2024.
- b. By accepting the Contract, in addition to the core period (Years 1 – 4) specified at Schedule 2, the Contractor gives the Authority the option to extend the Contract for a further 2 (two) years until 31st March 2026 which shall be on the same Terms and Conditions as the original duration.

The Authority requires option prices for 2 (two), 1 (one) year option periods.

Year 5: 01/04/2024 to 31/03/2025

Year 6: 01/04/2025 to 31/03/2026

In the event that the Authority exercises these option years, the Authority shall notify the Contractor in writing of its intentions by no later than 3 (three) months prior to the end date of the contract.

- c. The expiry or termination of this Contract shall not affect the continuance in force of any provision of this Contract which is expressly or by implication intended to continue in force on or after such expiry or Determination, including, but not exclusively, any indemnification provisions, limits of liability, confidentiality provisions and Intellectual Property Rights (IPR).

46.2 – Authorisation of Work

- a. Schedule 2 – Schedule of Requirements, Table 1, Item 1 – Refurbishment of IAFS inclusive of Inspection, Spares and Repairs.
 - i) When an IAFS is identified by the Authority as requiring refurbishment, in line with the agreed Schedule 2 – Schedule of Requirements, Table 2, Statement of Requirements. The Authority shall notify the Contractor and arrange for the item to be delivered to the Contractor via the Freight Forwarder identified in DEFFORM 111.
 - ii) Once the Authority has confirmed to the Contractor that the items have been collected for onward transportation to the Contractor, in accordance with Schedule 2 – Schedule of Requirements, Table 1, Item 1 has already been authorised in accordance with Schedule 2 - Schedule of Requirements, Annex 1 – Work Scope and therefore can be started immediately once the Contractor has confirmed receipt of the items to the Authority.
 - iii) Once work has commenced, if it is identified that additional work outside of the agreed Work Scope is required, additional authorisation from the Authority will be required via the Contractor submitting a Work Authorisation Form (WAF) to the Authority in line with Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements. This work will be covered under Item 5 – Ad Hoc Tasking. No additional work outside of the agreed work scope is to commence until this WAF has been authorised by the Authority's Project Manager and Commercial Officer.
 - iv) Once all work on the IAFS has been completed, the Contractor shall arrange for the item to be delivered back to the specified Unit Location identified on DEFFORM 111, via the freight forwarder identified in DEFFORM 111.

- v) Following authorisation and completion of additional work the requirement will be added to Schedule 4 Annex 1 - Record of Amendments to Contract 700008662 of the Contract via Contract Amendment.
- b. Schedule 2 – Schedule of Requirements, Item 2 – Refurbishment of RCEFS inclusive of Inspection, Spares and Repairs.
- i) When an RCEFS is identified by the Authority as requiring refurbishment, in line with the agreed Schedule 2 – Schedule of Requirements, Table 2, Statement of Requirements. The Authority shall notify the Contractor and arrange for the item to be delivered to the Contractor via the Freight Forwarder identified in DEFFORM 111.
 - ii) Once the Authority has confirmed to the Contractor that the items have been collected for onward transportation to the Contractor, in accordance with Schedule 2 – Schedule of Requirements, Table 1, Item 2 has already been authorised in accordance with Schedule 2 Annex 1 and therefore can be started immediately once the Contractor has confirmed receipt of the items to the Authority.
 - iii) Once work has commenced, if it is identified that additional work outside of the agreed Work Scope is needed, additional authorisation from the Authority will be required via the Contractor submitting a Work Authorisation Form (WAF) to the Authority in line with Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements. This work will be covered under Item 5 – Ad Hoc Tasking. No additional work outside of the agreed work scope is to commence until this WAF has been authorised by the Authority’s Project Manager and Commercial Officer.
 - iv) Once all work on the RCEFS has been completed, the Contractor shall arrange for the item to be delivered back to the specified Unit Location identified on DEFFORM 111, via the freight forwarder identified in DEFFORM 111.
 - v) Following authorisation and completion of additional work the requirement will be added to Schedule 4 Annex 1 - Record of Amendments to Contract 700008662 of the Contract via Contract Amendment.
- c. Schedule 2 – Schedule of Requirements, Item 3 Purchase of RCEFS or IAFS.
- i) Schedule 2 – Schedule of Requirements, Table 1, Item 3 is firm priced; a CP&F Purchase Order will be issued to the Contractor to provide authorisation for the purchase of RCEFS or IAFS.
 - ii) Following authorisation to purchase RCEFS or IAFS, the requirement will be added to Schedule 4 Annex 1 - Record of Amendments to Contract 700008662 of the Contract via Contract Amendment.
- d. Schedule 2 – Schedule of Requirements, Item 4 Purchase of Spares for the support of the RCEFS and IAFS.
- i) The Master Spares List at Schedule 10 is firm priced; a CP&F Purchase Order will be issued to the Contractor to provide authorisation for the purchase of Spares.
 - ii) Following authorisation to purchase additional spares, the requirement will be added to Schedule 4 Annex 1 - Record of Amendments to Contract 700008662 of the Contract every (three) 3 months via Contract Amendment.
- e. Schedule 2 – Schedule of Requirements, Item 5 Ad Hoc Tasking
- i) A WAF will be submitted to the Contractor in the event of the requirement for potential Ad Hoc

Tasking. The WAF response should provide a firm price for the requirement(s) and completed in line with Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements.

- ii) If the Authority wishes to proceed with Ad Hoc Tasking, the WAF will be accepted and signed by Commercial. This will provide the authorisation for Ad Hoc Tasking.
- iii) Following authorisation and completion of Ad Hoc Tasking, the requirement will be added to Schedule 4 Annex 1 - Record of Amendments to Contract 700008662 of the Contract via Contract Amendment.

46.3 – Pricing

- a. Item 1 - The firm price for Refurbishment of IAFS inclusive of Inspection, Spares & Repairs is stated at Schedule 2 for the Work Scope detailed at Schedule 2 – Schedule of Requirements, Annex 1 under the following grounds;
 - i) The Contractor must provide a firm price not subject to variation and in line with the Contract's Terms and Conditions against Schedule 2 – Schedule of Requirements, Item 1 for Years 1-6.
 - ii) The Contractor shall determine the extent of such work prior to proceeding with the repair. If the work is covered under Item 1 of Schedule 2 – Schedule of Requirements, Annex 1 – Work Scope the Contractor is authorised to proceed.
 - iii) If the Contractor identifies that additional work is required outside of the agreed Work Scope detailed at Schedule 2 – Schedule of Requirements, Annex 1 additional authorisation from the Authority will be required via the Contractor submitting a WAF to the Authority providing a firm price for the work. This will be drafted in line with Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements and covered under Item 5 – Ad Hoc Tasking. No additional work outside of the agreed work scope is to commence until this WAF has been authorised by the Authority's Project Manager and Commercial Officer.
- b. Item 2 - The firm price for Refurbishment of RCEFS inclusive of Inspection, Spares & Repairs is stated at Schedule 2 for the Work Scope detailed at Schedule 2 – Schedule of Requirements, Annex 1 under the following grounds;
 - i) The Contractor must provide a firm price not subject to variation and in line with the Contract's Terms and Conditions against Schedule 2 – Schedule of Requirements, Item 2 for Years 1-6.
 - ii) The Contractor shall determine the extent of such work prior to proceeding with the repair. If the work is covered under Item 2 of Schedule 2 – Schedule of Requirements, Annex 1 – Work Scope the Contractor is authorised to proceed.
 - iii) If the Contractor identifies that additional work is required outside of the agreed Work Scope detailed at Schedule 2 – Schedule of Requirements, Annex 1 additional authorisation from the Authority will be required via the Contractor submitting a WAF to the Authority providing a firm price for the work. This will be drafted in line with Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements and covered under Item 5 – Ad Hoc Tasking. No additional work outside of the agreed work scope is to commence until this WAF has been authorised by the Authority's Project Manager and Commercial Officer.
- c. Item 3 - Purchase of RCEFS or IAFS
 - i) The Contract must provide firm prices not subject to variation and in line with the Contract's Terms and Conditions against Schedule 2 – Schedule of Requirements, Item 3 for Years 1-6.

- d. Item 4 - Purchase of Spares for the support of the RCEFS and IAFS
 - i) The Contractor must provide firm prices not subject to variation and in line with the Contract's Terms and Conditions against Schedule 2 Item 4 for Years 1-6. Spares are identified in the Master Spares List at Schedule 10.
- e. Item 5 - Ad Hoc Tasking
 - i) The WAF will be issued to the Contractor for Ad Hoc Tasking. The Contractor must provide a firm price not subject to variation and in line with Schedule 12 – Firm rates for Item 5 of Schedule 2 – Schedule of Requirements and Contract's Terms and Conditions against Schedule 2 – Schedule of Requirements, Item 5 for Years 1-6. The Contractor shall complete the WAF and submit it to the Authority. Should the Authority decide to accept and approve, the requirement will be added to Schedule 4 – Annex 1 - Record of Amendments to Contract 700008662 by Contract Amendment.

46.4 – Payment Terms

- a. Reasonable time as stated in clause 36.d. Payment and Recovery of Sums Due, shall not exceed 30 days from the date the Authority receives the deliverables, or 60 days after the Authority's freight forwarder receives the deliverables, whichever first occurs.
- b. In addition to clause 36. Payment and Recovery of Sums Due, the following shall apply regarding payment. Item 1 and Item 2 of Schedule 2 – Schedule of Requirements, Table 1 shall have a Purchase Order (PO) loaded for each Year on the Contracting Purchasing and Finance (CP&F) System.
- c. Any additional work outside of the agreed Work Scope under Schedule 2 – Schedule of Requirements, Annex 1 Item 1 and Item 2 shall be authorised by an approved WAF and added to the Contract by Contract Amendment. This additional work will now be covered under Item 5 – Ad Hoc Tasking. Once the Contract Amendment has been agreed between the Authority and the Contractor, an electronic PO will be issued on the CP&F System for the task value. The Authority's Commercial Officer shall advise the Contractor of the relevant PO Number.
- c. When the Contractor has completed the work under Schedule 2 – Schedule of Requirements, Annex 1 Item 1 and/or Item 2 to the satisfaction of the Authority's Project Manager, the Contractor shall input an invoice on CP&F for the contracted firm price against the relevant PO and provide the Authority's Project Manager with a completed DEFFORM 129J – Electronic Business Delivery Form.
- d. Item 3 – Purchase of RCEFS or IAFS has been agreed under Schedule 2 – Schedule of Requirements and Item 4 – Purchase of Spares has been agreed under Schedule 10 – Master Spares List. When an item is required a PO will be submitted to the Contractor. When the Contractor has completed the requirement to the satisfaction of the Authority's Project Manager, the Contractor shall input an invoice on CP&F for the contracted firm price against the relevant PO and provide the Authority's Project Manager with a completed DEFFORM 129J – Electronic Business Delivery Form.
- e. On receipt of the DEFFORM 129J, the Authority's Project Manager confirms satisfactory completion of the relevant work and then arranges for the receipting of the CP&F PO.
- f. If the PO, Invoice and Receipt prices all match this will create a 3-way Match on CP&F and payment will be made to the Contractor electronically via the Authority's Bill Paying Authority.
- g. The Contractor shall not submit invoices for payment until the later of either the day on which

contractor deliverables are received by the Authority consignee or the day in which a DEFFORM 129J is received by the Authority. If an invoice is submitted early, Defence Business Services (DBS) will remove the invoice from the Exostar/CP&F system. This will then have to be resubmitted by the Contractor.

