

[MS04] [Other Milestones]	[Detail to be populated]	[Detail to be populated]	[Detail to be populated]	[Detail to be populated]	[Detail to be populated]
---------------------------------	-----------------------------	--------------------------	--------------------------	--------------------------------	--------------------------

4. BUYER REQUIREMENTS – ADDITIONAL SOW SPECIFIC REQUIREMENTS	
SOW Mobilisation Plan	Supplier shall provide a Mobilisation plan for this SOW in accordance with Call-Off Schedule 13A (Health Implementation Plan and Testing).
[Mobilisation Condition Precedent]	<p>[This Statement of Work is conditional upon, and shall not become effective prior to, Achievement of the Mobilisation Milestone (the "Condition Precedent").</p> <p>The Buyer may in its sole discretion at any time agree to waive compliance with the Condition Precedent.</p> <p>The Supplier shall satisfy, or procure the satisfaction of, the Condition Precedent as soon as possible. If the Condition Precedent is not satisfied within [insert] Working Days following the Mobilisation Date, unless the Condition Precedent is waived by the Buyer, this Statement of Work shall not come into effect and neither Party shall have any obligation to pay any compensation to the other Party as a result of such cessation.]</p>
Resource Plan	The resource plan is contained in the Pricing Model with the same name as this SOW with the suffix (Costs). Note that the Buyer resource profile, provided as guidance, is superseded by the Suppliers offer, once signed and accepted.
Key Sub-Contractors	List of any Key Sub-Contractors
Key Staff (Buyer)	List of named key Supplier staff and their roles
Key Staff (Supplier)	List of named key Supplier staff, their roles, and email details
Security Applicable to SOW	The Supplier confirms that all Supplier Staff working on Buyer Sites and on Buyer Systems and Deliverables, have completed Supplier Staff Vetting in accordance with Call-Off Schedule 9A (Security) and as specifically amended here.

4. BUYER REQUIREMENTS – ADDITIONAL SOW SPECIFIC REQUIREMENTS					
Supplier and/or 3rd Party Intellectual Property	<p>Unless specifically noted below the Supplier agrees that the Deliverables under this Statement of Work will not, in any way, be dependent on either Supplier or Supplier furnished Third Party IPR.</p> <table border="1"> <tr> <td data-bbox="448 439 1347 589"> One or more Deliverables under this Statement of Work will be dependent of Supplier and/or Supplier furnished 3rd Party IPR as detailed below </td><td data-bbox="1347 439 1466 589"> <input type="checkbox"/> </td></tr> <tr> <td data-bbox="448 589 758 786"> The specific IPR (and associated licence terms) are detailed in: </td><td data-bbox="758 589 1466 786"></td></tr> </table>	One or more Deliverables under this Statement of Work will be dependent of Supplier and/or Supplier furnished 3 rd Party IPR as detailed below	<input type="checkbox"/>	The specific IPR (and associated licence terms) are detailed in:	
One or more Deliverables under this Statement of Work will be dependent of Supplier and/or Supplier furnished 3 rd Party IPR as detailed below	<input type="checkbox"/>				
The specific IPR (and associated licence terms) are detailed in:					
Processing Data	<table border="1"> <tr> <td data-bbox="448 853 1347 965"> This Statement of Work requires specific Data Processing arrangements </td><td data-bbox="1347 853 1466 965"> <input type="checkbox"/> </td></tr> <tr> <td data-bbox="448 965 758 1162"> The specific arrangements are held in the document entitled: </td><td data-bbox="758 965 1466 1162"></td></tr> </table>	This Statement of Work requires specific Data Processing arrangements	<input type="checkbox"/>	The specific arrangements are held in the document entitled:	
This Statement of Work requires specific Data Processing arrangements	<input type="checkbox"/>				
The specific arrangements are held in the document entitled:					
Additional Standards Applicable to SOW	<p>From the Start Date of this Statement of Work, the Supplier shall comply with the relevant (and current as of the SOW Start Date) Standards as set out in Annex 3 of Framework Schedule 1 (Specification) and optional additional standards incorporated in Health Order Form Annex 7 (Applicable Standards).</p> <p>The Buyer requires the Supplier to comply with the following additional Standards requirements for this Statement of Work: [insert]</p>				

5. CHARGES			
Call Off Contract Charges	The applicable charging method(s) for this SOW is (check one):		
	Capped Time and Materials	<input type="checkbox"/>	Fixed Price <input type="checkbox"/>
	<p>The estimated maximum value of this SOW (irrespective of the selected charging method) as detailed in the related resource / cost model (document with the same name but with (Costs) instead of (Spec).</p> <p>The Charges detailed in the financial model shall be invoiced in accordance with Clause 4 of the Call-Off Contract.</p>		
Financial Model	The financial model applicable to this SOW is detailed set out in Annex 1 to this SOW.		
Reimbursable Expenses	Expenses are not applicable to this Call-Off. Expenses should be built into the Call-Off rates provided within Call-Off Schedule 5A – Annex 1 Call-Off Contract Prices.		

6. VARIATIONS TO TERMS	
Statement of work specific variations to Terms	Variations to this SOW shall only be made in accordance with clause 24 of the Core Terms.

7. TERMINATION	
Notice period for termination for convenience	<p>7.1 Without prejudice to the rights and liabilities of the Parties under Clause 10 (Ending the contract or any subcontract) of the Core Terms, and subject to the provisions of paragraph 7.2 of this SOW below, the Buyer has the right to terminate this Statement of Work at any time without reason and without compensation or costs by giving the Supplier not less than 30 days' written notice.</p> <p>7.2 Where the Buyer exercises its rights to terminate this SOW in accordance with paragraph 7.1 above, the provisions of Clause 10.6 of the Core Terms will apply to the termination of this SOW and the Deliverables under it in the same way such apply to termination of the Call-Off Contract under Clause 10.2.2 of the Core Terms.</p>

8. SIGNATURES AND APPROVALS

Agreement of this SOW

BY SIGNING this Statement of Work, the Parties agree that it shall be incorporated into Appendix 1 of the Order Form and incorporated into the Call-Off Contract and be legally binding on the Parties:

Signed by an authorised signatory for and on behalf of the Buyer

Buyer Signature

Signed by an authorised signatory to sign for and on behalf of the Supplier

Supplier Signature

ANNEX 1 – FINANCIAL MODEL

[to be inserted from combined document]



Forecast Start Date	28 Sep 2021
Forecast End Date	28 Sep 2021

01 Apr 2022	ACQ1 National	ACQ2 London	ACQ3 Leeds	ACQ4 National Home
365	0%	0%	60%	40%
FY2021/22				
FY2022/23				

Role No	Response										
	ACQ11A DDat Role	ACQ11X Start Week No	ACQ11B Person-Days	ACP11X Offshore Loc.	ACQ11C Name of Proposed Individual	ACQ11D Day Rate (by Supplier)	ACQ11E Technology Premium (if applicable)	ACQ11F Employment Status	Premium	Gross Rate	Cost (Days * Rate)
01						£ -				£ -	£ -
02						£ -				£ -	£ -
03						£ -				£ -	£