46.5 – Cyber Security

- a. The Cyber risk has been assessed as ‘Low’. A Supplier Assurance Questionnaire (SAQ) via Online Octavian Tool must be completed by the contractor and, if necessary, further Risk Assessments, should be completed by the contractor and flowed down to any sub-contractors. The MOD Risk Assessment Reference Number is **RAR-4MMD8DGA**.
- b. The Contractor shall demonstrate to the Authority an acceptable level of compliance against Def-Stan 05-138 through the completion of a SAQ. If an acceptable level of compliance cannot be demonstrated, then the Contractor will need to either:
 - i) Commit to doing so by an agreed date by submitting a Cyber Implementation Plan (CIP); or
 - ii) Commit to maintaining alternative, appropriate controls described in a CIP which is acceptable to the MOD in accordance with the risk acceptance process for the Cyber Security Model (CSM).
- c. The Contractor is directed to the following website for further guidance:

<https://www.gov.uk/government/publications/defence-cyber-protection-partnership-cyber-risk-profiles>

46.6 – Military Aviation Authority (MAA) Regulatory Publications

- a. The Contractor shall comply with the following MAA Regulatory Publications (“MRP”) issued by the Military Aviation Authority (“the Regulator”):
 - i) Overarching documents:
 - MAA01: MAA Regulatory Policy
 - MAA02: MAA Master Glossary
 - ii) Regulatory Articles (RA):
 - Details of the Regulatory Articles applicable to this contract are included in Schedule 2 Annex 2
- b. The Contractor shall comply with the Regulations set out in the above RAs by following:
 - i) the acceptable means of compliance (“AMC”) prescribed therein;
 - ii) where there is more than one AMC, an AMC or AMCs agreed by the Contractor with the Regulator; or

- iii) other alternative means as may be agreed by the Contractor with the Regulator.
- c. Complying with the MRP does not reduce or
- d. limit any statutory or legal obligation of the Contractor.

46.7 – Obsolescence

- a. The Contractor shall be responsible for managing obsolescence over the entire period of the contract and, notwithstanding any Obsolescence Issues or problems; the Contractor remains responsible for meeting all performance and other requirements of this contract.
- b. The Contractor shall implement a proactive Obsolescence Management strategy in accordance with IEC 62402:2007 (Obsolescence Management – Application Guide). This shall include as a minimum:
 - i) the ongoing identification and review of Obsolescence Concerns and Obsolescence Issues over contract length;
 - ii) the identification of mitigation action for Obsolescence Concerns over contract length;
 - iii) the identification of resolution action for Obsolescence Issues.

This strategy shall include, but is not limited to, obsolescence of components, assemblies, sub-assemblies, piece parts, and material (hereafter referred to for purposes of this section only as “parts and/or material”).

- c. The Contractor shall liaise with the Authority to ensure mitigation / resolution of Obsolescence Concerns and Obsolescence Issues are appropriately aligned with the Authority’s Future Capability Upgrade Programme.
- d. Any configuration changes due to obsolescence shall be approved in accordance with the Configuration Management process defined in this contract.
- e. The Contractor shall provide the Authority with obsolescence status briefs, as part of the periodic programme reviews.
- f. The Contractor shall ensure all known Obsolescence Issues and forecasted Obsolescence Concerns have been identified and have mitigation plans. Not less than 12 months before contract end, the Contractor shall transfer this data to the Authority which shall fall within the negotiated contract price. The Contractor shall ensure that the Authority shall have the right to use this data.
- g. The Authority shall be responsible for all costs associated with:
 - i) The Mitigation of Obsolescence Concerns (limited to Planned System Upgrades and Risk Mitigation Buys);
 - ii) The resolution of Obsolescence Issues.

The costs for which the Authority is responsible include, but are not limited to, the costs of investigating part availability, locating suitable part replacement, vendor interface, engineering and

redesign efforts, testing and qualification requirements, documentation changes.

46.8 – Shipping

a. Unless otherwise stated, delivery shall be Ex Works (INCOTERMS 2000) the Contractors facility with all shipping costs being paid by the Authority. The Contractor shall be responsible for loading of Articles onto the Authority's designated transport. Unless otherwise informed, the Contractor is to arrange shipment of the Articles to the following address:

SHIP TO: Kuehne + Nagel, Inc.
UKDPO Representative
22920 Ladbroke Drive
Dulles, VA 20166

Tel: (202) 445 3970

Email: richard.hamilton185@mod.gov.uk

b. The method of shipping is determined by the weight and dimensions of the package. The shipment method must adhere to the following:

i) **If the package weight is less than 150 lbs and below 60" in height**

Federal Express
1 800 463 3339
A/C 979-268-939
NMFC Code 77.5
No Declared Value

USE FEDEX 2 DAY SERVICE ONLY.
FEDEX PRIORITY TO BE USED FOR AOG's / UOR's ONLY.
DO NOT USE THIS ACCOUNT TO SHIP ITEMS DIRECT TO UK.

ii) **If the package weight is 150lbs and less than 2500 lbs and below 60" in height**

Fedex Freight Economy
1 866 393 4585
A/C 979-268-939
NMFC Code 77.5
No Declared Value

iii) **If the package weighs over 2500 or greater than 60" in height**

Contact UKDPO Shipping Officers for advice
Tel: (202) 445 3970 – richard.hamilton185@mod.gov.uk

46.9 – Termination for Convenience

- a. In addition to the terms at Clause 42. The parties acknowledge that the costs and expenses associated with a Termination for Convenience are not subject to exact calculation based on the Contractor's business model and that the Contractor shall be paid a percentage of the Contract price based on the following Termination Liability Schedule (TLS).
- b. The TLS is stated in days terminated prior to the scheduled delivery date, and the price is stated as a percentage of the purchase price of the items terminated.

| Days Prior to Delivery Date | Termination Price (% of Unit Purchase Price Terminated) |
|-----------------------------|--|
| 0 – 30 | 50% |
| 31 – 180 | 35% |
| 181+ | 10% |

46.10 – Material Breach

- a. Where the Authority has terminated the Contract under clause 43.a the Authority shall have the right to claim such damages as may have been sustained as a result of the Contractor's material breach of the Contract, limiting damages to 110% of the value of items terminated by the Authority.

Schedule 1 - Definitions of Contract

| | |
|--------------------------------------|---|
| Articles | means the Contractor Deliverables (goods and/or the services), including Packaging (and Certificate(s) of Conformity and supplied in accordance with any QA requirements if specified) which the Contractor is required to provide under the Contract in accordance with Schedule 2 (Schedule of Requirements), but excluding incidentals outside Schedule 2 (Schedule of Requirements) such as progress reports. (This definition only applies when DEFCONs are added to these Conditions); |
| Authority | means the Secretary of State for Defence acting on behalf of the Crown; |
| Authority's Representative(s) | shall be those person(s) defined in Schedule 3 (Contract Data Sheet) who will act as the Authority's Representative(s) in connection with the Contract. Where the term "Authority's Representative(s)" in the Conditions is immediately followed by a functional description in brackets, the appropriate Authority's Representative(s) shall be the designated person(s) for the purposes of condition 8; |
| Business Day | means 09:00 to 17:00 Monday to Friday, excluding public and statutory holidays; |
| Central Government Body | a body listed in one of the following sub-categories of the Central Government classification of the Public Sector Classification Guide, as published and amended from time to time by the Office for National Statistics: <ul style="list-style-type: none">a. Government Department;b. Non-Departmental Public Body or Assembly Sponsored Public Body (advisory, executive, or tribunal);c. Non-Ministerial Department; or Executive Agency; |
| Collect | means pick up the Contractor Deliverables from the Consignor. This shall include loading, and any other specific arrangements, agreed in accordance with clause 28.c and Collected and Collection shall be construed accordingly; |
| Commercial Packaging | means commercial Packaging for military use as described in Def Stan 81-041 (Part 1) |
| Conditions | means the terms and conditions set out in this document; |

| | |
|--|--|
| Consignee | means that part of the Authority identified in Schedule 3 (Contract Data Sheet) to whom the Contractor Deliverables are to be Delivered or on whose behalf they are to be Collected at the address specified in Schedule 3 (Contract Data Sheet) or such other part of the Authority as may be instructed by the Authority by means of a Diversion Order; |
| Consignor | means the name and address specified in Schedule 3 (Contract Data Sheet) from whom the Contractor Deliverables will be dispatched or Collected; |
| Contract | means the Contract including its Schedules and any amendments agreed by the Parties in accordance with condition 6 (Amendments to Contract); |
| Contract Price | means the amount set out in Schedule 2 (Schedule of Requirements) to be paid (inclusive of Packaging and exclusive of any applicable VAT) by the Authority to the Contractor, for the full and proper performance by the Contractor of its obligations under the Contract. |
| Contractor | means the person who, by the Contract, undertakes to supply the Contractor Deliverables, for the Authority as is provided by the Contract. Where the Contractor is an individual or a partnership, the expression shall include the personal representatives of the individual or of the partners, as the case may be, and the expression shall also include any person to whom the benefit of the Contract may be assigned by the Contractor with the consent of the Authority; |
| Contractor Commercially Sensitive Information | means the Information listed in the completed Schedule 5 (Contractor's Commercially Sensitive Information Form), which is Information notified by the Contractor to the Authority, which is acknowledged by the Authority as being commercially sensitive; |
| Contractor Deliverables | means the goods and/or the services, including Packaging (and Certificate(s) of Conformity and supplied in accordance with any QA requirements if specified) which the Contractor is required to provide under the Contract; |
| Control | means the power of a person to secure that the affairs of the Contractor are conducted in accordance with the wishes of that person: <ul style="list-style-type: none"> a. by means of the holding of shares, or |

the possession of voting powers in, or in relation to, the Contractor; or

b. by virtue of any powers conferred by the constitutional or corporate documents, or any other document, regulating the Contractor;

and a change of Control occurs if a person who Controls the Contractor ceases to do so or if another person acquires Control of the Contractor;

CPET

means the UK Government's Central Point of Expertise on Timber, which provides a free telephone helpline and website to support implementation of the UK Government timber procurement policy;

Crown Use

in relation to a patent means the doing of anything by virtue of Sections 55 to 57 of the Patents Act 1977 which otherwise would be an infringement of the patent and in relation to a Registered Design has the meaning given in paragraph 2A(6) of the First Schedule to the Registered Designs Act 1949;

Dangerous Goods

means those substances, preparations and articles that are capable of posing a risk to health, safety, property or the environment which are prohibited by regulation, or classified and authorised only under the conditions prescribed by the:

- a. Carriage of Dangerous Goods and Use of Transportable Pressure Equipment Regulations 2009 (CDG) (as amended 2011);
- b. European Agreement Concerning the International Carriage of Dangerous Goods by Road (ADR);
- c. Regulations Concerning the International Carriage of Dangerous Goods by Rail (RID);
- d. International Maritime Dangerous Goods (IMDG) Code;
- e. International Civil Aviation Organisation (ICAO) Technical Instructions for the Safe Transport of Dangerous Goods by Air;
- f. International Air Transport Association (IATA) Dangerous Goods Regulations.

DBS Finance

means Defence Business Services Finance, at the address stated in Schedule 3 (Contract Data Sheet);

DEFFORM

means the MOD DEFFORM series which can be

found at <https://www.aof.mod.uk>;

DEF STAN

means Defence Standards which can be accessed at <https://www.dstan.mod.uk>;

Deliver

means hand over the Contractor Deliverables to the Consignee. This shall include unloading, and any other specific arrangements, agreed in accordance with condition 28 and Delivered and Delivery shall be construed accordingly;

Delivery Date

means the date as specified in Schedule 2 (Schedule of Requirements) on which the Contractor Deliverables or the relevant portion of them are to be Delivered or made available for Collection;

Denomination of Quantity (D of Q)

means the quantity or measure by which an item of material is managed;

Design Right(s)

has the meaning ascribed to it by Section 213 of the Copyright, Designs and Patents Act 1988;

Diversion Order

means the Authority's written instruction (typically given by MOD Form 199) for urgent Delivery of specified quantities of Contractor Deliverables to a Consignee other than the Consignee stated in Schedule 3 (Contract Data Sheet);

Effective Date of Contract

means the date specified on the Authority's acceptance letter;

Evidence

means either:

- a. an invoice or delivery note from the timber supplier or Subcontractor to the Contractor specifying that the product supplied to the Authority is FSC or PEFC certified; or
- b. other robust Evidence of sustainability or FLEGT licensed origin, as advised by CPET;

Firm Price

means a price (excluding VAT) which is not subject to variation;

FLEGT

means the Forest Law Enforcement, Governance and Trade initiative by the European Union to use the power of timber-consuming countries to reduce the extent of illegal logging;

Government Furnished Assets (GFA)

is a generic term for any MOD asset such as equipment, information or resources issued or made available to the Contractor in connection

with the Contract by or on behalf of the Authority;

Hazardous Contractor Deliverable

means a Contractor Deliverable or a component of a Contractor Deliverable that is itself a hazardous material or substance or that may in the course of its use, maintenance, disposal, or in the event of an accident, release one or more hazardous materials or substances and each material or substance that may be so released;

Independent Verification

means that an evaluation is undertaken and reported by an individual or body whose organisation, systems and procedures conform to “ISO Guide 65:1996 (EN 45011:1998) General requirements for bodies operating product certification systems or equivalent”, and who is accredited to audit against forest management standards by a body whose organisation, systems and procedures conform to “ISO 17011: 2004 General Requirements for Providing Assessment and Accreditation of Conformity Assessment Bodies or equivalent”;

Information

means any Information in any written or other tangible form disclosed to one Party by or on behalf of the other Party under or in connection with the Contract;

Issued Property

means any item of Government Furnished Assets (GFA), including any materiel issued or otherwise furnished to the Contractor in connection with the Contract by or on behalf of the Authority;

Legal and Sustainable

means production and process methods, also referred to as timber production standards, as defined by the document titled “UK Government Timber Production Policy: Definition of legal and sustainable for timber procurement”. The edition current on the day the Contract documents are issued by the Authority shall apply;

Legislation

means in relation to the United Kingdom any Act of Parliament, any subordinate legislation within the meaning of section 21 of the Interpretation Act 1978, any exercise of Royal Prerogative or any enforceable community right within the meaning of Section 2 of the European Communities Act 1972;

Military Level Packaging (MLP)

means Packaging that provides enhanced protection in accordance with Def Stan 81-041 (Part 1), beyond that which Commercial Packaging normally provides for the military supply chain;

| | |
|---|---|
| Military Packager Approval Scheme (MPAS) | is a MOD sponsored scheme to certify military Packaging designers and register organisations, as capable of producing acceptable Services Packaging Instruction Sheet (SPIS) designs in accordance with Defence Standard (Def Stan) 81-041 (Part 4); |
| Military Packaging Level (MPL) | shall have the meaning described in Def Stan 81-041 (Part 1); |
| MPAS Registered Organisation | is a packaging organisation having one or more MPAS Certificated Designers capable of Military Level designs. A company capable of both Military Level and commercial Packaging designs including MOD labelling requirements; |
| MPAS Certificated Designer | shall mean an experienced Packaging designer trained and certified to MPAS requirements; |
| NATO | means the North Atlantic Treaty Organisation which is an inter-governmental military alliance based on the North Atlantic Treaty which was signed on 4 April 1949; |
| Notices | shall mean all Notices, orders, or other forms of communication required to be given in writing under or in connection with the Contract; |
| Overseas | shall mean non UK or foreign; |
| Packaging | Verb. The operations involved in the preparation of materiel for; transportation, handling, storage and Delivery to the user; Noun. The materials and components used for the preparation of the Contractor Deliverables for transportation and storage in accordance with the Contract; |
| Packaging Design Authority (PDA) | shall mean the organisation that is responsible for the original design of the Packaging except where transferred by agreement. The PDA shall be identified in the Contract, see Annex A to Schedule 3 (Appendix – Addresses and Other Information), Box 3; |
| Parties | means the Contractor and the Authority, and Party shall be construed accordingly; |
| Primary Packaging Quantity (PPQ) | means the quantity of an item of material to be contained in an individual package, which has been selected as being the most suitable for issue(s) to the ultimate user, as described in Def Stan 81-041 (Part 1); |

| | |
|---|---|
| Recycled Timber | means recovered wood that prior to being supplied to the Authority had an end use as a standalone object or as part of a structure. Recycled Timber covers: <ul style="list-style-type: none"> a. pre-consumer reclaimed wood and wood fibre and industrial by-products; b. post-consumer reclaimed wood and wood fibre, and driftwood; c. reclaimed timber abandoned or confiscated at least ten years previously; it excludes sawmill co-products; |
| Safety Data Sheet | has the meaning as defined in the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH) Regulations 2007 (as amended); |
| Schedule of Requirements | means Schedule 2 (Schedule of Requirements), which identifies, either directly or by reference, Contractor Deliverables to be provided, the quantities and dates involved and the price or pricing terms in relation to each Contractor Deliverable; |
| Short-Rotation Coppice | means a specific management regime whereby the poles of trees are cut every one to two years and which is aimed at producing biomass for energy. It is exempt from the UK Government timber procurement policy. For avoidance of doubt, Short-Rotation Coppice is not conventional coppice, which is subject to the timber policy; |
| Specification | means the description of the Contractor Deliverables, including any specifications, drawings, samples and / or patterns, referred to in Schedule 2 (Schedule of Requirements); |
| STANAG4329 | means the publication NATO Standard Bar Code Symbolologies which can be sourced at https://www.dstan.mod.uk/faqs.html ; |
| Subcontractor | means any subcontractor engaged by the Contractor or by any other subcontractor of the Contractor at any level of subcontracting to provide Contractor Deliverables wholly or substantially for the purpose of performing (or contributing to the performance of) the whole or any part of this Contract and 'Subcontract' shall be interpreted accordingly; |
| Timber and Wood-Derived Products | means timber (including Recycled Timber and Virgin Timber but excluding Short-Rotation Coppice) and any products that contain wood or wood fibre derived from those timbers. Such products range from solid wood to those where |

the manufacturing processes obscure the wood element;

Transparency Information

means the content of this Contract in its entirety, including from time to time agreed changes to the Contract, and details of any payments made by the Authority to the Contractor under the Contract;

Virgin Timber

means Timber and Wood-Derived Products that do not include Recycled Timber.

Annex to Schedule 1

Additional Definitions of Contract i.a.w. Conditions 45 - 47 (Additional Conditions)

| | |
|------------------------------------|---|
| Obsolescence Concerns | means an identified future Obsolescence Issue. |
| Obsolescence Issues | means an item within a project which has been declared Obsolescent. |
| MAA | means Military Aviation Authority |
| MAA Regulatory Publications | means the regulations for the safe operation of Military Aircraft. |
| Unit Location | as detailed at DEFFORM 111 |

Schedule 2 - Schedule of Requirements Table 1

| Contractor: Robertson Fuel Systems LLC | Ministry of Defence SCHEDULE OF REQUIREMENTS | | Contract Number:700008662 | | | | | |
|---|--|-------------|---|--|--|--|--|--|
| Item No. | Description | Qty | Year 1 (Contract Award – 31/03/2021) Price (\$) (ex VAT) | Year 2 (01/04/2021 – 31/03/2022) Price (\$) (ex VAT) | Year 3 (01/04/2022 – 31/03/2023) Price (\$) (ex VAT) | Year 4 (01/04/2023 – 31/03/2024) Price (\$) (ex VAT) | (Option 1) Year 5 (01/04/2024 – 31/03/2025) Price (\$) (ex VAT) | (Option 2) Year 6 (01/04/2025 – 31/03/2026) Price (\$) (ex VAT) |
| 1 | Refurbishment of IAFS including Bladder Replacement. | 19 | This text has been redacted under exemptions set out by the Freedom of Information act. | | | | | |
| | Refurbishment of IAFS without Bladder Replacement. | | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| 2 | Refurbishment of RCEFS including Bladder Replacement. | As required | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| | Refurbishment of RCEFS without Bladder Replacement | | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| 3 | Purchase of RCEFS 1.0 (using GFP) P/N: HM029-610-11-GFP | As Required | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| | Purchase of RCEFS 2.0 (using new) P/N: HM029-610-11 | | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| | Purchase of IAFS P/N: HM025-600-11 | | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | |
| 4 | Purchase of Spares for support of RCEFS & IAFS in accordance with the firm priced Master Spares List Schedule 10 | As Required | firm prices as agreed in accordance with Condition 46.3 (d) | | | | | |
| 5 | Ad Hoc Tasking | As Required | Price to be agreed in accordance with Condition 46.3 (e) | | | | | |

Schedule 2 - Schedule of Requirements – Table 2

| | Description | Year 1 20/21 | Year 2 21/22 | Year 3 22/23 | Year 4 23/24 | Year 5 Option 1 24/25 | Year 6 Option 2 25/26 |
|---|--|-----------------|-----------------|-----------------|-----------------|-----------------------------|-----------------------------|
| 1 | IAFS – Repair and Recondition inclusive of spares | 7 | 5 | 2 | 2 | 2 | 2 |
| 2 | RCEFS – Repair and Recondition inclusive of spares | 0 | 0 | 0 | 0 | | |
| 3 | Purchase of RCEFS & IAFS | 0 | 0 | 0 | 0 | | |
| 4 | Spares | N/A | N/A | N/A | N/A | | |
| 5 | Ad Hoc Tasking | N/A | N/A | N/A | N/A | | |

Introduction

This Statement of Requirement (SOR) defines the requirements regarding the support and sustainment contract for Reduced Size Crashworthy External Fuel System (RCEFS) and Internal Auxiliary Fuel System (IAFS).

Requirements

| No | Description | Contractor's Compliance Response |
|---|--|--|
| The Contractor shall provide the following: | | |
| 1 a | Repair of existing IAFS including bladder replacement. The contractor shall provide a repair service for a rate in accordance with the yearly profile. Please Include separately identified shipping and export costs. | The overhaul includes all necessary parts and labour, except as otherwise identified in Schedule 2 Annex 1 Work Scope and in Schedule 12 as Over & Above (O&A). Such O&A items will be identified by Contractor and performed at FFP as a line 5 ad hoc tasking to be approved by the Authority. Shipping and import/export costs are the responsibility of the Authority. |
| 1 b | Repair of existing IAFS without bladder replacement. The contractor shall provide a repair service for a rate in accordance with the yearly profile. Please Include separately identified shipping and export costs. | The overhaul includes all necessary parts and labor (excluding bladder), except as otherwise identified in Schedule 2 Annex 1 Work Scope and in Schedule 12 as Over & Above (O&A). Such O&A items will be identified by Contractor and performed at FFP as a line 5 ad hoc tasking to be |

| | | |
|-----|---|--|
| | | approved by the Authority. Shipping and import/export costs are the responsibility of the Authority. |
| 2 a | Repair of existing RCEFS including bladder replacement. The contractor shall provide a repair service for a rate in accordance with the yearly profile. Please include separately identified shipping and export costs. | Same comments as line 1a above. |
| 2 b | Repair of existing RCEFS without bladder replacement. The contractor shall provide a repair service for a rate in accordance with the yearly profile. Please include separately identified shipping and export costs. | Same comments as line 1b above. |
| 3 a | Buy new RCEFS 1.0. These shall be supplied in Cradle assembly HM029-080-21, container has no Part Number in documentation, it just states put in reusable crate. Please Include separately identified shipping and export costs | <p>RCEFS 1.0 B-Kit requires GFP. The GFP criteria (including legacy part numbers) is at Schedule 2 Annex 1 Work Scope.</p> <p>If the tail cone/fin assy on the GFP legacy ESSS tank is missing or damaged, a new tail cone/fin assy PN HM029-256-11 is available at Schedule 10. If the shipping and storage cradle is missing or damaged, a new cradle assy PN HM029-080-41 is also available at Schedule 10. (The cradle PN HM029-080-21 stated to the left is the PN of a legacy cradle reworked for RCEFS.)</p> <p>The price includes a shipping crate that meets packaging requirements of ASTM D3951 and any timber or wood-derived requirements of SC2 paragraph 25.L. Shipping and import/export costs are the responsibility of the Authority.</p> <p>Pricing for a tank assembly PN HM029-610-11-GFP is provided at Schedule 2 Table 1. The shipping cradle HM029-080-41 is sold separately and is available at Schedule 10.</p> <p>Note: The "-GFP" extension in the PN is to identify that GFP is being used; it must be included on any PO and will be notated on the invoice. The part-marking will not include the -GFP extension.</p> <p>Note: Once RCEFS 2.0 is available, manufacturing of RCEFS 1.0 will be discontinued except for items already on order.</p> |

| | | |
|-----|---|--|
| 3 b | Buy new RCEFS 2.0. These shall be supplied in Special Type Containers (Part number unknown) Please Include separately identified shipping and export costs. | <p>RCEFS 2.0 B-Kit uses all newly manufactured items, in lieu of starting with some GFP. Robertson Fuel Systems (RFS) is in the process of obtaining qualification/approval from the US Army Engineering Directorate for the newly manufactured FWD and AFT container assys. Accordingly, the price and delivery of RCEFS 2.0 is subject to change. Once AED approval is granted, RFS will confirm to the Authority whether price or delivery is affected. Approval has already been granted for the tail cone/fin assy (that is attached to the AFT container section), for which manufacturing has begun.</p> <p>The price includes a shipping crate that meets packaging requirements of ASTM D3951 and any timber or wood-derived requirements of SC2 paragraph 25.L. Shipping and import/export costs are the responsibility of the Authority.</p> <p>Pricing for a tank assembly HM029-610-11 is provided at Schedule 2 Table 1. The shipping cradle HM029-080-41 is sold separately and is available at Schedule 10.</p> <p>Note: Once RCEFS 2.0 is available, manufacturing of RCEFS 1.0 will be discontinued except for items already on order.</p> |
| 3 c | Buy new IAFS. These shall be supplied in Special Type Containers Part Number HM025-300-11. Please Include separately identified shipping and export costs | <p>New IAFS are available as:</p> <ul style="list-style-type: none"> ● HM025-600-21 - Tank w/ammo mag and 3 connecting drop hoses (aka B-Kit) ● HM025-600-11 - Tank w/ammo mag. ● HM025-410-21 - Tank w/o ammo mag. <p>As it is unclear which PN was desired for item 3c, RFS priced HM025-600-11 at Schedule 2 Table 1. The HM025-410-21 and drop hoses are priced separately as spares in Schedule 10.</p> <p>The price includes a shipping crate that meets packaging requirements of ASTM D3951 and any timber or wood-derived requirements of SC2 paragraph 25.L. Shipping and import/export costs are the responsibility of the Authority.</p> <p>The shipping and storage cradle PN HM025-300-11 (ref at the left) is a separate item, which is available at Schedule 10.</p> |

| | | |
|---|---|--|
| 4 | Provision of ad-hoc spares to allow for unit level repairs where appropriate under Schedule 10. | <p>See Schedule 10 attached. We used a former UK MoD spares contract as a guide. Please let us know if you want any parts added.</p> <p>The prices includes packaging that meets packaging requirements of ASTM D3951. In the event any timber or wood-derived crates are used, they also meet the requirements of SC2 paragraph 25.L. Shipping and import/export costs are the responsibility of the Authority.</p> |
| 5 | <p>Complete Ad Hoc Tasking - Demonstrating compliance to the following: RA's: RA1005, RA1200, RA1225, RA4700 See Schedule 2 Annex 2 Def Cons / Def Stans QA Conditions</p> | See Schedule 12 and Schedule 2 Annex 2. |

Schedule 2 – Schedule of Requirements Annex 1 – Work Scope

Work Scope for Item 1 - Refurbishment of IAFS including inspection, Spares and Repairs and Item 2 – Refurbishment of RCEFS including inspection, Spares and Repairs.

Refurbishment returns the functionality to original equipment conditions, excluding normal wear and tear. Upon delivery of the refurbished fuel tank assembly to the customer, Robertson reissues its standard one-year original equipment warranty on the system (inserted below), excluding only the fuel transfer pump(s) and the bladder (unless the fuel transfer pump(s) or bladder is replaced).

The refurbishment firm fixed prices at Schedule 2 Table 1 (“base prices”) include:

1. Disassembly of the fuel tank assembly, and inspection of parts and components to determine the need for refurbishment or replacement.
2. Refurbishment or replacement (as necessary) of any damaged component, except:
 - a) Replacement of the outer container assembly for either IAFS or RCEFS
 - (i) The base prices include refurbishing (if economically and physically feasible) of the tank’s outer container, including repainting to a “like new” condition. In the event the container is BER or BPR, the container will need replaced.
 - (ii) The Over & Above (O&A) to replace IAFS and RCEFS outer containers are at firm fixed prices at Schedule 12 as Ad Hoc Tasks. The O&A replacement prices are offset by the amounts allocated in the base prices for container refurbishing.
 - (iii) Please see additional notes at Schedule 12 regarding replacement of RCEFS containers, such as multiple segments (Fwd, Aft and Ctr) and RCEFS 1.0 or 2.0 (using GFP legacy containers or new build containers (once available)). Criteria relating to GFP legacy containers are inserted below.
 - b) Repair or replacement of the ammunition storage magazine assembly (ASM) for IAFS.
 - (i) The IAFS base price includes ASM functional testing, but not disassembly and inspection. The base price includes only minor repairs (no parts replacements) and exterior cleaning of the ASM.
 - (ii) In the event the ASM needs refurbished or replaced, such items are priced at Schedule 12 as Ad Hoc Tasks. In the event an ASM is missing a major component or needs the chain ladder assembly replaced, it will be deemed BER.
3. Reassembly and acceptance testing of the tank assembly before return to the customer as if the item were being delivered for the first time.
4. Shipping crates, if the crate the tank arrived in is not re-usable.

NOTE: The base prices at Schedule 2 do not include charges for No Fault Found (NFF) or Scrapping tanks or ASMs that are found to be beyond economical repair (BER) or beyond physical repair (BPR). Firm prices and descriptions for those items are at Schedule 12 as Ad Hoc Tasks.

ROBERTSON FUEL SYSTEMS, L.L.C.'S STANDARD WARRANTY FOR OVERHAULED TANKS AND OTHER ASSEMBLIES

- (a) The seller warrants that each product ~~sold-overhauled~~ under this order shall be free from defects in material and workmanship under normal use and service, ordinary wear and tear excepted. Fuel transfer pumps and bladders are excluded from this warranty, unless the fuel transfer pumps or bladders are replaced. The obligation and liability of seller under this warranty is limited to the repair or replacement at the option of seller, of any such product which proves defective in material or workmanship (ordinary wear and tear excepted) within one year after delivery ~~to the first end user or within three years after the date the product was manufactured, whichever occurs sooner.~~
- (b) Seller shall not be obligated or liable under this warranty for defects which examination discloses are due to tampering, misuse, neglect, normal wear or improper maintenance or storage.
- (c) The purchaser shall seek advance authorization from seller prior to returning any product for warranty consideration. In the event the seller determines that the product should be returned, seller shall authorize the return and indicate the address to which the product shall be returned. Upon receipt of such authorization, products for warranty consideration shall be returned with transportation charges prepaid, in shipping containers which are adequate to prevent loss or damage in shipment. Products repaired or replaced under this warranty are warranted herein for the unexpired portion of the original warranty.
- (d) Unless otherwise stated in writing the terms of this warranty are the sole and exclusive warranty terms that shall have any force and effect in any order resulting from our quotation, and such terms are in lieu of all other warranties, express or implied, including the implied warranties of merchantability and fitness for a particular purpose, which are herewith expressly excluded and disclaimed. The seller also herewith expressly excludes and disclaims all liability for consequential, incidental and other type of damages resulting from or caused by the use, operation, failure, malfunction or defects of any products.

CUSTOMER FURNISHED PROPERTY

For Robertson to manufacture one RCEFS tank assembly, or to provide one RCEFS FWD and/or AFT container replacement (if needed) during RCEFS tank refurbishments, a Buyer or its customer must timely provide Robertson with one of each of the following Customer Furnished Property ("CFP") (CFP is used interchangeably with "GFP" for purposes of FAR 52.245-1) in a serviceable condition at dates, quantities, and locations to be mutually agreed to support conversion to the CEFS configuration.

1. 230-gallon auxiliary tanks, PN 235SFT001-501 (NSN 1560-01-237-3714), with or without the AFMS modification.
2. Tail Fin Assemblies, NSN 1560-01-237-3687 (this item is typically attached to the CFP 230-gallon tank above, but will be provided by Buyer or its customer separately if missing from the CFP). If tail fin assemblies are damaged or missing, Robertson can supply new CEFS tail fin assemblies (HM029-256-11) for an additional charge.
3. Tank Cradles, NSN 1730-01-288-2337. If legacy cradles are not available as CFP, Robertson can supply new RCEFS cradles (HM029-080-41) for an additional charge.

Robertson will be authorized and directed to dispose of CFP that is damaged and unserviceable upon receipt in the manner directed in this Clause. Components having the following kinds of damage or defects will be considered unserviceable and without economic or salvage value, and therefore subject to disposal.

- A. Tank Shell - Forward
 - o Large skin delaminations
 - o Extensive water/fuel contamination in honeycomb core
 - o Impact/compression damage to honeycomb core
- B. Tank Shell - Aft
 - o Large skin delaminations
 - o Extensive water/fuel contamination in honeycomb core
 - o Impact/compression damage to honeycomb core
 - o Tail cone mounting ring damage
- C. Tail Cones
 - o Missing fins
 - o Cracked fins
 - o Impact/compression damage to honeycomb core
- D. Cradle Top
 - o Broken/cracked injection molded bulkheads
 - o Broken/cracked side beams
 - o Broken/cracked tie down ring mounts
- E. Cradle Bottom
 - o Broken/cracked injection molded bulkheads
 - o Broken/cracked side beams
- F. Other

For each of the above components, other kinds of damage which, because of its location(s), extent, or degree, renders the component beyond economic repair.

The center sections and all existing internal fuel lines, air lines, hardware and other components that are removed from the 230 gallon auxiliary tanks will be deemed to be scrap, with neither economic nor salvage value. Robertson is authorized and directed to dispose of that scrap at its own expense.

Schedule 2 – Schedule of Requirement Annex 2 Regulatory Articles Applicable to this Contract

| RA Number | Regulation Number | AMC/G M Para Number | Unique Reference Number | RA Issue Number | Compliance/ Non Compliance Level | Contractor Compliance Statement |
|------------------|--------------------------|----------------------------|--------------------------------|------------------------|---|--|
| 1005 | 1 | 7 | 1005(1)7 | 8 | Compliant | RFS is 3 rd party certified complaint with AS9100D and ISO90001:2015. Cert attached to proposal |
| 1005 | 1 | 15 | 1005(1)15 | 8 | Compliant | RFS is 3 rd party certified complaint with AS9100D and ISO90001:2015. Cert attached to proposal |
| 1225 | 1 | 1 | 1225(1)1 | 2 | Compliant | |
| 1225 | 1 | 4 | 1225(1)4 | 2 | Compliant | |

Schedule 3 - Contract Data Sheet

| |
|---|
| General Conditions |
| Condition 2 – Duration of Contract: The Contract expiry date shall be: 31/03/2024 17:00:00 |
| Condition 4 – Governing Law: Contract to be governed and construed in accordance with: English Law Solicitors or other persons based in England and Wales (or Scotland if Scots Law applies) irrevocably appointed for Contractors without a place of business in England (or Scotland, if Scots Law applies) in accordance with clause 4.g (if applicable) are as follows: Any notices to be provided to, This text has been redacted under exemptions set out by the Freedom of Information act, at Robertson Fuel Systems LLC, 800 West Carver Rd, Tempe, Arizona 85284 USA |
| Condition 8 – Authority’s Representatives: The Authority’s Representatives for the Contract are as follows: Commercial: Mr Lewis Parker (as per DEFFORM 111) Project Manager: Mr Glen Barker (as per DEFFORM 111) |
| Condition 19 – Notices: Notices served under the Contract shall be sent to the following address: Authority: DE&S Helicopters, Centenary House, Leonardo Helicopters, Lysander Road, Yeovil BA20 2YB (as per DEFFORM 111) Contractor: Robertson Fuel Systems LLC, 800 West Carver Rd, Tempe, Arizona 85284 USA Notices can be sent by electronic mail? Yes |
| Condition 20.a – Progress Meetings: The Contractor shall be required to attend the following meetings: To be arranged as required, medium to be decided as appropriate i.e phone call or face-to-face. |
| Condition 20.b – Progress Reports: |

The Contractor is required to submit the following Reports:

Annual Contract Management report on anniversary of Contract Award

Reports shall be Delivered to the following address:

DE&S Helicopters, Centenary House, Leonardo Helicopters, Lysander Road, Yeovil, Somerset BA20 2YB (as per DEFFORM 111)

Supply of Contractor Deliverables

Condition 21 – Quality Assurance:

Is a Deliverable Quality Plan required for this Contract? No

If required, the Deliverable Quality Plan must be set out as defined in AQAP 2105 and delivered to the Authority (Quality) within 90 Business Days of Contract Award. Once agreed by the Authority the Quality Plan shall be incorporated into the Contract. The Contractor shall remain at all times solely responsible for the accuracy, suitability and applicability of the Deliverable Quality Plan.

Other Quality Assurance Requirements:

ISO 9001:2015

Condition 22 – Marking of Contractor Deliverables:

Special Marking requirements:

Condition 24 - Supply of Data for Hazardous Contractor Deliverables, Materials and Substances:

A completed Schedule 6 (Hazardous Contractor Deliverables, Materials or Substance Statement), and if applicable, Safety Data Sheet(s) are to be provided by e-mail with attachments in Adobe PDF or MS WORD format to:

- a) The Authority's Representative (Commercial)
- b) Defence Safety Authority – DSA-DLSR-MovTpt-DGHSIS@mod.uk

to be Delivered no later than one (1) month prior to the Delivery Date for the Contract Deliverable or by the following date:

Condition 25 – Timber and Wood-Derived Products:

A completed Schedule 7 (Timber and Wood-Derived Products Supplied under the Contract: Data Requirements) is to be provided by e-mail with attachments in Adobe PDF or MS WORD format to the Authority's Representative (Commercial)

to be Delivered by the following date: 06/03/2020 17:00:00

Condition 26 – Certificate of Conformity:

Is a Certificate of Conformity required for this Contract? Yes

Applicable to Line Items: All Line Items

If required, does the Contractor Deliverables require traceability throughout the supply chain?

Yes

Applicable to Line Items: All Line Items

Condition 28.b – Delivery by the Contractor:

The following Line Items are to be Delivered by the Contractor:

On completion of works, all items of GFA identified in Schedule 2 Items 1, 2, 3, 4 or 5 which have been delivered to the Contractor for repair are to be returned Ex Works to the Authority's Freight Forwarder identified in Clause 46.8. Title and risk of loss pass to the Authority when deliverables are loaded for shipment at Robertson Fuel Systems facility.

Special Delivery Instructions:

Please refer to Clause 46.8

Each consignment is to be accompanied by a DEFFORM 129J.

Condition 28.c - Collection by the Authority:

The following Line Items are to be Collected by the Authority:

On completion of works, all items of GFA identified in Schedule 2 Items 1, 2, 3, 4 or 5 which have been delivered to the Contractor for repair are to be returned Ex Works to the Authority's Freight Forwarder identified in Clause 46.8. Title and risk of loss pass to the Authority when deliverables are loaded for shipment at Robertson Fuel Systems facility.

Special Delivery Instructions:

Please refer to Clause 46.8

Each consignment is to be accompanied by a DEFFORM 129J.

Consignor details (in accordance with 28.c.(4)):

Line Items: Address: Robertson Fuel Systems LLC 800 W Carver Road, Suite 101, Tempe,

Arizona 85284 USA

Consignee details (in accordance with condition 23):

Line Items: Address: 132 Aviation Supply Squadron RCL, 7 Aviation Support Battalion
REME, Bldg 700, Wattisham Flying Station, IPSWICH IP7 7RA

Condition 30 – Rejection:

The default time limit for rejection of the Contractor Deliverables is thirty (30) days from delivery to unit unless otherwise specified here:

The time limit for rejection shall be Business Days.

Condition 32 – Self-to-Self Delivery:

Self-to-Self Delivery required? No

If required, Delivery address applicable:

N/A

Pricing and Payment

Condition 35 – Contract Price:

All Schedule 2 line items shall be FIRM Price other than those stated below:

Line Items 5

Clause 46. (Special conditions that apply to this Contract) refers

Termination

Condition 42 – Termination for Convenience:

The Notice period for terminating the Contract shall be twenty (20) days unless otherwise specified here:

The Notice period for termination shall be Business Days

Other Addresses and Other Information (*forms and publications addresses and official use information*)

See Annex A to Schedule 3 (DEFFORM 111)

Schedule 4 - Contract Change Control Procedure (i.a.w. Clause 6b)

Contract No:

1. Authority Changes

Subject always to Condition 6 (Amendments to Contract), the Authority shall be entitled, acting reasonably, to require changes to the Contractor Deliverables (a "Change") in accordance with this Schedule 4.

2. Notice of Change

- a. If the Authority requires a Change, it shall serve a Notice (an "Authority Notice of Change") on the Contractor.
- b. The Authority Notice of Change shall set out the change required to the Contractor Deliverables in sufficient detail to enable the Contractor to provide a written proposal (a "Contractor Change Proposal") in accordance with clause 3 below.

3. Contractor Change Proposal

- a. As soon as practicable, and in any event within fifteen (15) Business Days (or such other period as the Parties may agree) after having received the Authority Notice of Change, the Contractor shall deliver to the Authority a Contractor Change Proposal.
- b. The Contractor Change Proposal shall include:
 1. the effect of the Change on the Contractor's obligations under the Contract;
 2. a detailed breakdown of any costs which result from the Change;
 3. the programme for implementing the Change;
 4. any amendment required to this Contract as a result of the Change, including, where appropriate, to the Contract Price; and
 5. such other information as the Authority may reasonably require.
- c. The price for any Change shall be based on the prices (including all rates) already agreed for the Contract and shall include, without double recovery, only such charges that are fairly and properly attributable to the Change.

4. Contractor Change Proposal – Process and Implementation

- a. As soon as practicable after the Authority receives a Contractor Change Proposal, the Authority shall:
 1. evaluate the Contractor Change Proposal;
 2. where necessary, discuss with the Contractor any issues arising and following such discussions the Authority may modify the Authority Notice of Change and the Contractor shall as soon as practicable, and in any event not more than ten (10) Business Days (or such other period as the Parties may agree) after receipt of such modification, submit an amended Contractor Change Proposal.
- b. As soon as practicable after the Authority has evaluated the Contractor Change Proposal (amended as necessary) the Authority shall:
 1. indicate its acceptance of the Change Proposal by issuing an amendment to the Contract in accordance with Condition 6 (Amendments to Contract); or
 2. serve a Notice on the Contractor rejecting the Contractor Change Proposal and withdrawing (where issued) the Authority Notice of Change.
- c. If the Authority rejects the Change Proposal it shall not be obliged to give its reasons for such rejection.

- d. The Authority shall not be liable to the Contractor for any additional work undertaken or expense incurred unless a Contractor Change Proposal has been accepted in accordance with Clause 4b.(1) above.

5. Contractor Changes

If the Contractor wishes to propose a Change, it shall serve a Contractor Change Proposal on the Authority, which shall include all of the information required by Clause 3b above, and the process at Clause 4 above shall apply.

Schedule 5 - Contractor's Commercial Sensitive Information Form (i.a.w. condition 13)

Contract No: 700008662

| |
|--|
| Contract No: 700008662 |
| Description of Contractor's Commercially Sensitive Information: Pricing |
| Cross Reference(s) to location of sensitive information: Schedules 2 and 10 |
| Explanation of Sensitivity: Commercial/competition sensitive |
| Details of potential harm resulting from disclosure: |
| Period of Confidence (if applicable): |
| Contact Details for Transparency / Freedom of Information matters: Name: This text has been redacted under exemptions set out by the Freedom of Information act. Position: Director, Contracts & Compliance Address: 800 W. Carver Road, Suite 101, Tempe, AZ 85284 Telephone Number: 480-337-7050 Email Address: This text has been redacted under exemptions set out by the Freedom of Information act. |

Schedule 6 - Hazardous Contractor Deliverables, Materials or Substances Supplied under the Contract

Contract No: 700008662

Contract Title: RCEFS and IAFS Support Agreement

Contractor: Robertson Fuel Systems, LLC

Date of Contract:

* To the best of our knowledge there are no hazardous Contractor Deliverables, materials or substances to be supplied.

~~* To the best of our knowledge the hazards associated with materials or substances to be supplied under the Contract are identified in the Safety Data Sheets (Qty: _____) attached in accordance with condition 24.~~

Contractor's Signature: This text has been redacted under exemptions set out by the Freedom of Information act.

Name: This text has been redacted under exemptions set out by the Freedom of Information act.

Job Title: Vice President, Contracts

Date: 22 March 2020

* check box (☒) as appropriate

.....

To be completed by the Authority

Domestic Management Code (DMC):

NATO Stock Number:

Contact Name:

Contact Address:

Copy to be forwarded to:

Hazardous Stores Information System (HSIS)
Department of Safety & Environment, Quality and Technology (D S & EQT)
Spruce 2C, #1260
MOD Abbey Wood (South)
Bristol BS34 8JH

Emails to be sent to:

DESTTECH-QSEPEnv-HSISMULTI@mod.gov.uk

Schedule 7 - Timber and Wood - Derived Products Supplied under the Contract

Data Requirements for Contract No: 700008662

The following information is provided in respect of condition 25 (Timber and Wood-Derived Products):

The subject deliverables contain not timber or wood-derived products. In the event such wood products are used in packaging (e.g. crates), the packaging will be compliant with Clause 25. L.

| Schedule of Requirements item and timber product type | Volume of timber Delivered to the Authority with FSC, PEFC or equivalent evidence | Volume of timber Delivered to the Authority with other evidence | Volume (as Delivered to the Authority) of timber without evidence of compliance with Government Timber Procurement Policy | Total volume of timber Delivered to the Authority under the Contract |
|--|--|--|--|---|
| N/A | N/A | N/A | N/A | N/A |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Schedule 8 - Acceptance Procedure (i.a.w. condition 29)

On delivery of each contracted deliverable to the designated consignee, the Contractor shall be subjected to the following acceptance procedure:

| SOR Item No. | Requirement | Output | Test & Acceptance |
|--------------|--|---|--|
| 1 | Equipment must be refurbished/repaired i.a.w with Schedule 2 – Schedule of Requirements Annex 1 Work Scope with no modifications. | The equipment should be cleared for flight on the Apache and free from faults. Each unit to be delivered with a Certificate of Conformity i.a.w SC2 Condition 26. Certificate of Conformity | Equipment will be inspected on delivery. |
| 2 | Equipment must be refurbished/repaired i.a.w with Schedule 2 – Schedule of Requirements Annex 1 Work Scope with no modifications. | The equipment should be cleared for flight on the Apache and free from faults. Each unit to be delivered with a Certificate of Conformity i.a.w SC2 Condition 26. Certificate of Conformity | Equipment will be inspected on delivery. |
| 3 | New equipment must be provided i.a.w with Schedule 2 – Schedule of Requirements Table 1 ,Item 3, RCEFS 2.0 and IAFS, with no modifications. For Table 1, Item 3, RCEFS 1.0, contractor may modify GFP described in the Customer Furnished Property exhibit at Schedule 2 – Schedule of Requirements Annex 1 – Work Scope | The equipment should be cleared for flight on the Apache and free from faults. Each unit to be delivered with a Certificate of Conformity i.a.w SC2 Condition 26. Certificate of Conformity | Equipment will be inspected on delivery. |
| 4 | Replacement equipment/spares must be provided i.a.w Schedule 10 - Master Spares List with no modifications. | The equipment should be cleared for flight on the Apache and free from faults. Each unit to be delivered with a Certificate of Conformity i.a.w SC2 Condition 26. Certificate of Conformity | The Authority will review the equipment on delivery against Contractor Master Spares List. |
| 5 | Ad-hoc tasking will be as requested in the Works Authorisation Form (WAF) and the Over and Aboves must be provided i.a.w Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements | Ad-hoc tasking contractor deliverables will be reviewed against the WAF to ensure acceptable contractor deliverables. | The Authority will review the services provided and inspect the equipment on delivery. |

Schedule 9 – Works Authorisation Form (WAF)

| | | |
|---|---|---|
| CONTRACTOR Robertson Fuel Systems LLC, 800 West Carver Rd, Tempe, Arizona 85284 USA | MOD PROJECT OFFICER DES Apache-EngMechASM DE&S Helicopters Building 100, Box 188, Leonardo Helicopters Lysander Road Yeovil BA20 2YB | TASK NO WAF 00..... <hr/> CONTRACT NO: 700008662 |
| Part 1 - Task Definition (use Continuation Sheet if necessary) | | |
| Originator: | Contract Schedule of Requirements (SoR) Item No: | |
| Part 2 - Firm Price Quotation The work as described in Part 1 is submitted for MoD authorisation against the following quotation: c. Materials at cost | | |
| b. Man hours: _____ hrs at £ _____ * See below = £ | | |
| c. Spares | = £ | |
| d. Delivery | = £ | |
| e. Other | = £ | |
| f. Total Firm Price | | |
| * Select the applicable Firm Man-Hour Rate(s) from the following list: (For a. and/or c. please provide a breakdown of the costs involved) | | |
| g. Firm price valid until: | | |
| h. Start date: | | |
| i. Completion date: | | |
| j. Comments/Assumptions: | | |
| SIGNATURE: APPOINTMENT: DATE: | | |
| Part 3 - MoD Project Manager Authorisation The Firm Price Quotation at Part 2 of this WAF is confirmed as fair and reasonable and commensurate with the work detailed at Part 1 of this WAF. The forecast Start and Completion dates at Part 2 of this WAF are acceptable. SIGNATURE: NAME: POST: DATE: | Part 4 – MoD Commercial Officer Authorisation The Contractor is hereby authorised to carry out the work detailed at Part 1 of this WAF within the Firm Price detailed at Part 2 of this WAF. SIGNATURE: NAME: POST: DATE: | |

Schedule 10 – Master Spares List – RCEFS & IAFS Agreed Firm Prices

Refer to MS EXCEL file 700008662_Schedule 10 – Master Spares List – RCEFS IAFS Agreed Firm Prices

Schedule 11 to contract 700008662 – Government Furnished Assets (GFA)

| RCEFS No. | Serial Number | Part Number (NSN) | GFA | |
|------------------|----------------------|--|--------------------|---|
| 1 | 0001 | Pt No HM025-600-11 (NSN 1560-01-519-4248) (with ammunition storage magazine) | Contract Work Item | |
| 2 | 0002 | | | |
| 3 | 0003 | | | |
| 4 | 0004 | | | |
| 5 | 0005 | | | Pt No HM025-410-21 (NSN 1560-01-523-5396) (without ammunition storage magazine) |
| 6 | 0006 | | | |
| 7 | 0007 | | | |
| 8 | 0008 | | | |
| 9 | 0011 | | | |
| 10 | 0012 | | | |
| 11 | 0013 | | | |
| 12 | 0017 | | | |
| 13 | 0018 | | | |
| 14 | 0019 | | | |
| 15 | 0020 | | | |
| 16 | 0022 | | | |
| 17 | 0023 | | | |
| 18 | 0024 | | | |
| 19 | 0025 | | | |
| 20 | 0026 | | | |
| 21 | 0027 | | | |
| 22 | 0029 | | | |
| 23 | 0031 | | | |
| 24 | 0032 | | | |
| 25 | 0033 | | | |
| 26 | 0034 | | | |
| 27 | 0035 | | | |
| 28 | 0036 | | | |
| 29 | 0037 | | | |
| 30 | 0038 | | | |
| 31 | 0064 | | | |
| 32 | 0065 | | | |
| 33 | 0066 | | | |
| 34 | 0067 | | | |
| 35 | 0068 | | | |
| 36 | 0069 | | | |
| 38 | 0071 | | | |
| 39 | 0072 | | | |
| 40 | 0073 | | | |

| IAFS No. | Serial Number | Part Number (NSN) | GFA |
|-----------------|----------------------|---|--------------------|
| 1 | 353 | Pt No HM025-600-21 (NSN 1560-01-503-2023) | Contract Work Item |
| 2 | 354 | | |
| 3 | 355 | | |
| 4 | 356 | | |
| 5 | 357 | | |
| 6 | 358 | | |
| 7 | 359 | | |
| 8 | 360 | | |
| 9 | 362 | | |
| 10 | 363 | | |
| 11 | 395 | | |
| 12 | 563 | | |
| 13 | 569 | | |
| 14 | 570 | | |
| 15 | 796 | | |
| 16 | 797 | | |
| 17 | 798 | | |
| 18 | 816 | | |
| 19 | 817 | | |

Schedule 12 – Firm Rates for Item 5 of Schedule 2 – Schedule of Requirements

The following pricing shall apply to any work carried out under Item 5 of Schedule 2: Schedule of Requirement. The firm Labour Man Hourly Rate shall be applied in the calculation of each quotation for this.

| Description | Year 1 (Ex VAT) | Year 2 (Ex VAT) | Year 3 (Ex VAT) | Year 4 (Ex VAT) | (Option 1) Year 5 (Ex VAT) | (Option 2) Year 6 (Ex VAT) | Comments |
|---|--|--------------------|--------------------|--------------------|----------------------------------|----------------------------------|--|
| IAFS Refurbishment Over & Above (O&A) - container assy replacement | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | See Schedule 2 Annex 1 Work Scope. |
| IAFS O&A - ammo storage mag (ASM) assy overhaul | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | See Schedule 2 Annex 1 Work Scope. |
| IAFS O&A - ASM assy replacement | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | See Schedule 2 Annex 1 Work Scope. |
| IAFS O&A - No Fault Found | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | Includes TT&E, reassembly, and ATP. This is in lieu of the price at Schedule 2 Item 1 in the event of NFF. |
| IAFS O&A - BER/BPR Tank assy scrap | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | Includes TT&E and scrapping tank assy (w/o ASM). This is in lieu of the price at Schedule 2 Item 1 in the event tank assy is beyond economical repair (BER) or beyond physical repair (BPR). |
| IAFS O&A - BER/BPR ASM assy scrap | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | Includes TT&E and scrapping ASM assy. If the tank is repairable, but the ASM is not, this price is over and above the base price at Schedule 2 Item 1. If both the tank and ASM are BER/BPR, this is in lieu of the price at Schedule 2 Item 1, but in addition to the tank assy scrap price above. |
| IAFS O&A - BER/BPR Shipping/Storage Cradle assy scrap | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | Cradle refurbishment is not included in the price at Schedule 2 Item 1. If a cradle is received in a structurally sound condition (even if missing parts), it will be used AS IS to return-ship the tank. If it is not structurally sound, RFS can scrap it for this price. A new cradle (HM025-300-11) is available as priced on Schedule 10. |
| RCEFS O&A - FWD container section | This text has been redacted under exemptions set out by the Freedom of Information act | | | | | | See Schedule 2 Annex 1 Work Scope. In the event the FWD container section is BER/BPR, this O&A replacement price is offset by the amount allocated to the unused FWD section repair in the |

| | | |
|---|--|---|
| replacement (using GFP) | | base price. <i>This price assumes the Authority provides GFP legacy ESSS tanks (see GFP criteria at Sch 2 Annex 1 Work Scope).</i> |
| RCEFS (O&A) - FWD container section replacement (using new) | This text has been redacted under exemptions set out by the Freedom of Information act | See Schedule 2 Annex 1 Work Scope. In the event the FWD container section is BER/BPR, this O&A replacement price is offset by the amount allocated to the unused FWD section repair in the base price. <i>This price assumes using new build FWD section once qualification/ approval from the US Army Engineering Directorate is obtained (in process) (see Sch 2 Statement of Requirements No. 3b).</i> |
| RCEFS (O&A) - AFT container section replacement (using GFP) | This text has been redacted under exemptions set out by the Freedom of Information act | See Schedule 2 Annex 1 Work Scope. In the event the AFT container section is BER/BPR, this O&A replacement price is offset by the amount allocated to the unused AFT section repair in the base price. <i>This price assumes the Authority provides GFP legacy ESSS tanks (see GFP criteria at Sch 2 Annex 1 Work Scope).</i> This does not include a new tail cone-fin assy. If one is required, the price is provided below. |
| RCEFS (O&A) - AFT container section replacement (using new) | This text has been redacted under exemptions set out by the Freedom of Information act | See Schedule 2 Annex 1 Work Scope. In the event the AFT container section is BER/BPR, this O&A replacement price is offset by the amount allocated to the unused AFT section repair in the base price. This price assumes using new build AFT section once qualification/ approval from the US Army Engineering Directorate is obtained (in process) (see Sch 2 Statement of Requirements No. 3b). This does not include a new tail cone-fin assy. If one is required, the price is provided below. |
| RCEFS (O&A) - Tail Cone/Fin assy replacement | This text has been redacted under exemptions set out by the Freedom of Information act | The price at Schedule 2 Item 1 (base price) includes only minor cosmetic repair. In the event tail cone/fin assy is missing, or any components are missing or damaged, the assy will need replaced. This price is for new and does not require GFP. |
| RCEFS O&A - CTR container section | This text has been redacted under exemptions set out by the Freedom of Information act | The price at Schedule 2 Item 1 (base price) includes only minor cosmetic repair. In the event the Center (CTR) container structure is |

| | | |
|--|--|--|
| replacement | | damaged, it will need replaced. |
| RCEFS O&A - No Fault Found | This text has been redacted under exemptions set out by the Freedom of Information act | Includes TT&E, reassembly, and ATP. This is in lieu of the price at Schedule 2 Item 1 in the event of NFF. |
| RCEFS O&A - BER/BPR Tank Scrap | This text has been redacted under exemptions set out by the Freedom of Information act | Includes TT&E and scrapping tank assy. This is in lieu of the price at Schedule 2 Item 1 in the event item is beyond economical repair (BER) or beyond physical repair (BPR). |
| RCEFS O&A - BER/BPR Shipping/Storage Cradle Scrap | This text has been redacted under exemptions set out by the Freedom of Information act | Cradle refurbishment is not included in the price at Schedule 2 Item 1. If a cradle is received in a structurally sound condition (even if missing parts), it will be used AS IS to return-ship the tank. If it is not structurally sound, RFS can scrap it for this price. A new cradle (HM029-080-41) is available as priced on Schedule 10. |
| Hourly rates for On-Site Technical Support/Training - Engineer | This text has been redacted under exemptions set out by the Freedom of Information act | This hourly rate is provided for ad hoc tasks performed at a location other than Robertson's facility.* |
| Hourly rates for On-Site Technical Support/Training - Lead Technician | This text has been redacted under exemptions set out by the Freedom of Information act | This hourly rate is provided for ad hoc tasks performed at a location other than Robertson's facility.* |
| Hourly rates for On-Site Technical Support/Training - Quality Inspector | This text has been redacted under exemptions set out by the Freedom of Information act | This hourly rate is provided for ad hoc tasks performed at a location other than Robertson's facility.* |

* The hourly rates are charged for travel time to and from the designated customer location and the time RFS's personnel are working at the designated customer location. The published hourly rates do not include daily and subsistence expenses, which are determined as follows: (i) actual return airfare costs (coach class); (ii) actual car rental and related automotive expenses; and (iii) maximum daily Per Diem (PD) rate [consisting of the maximum daily lodging allowance and the Meal and Incidental Expenses (M&IE) rate]. The maximum daily PD rate is established by the Secretary of State for the United States as shown in the Maximum Travel Per Diem Allowances published in Section 925, a Supplement to the Standardized Regulations. The maximum PD rate shall apply for the location nearest that requested by the customer.

Schedule 13 – Issues Matrix to Contract 700008662

The POC Issues Matrix covers the agreed positions between the Authority and the Contractor in respect of the points of clarification raised by the Contractor within its tender submission.

Refer to MS EXCEL file 700008662_Sch 13_POCs Issues Matrix

DEFFORM 111

Appendix - Addresses and Other Information

1. Commercial Officer

Name: Mr Lewis Parker

Address: DE&S Helicopters, Centenary House, Leonardo Helicopters, Lysander Road, Yeovil
BA20 2YB

Email: lewis.parker117@mod.gov.uk ☎☎ +44 1935 703499

2. Project Manager, Equipment Support Manager or PT Leader (from whom technical information is available)

Name: Mr Glen Barker

Address: DE&S Helicopters, Centenary House, Leonardo Helicopters, Lysander Road, Yeovil
BA20 2YB

Email: glen.barker479@mod.gov.uk ☎☎ +44 1935 701654

3. Packaging Design Authority Organisation & point of contact:

N/A

(Where no address is shown please contact the Project Team in Box 2)

☎☎ N/A

4. (a) Supply / Support Management Branch or Order Manager:

Branch/Name: N/A

☎☎ N/A

(b) U.I.N. D1950A

5. Drawings/Specifications are available from N/A

6. Intentionally Blank

7. Quality Assurance Representative: DES Apache-EngQA

Commercial staff are reminded that all Quality Assurance requirements should be listed under the General Contract Conditions.

8. AQAPS and DEF STANs are available from UK Defence Standardization, for access to the documents and details of the helpdesk visit <http://dstan.uwh.diif.r.mil.uk/> [intranet] or <https://www.dstan.mod.uk/> [extranet, registration needed].

9. Consignment Instructions The items are to be consigned as follows:

10. Transport. The appropriate Ministry of Defence Transport Offices are:

A. **DSCOM**, DE&S, DSCOM, MoD Abbey Wood, Cedar 3c, Mail Point 3351, BRISTOL BS34 8JH

Air Freight Centre

IMPORTS ☐☐ 030 679 81113 / 81114 Fax 0117 913 8943

EXPORTS ☐☐ 030 679 81113 / 81114 Fax 0117 913 8943

Surface Freight Centre

IMPORTS ☐☐ 030 679 81129 / 81133 / 81138 Fax 0117 913 8946

EXPORTS ☐☐ 030 679 81129 / 81133 / 81138 Fax 0117 913 8946

B.JSCS

JSCS Helpdesk No. 01869 256052 (select option 2, then option 3)

JSCS Fax No. 01869 256837

www.freightcollection.com

11. The Invoice Paying Authority

Ministry of Defence, DBS Finance, Walker House, Exchange Flags Liverpool, L2 3YL

☐☐ 0151-242-2000 Fax: 0151-242-2809

Website is: <https://www.gov.uk/government/organisations/ministry-of-defence/about/procurement#invoice-processing>

12. Forms and Documentation are available through *:

Ministry of Defence, Forms and Pubs Commodity Management PO Box 2, Building C16, C Site, Lower Arncott, Bicester, OX25 1LP (Tel. 01869 256197 Fax: 01869 256824)

Applications via fax or email: DESLCSLS-OpsFormsandPubs@mod.uk

*** NOTE**

1. Many **DEFCONs** and **DEFFORMs** can be obtained from the MOD Internet Site:

<https://www.aof.mod.uk/aofcontent/tactical/toolkit/index.htm>

DEFCONS: <https://www.aof.mod.uk/aofcontent/tactical/toolkit/content/defcons/defcon.htm>

Archived DEFCONS:

<https://www.aof.mod.uk/aofcontent/tactical/toolkit/content/defcons/archive.htm>

DEFFORMS: <https://www.aof.mod.uk/aofcontent/tactical/toolkit/content/defforms/defelec.htm>

Archived DEFFORMS:

https://www.aof.mod.uk/aofcontent/tactical/toolkit/content/defforms/defelec_archive.htm

SC1A <http://aof.uwh.diif.r.mil.uk/aofcontent/tactical/toolkit/content/stancon/template1a.htm>

SC1B <http://aof.uwh.diif.r.mil.uk/aofcontent/tactical/toolkit/content/stancon/template1b.htm>

SC2 <http://aof.uwh.diif.r.mil.uk/aofcontent/tactical/toolkit/content/stancon/template2.htm>

2. If the required forms or documentation are not available on the MOD Internet site requests should be submitted through the Commercial Officer named in Section 1.

Quality Assurance Conditions

AQAP 2310

NATO Quality Assurance Requirements for Aviation, Space, Defence Suppliers.

DEFSTAN 05-061 Pt 1 (Issue 6)

Quality Assurance Procedural Requirements - Concessions

DEFSTAN 05-061 Pt 4 (Issue 3)

Quality Assurance Procedural Requirements - Contractor Working Parties

DEFSTAN 05-135

Avoidance of Counterfeit materiel

Deliverables

This matrix is intended to provide an overview of the parties' contractual obligations to assist with contract management. It does not form part of the contract and should not be relied upon to aid interpretation of the contract. In the event of any conflict, inconsistency or discrepancy between this matrix and the contract, the terms of the contract shall always take precedence.

Supplier Contractual Deliverables

| Name | Description | Due | Responsible Party |
|--|--|-----|-----------------------|
| Obligation Condition 1.c.(2) - Notification of litigation | Notification of; Litigation, arbitration, administrative, adjudication or mediation proceedings against itself or a Subcontractor | | Supplier Organization |
| Obligation Condition 1.c.(4) - Notification of Winding-up | Notice of any proceedings or steps taken for its winding-up or dissolution or for the appointment of a receiver, administrator, liquidator, | | Supplier Organization |
| Obligation Condition 5.b - Notice of inconsistency between contract documents | If either Party becomes aware of any inconsistency within or between Contractual documents they shall notify the other Party forthwith | | Supplier Organization |
| Obligation Condition 16.a - Change of Control of Contractor | Written Notification of any intended, planned or actual change in control of the Contractor, including any Sub-contractors. | | Supplier Organization |
| Obligation Condition 16.b - Notification of Concern due to Change of Control | advise the Contractor in writing of any concerns due to Change of Control | | Supplier Organization |
| Obligation Condition 23.e, 24.a, and 24.c - Safety Data Sheet | provide a Safety Data Sheet in respect of each Dangerous/Hazardous Material or substance supplied or deliverable containing such. | | Supplier Organization |
| Obligation Condition 23.f.(6) And Condition 23.g.(1).(b) - Documents relating to design of new MLP Packaging | "All SPIS, new or modified, shall be uploaded by the on to SPIN. where the Supplier is the PDA and registered a list of all SPIS which have been prepared or revised against the Contract; and a copy of all new / revised SPIS, complete with all continuation sheets and associated drawings shall be provided for upload" | | Supplier Organization |
| Obligation Condition - Compliance with hazard reporting requirements for materials or substances are ordnance, munitions or explosives | in addition to the requirements of CHIP and / or the CLP Regulation 1272/2008 and REACH the Contractor shall comply with hazard reporting requirements of DEF STAN 07-085 Design Requirements for Weapons and Associated Systems. | | Supplier Organization |
| Obligation Condition 25.c - Source of Timber and Wood | If requested Evidence that the Timber and Wood-Derived Products supplied to the Authority comply with the requirements of clause 25.a or 25.b or both. | | Supplier Organization |
| Obligation Condition 36.c - Payment | Payment of sums due | | Supplier Organization |
| Obligation Condition 37.c - Notification of applicable VAT | Notification of VAT liability or changes to it | | Supplier Organization |
| Obligation Condition 42.c.(2) - Post notification of Termination | List of Unused and undamaged materiel; contractor deliverables in the course of manufacture. | | Supplier Organization |
| Mandatory Standstill Period Ending | The 10 day mandatory Standstill Period is closing | | Supplier Organization |

Buyer Contractual Deliverables

| Name | Description | Due | Responsible Party |
|---|---|-----|--------------------|
| Obligation Condition 5.b - Notice of inconsistency between contract documents | If either Party becomes aware of any inconsistency within or between Contractual documents, they shall notify the other Party forthwith | | Buyer Organization |
| Obligation Condition 8.c - Change in Authority Representatives | Written confirmation of any change to the Authorities Representatives | | Buyer Organization |
| Obligation Condition 14.f.(6) - Use of confidentiality agreement | Disclosure of Information on a confidential basis shall be subject to a confidentiality agreement containing terms no less stringent than those placed on the Authority | | Buyer Organization |
| Obligation Condition 26.a - Certificate of Conformity | sufficient information, certification, documentation and other reasonable assistance to obtain necessary UK import/export licence or to facilitate the granting of export/import licences or authorisations by a foreign Government | | Buyer Organization |
| Obligation Condition 36.a - Register on CP&F | provide details for registration on CP&F | | Buyer Organization |
| Obligation Condition 42.a - Termination | Written notice of Termination of part or whole of contract | | Buyer Organization |

The Statement Relating To Good Standing

Contract Title: Reduced Size Crashworthy External Fuel Tanks (RCEFS) & Internal Auxiliary Fuel Systems (IAFS) Support Framework Agreement

Contract Number: 700008662

1. We confirm, to the best of our knowledge and belief, that **ROBERTSON FUEL SYSTEMS LLC** including its directors or any other person who has powers of representation, decision or control or is a member of the administrative, management or supervisory body of **ROBERTSON FUEL SYSTEMS LLC** has not been convicted of any of the following offences within the past 5 years:
 - b. conspiracy within the meaning of section 1 or 1A of the Criminal Law Act 1977 or article 9 or 9A of the Criminal Attempts and Conspiracy (Northern Ireland) Order 1983 where that conspiracy relates to participation in a criminal organisation as defined in Article 2 of Council Framework Decision 2008/841/JHA;
 - c. corruption within the meaning of section 1(2) of the Public Bodies Corrupt Practices Act 1889 or section 1 of the Prevention of Corruption Act 1906;
 - d. common law offence of bribery;
 - e. bribery within the meaning of section 1,2 or 6 of the Bribery Act 2010; or section 113 of the Representation of the People Act 1983;
 - f. any of the following offences, where the offence relates to fraud affecting the European Communities financial interests as defined by Article 1 of the Convention on the protection of the financial interests of the European Communities:
 - (1) the common law offence of cheating the Revenue;
 - (2) the common law offence of conspiracy to defraud;
 - (3) fraud or theft within the meaning of the Theft Act 1968, the Theft Act (Northern Ireland) 1969, the Theft Act 1978 or the Theft (Northern Ireland) Order 1978;
 - (4) fraudulent trading within the meaning of section 458 of the Companies Act 1985, Article 451 of the Companies (Northern Ireland) Order 1986 or section 933 of the Companies Act 2006;
 - (5) fraudulent evasion within the meaning of section 170 of the Customs and Excise Management Act 1979 or section 72 of the Value Added Tax Act 1994;
 - (6) an offence in connection with taxation in the European Union within the meaning of section 71 of the Criminal Justice Act 1993;
 - (7) destroying, defacing or concealing of documents or procuring the extension of a valuable security within the meaning of section 20 of the Theft Act 1968 or section 19 of the Theft Act (Northern Ireland) 1969;
 - (8) fraud within the meaning of section 2,3 or 4 of the Fraud Act 2006; or

- (9) the possession of articles for use in frauds within the meaning of section 6 of the Fraud Act 2006, or making, adapting, supplying or offering to supply articles for use in frauds within the meaning of section 7 of that Act;
- g. any offence listed:
 - (1) in section 41 of the Counter Terrorism Act 2008; or
 - (2) in Schedule 2 to that Act where the court has determined that there is a terrorist connection;
- h. any offence under sections 44 to 46 of the Serious Crime Act 2007 which relates to an offence covered by (f) above;
- i. money laundering within the meaning of section 340(11) and 415 of the Proceeds of Crime Act 2002;
- j. an offence in connection with the proceeds of criminal conduct within the meaning of section 93A, 93B, or 93C of the Criminal Justice Act 1988 or article 45, 46 or 47 of the Proceeds of Crime (Northern Ireland) Order 1996;
- k. an offence under section 4 of the Asylum and Immigration (Treatment of Claimants etc) Act 2004;
- l. an offence under section 59A of the Sexual Offences Act 2003;
- m. an offence under section 71 of the Coroners and Justice Act 2009;
- n. an offence in connection with the proceeds of drug trafficking within the meaning of section 49, 50 or 51 of the Drug Trafficking Act 1994; or
- o. an offence under section 2 or 4 of the Modern Slavery Act 2015;
- p. any other offence within the meaning of Article 57(1) of Public Contracts Directive –
 - (1) as defined by the law of any jurisdiction outside England and Wales and Northern Ireland: or
 - (2) created in the law of England and Wales or Northern Ireland after the day on which these Regulations were made;
- q. any breach of its obligations relating to the payment of taxes or social security contributions where the breach has been established by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of the country in which it is established or with those of any jurisdictions of the United Kingdom

2. **ROBERTSON FUEL SYSTEMS LLC** further confirms to the best of our knowledge and belief that within the last 3 years it:
- a. has fulfilled its obligations relating to the payment of taxes and social security contributions of the country in which it is established or with those of any jurisdictions of the United Kingdom;
 - b. is not bankrupt or is not the subject of insolvency or winding-up proceedings, where its assets are being administered by a liquidator or by the court, where it is in an agreement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State;
 - c. has not committed an act of grave professional misconduct, which renders its integrity questionable;
 - d. has not entered into agreements with other suppliers aimed to at distorting competition;
 - e. is not subject to a conflict of interest within the meaning of regulation 24;
 - f. has not been involved in the preparation of this procurement procedure which would result in distortion of competition which could not be remedied by other, less intrusive, measures other than exclusion from this procedure;
 - g. has not had a contract terminated, damages or other comparable sanctions taken as a result of significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract, or a prior concession contract as defined by the Concession Contracts Regulations 2016;
 - h. is not guilty of serious misrepresentation in providing any information required by this statement;
 - i. has not unduly influenced the decision-making process of the Authority or obtained confidential information that may confer upon it undue advantages in the procurement procedure;
 - j. in relation to procedures for the award of a public services contract, is licensed in the relevant State in which he is established or is a member of an organisation in that relevant State where the law of that relevant State prohibits the provision of the services to be provided under the contract by a person who is not so licensed or who is not such a member;
 - k. has fulfilled its obligations in the fields of environmental, social and labour law established by EU law, national law, collective agreements or by the international environmental, social and labour law provisions listed in the Public Contracts Directive as amended from time to time (as listed in [PPN 8/16](#) Annex C).

I confirm that to the best of my knowledge my declaration is correct. I understand that the contracting authority will use the information in the selection process to assess my organisation's suitability to be invited to participate further in this procurement, and I am signing on behalf of my organisation. I understand that the Authority may reject my submission if there is a failure to provide a declaration or if I provide false or misleading information.

| | |
|---|---|
| Organisation's name | Robertson Fuel Systems, LLC |
| Signed (By Director of the Organisation or equivalent) | This text has been redacted under exemptions set out by the Freedom of Information act. |
| Name | This text has been redacted under exemptions set out by the Freedom of Information act. |
| Position | Vice President, Contracts & Compliance |
| Date | |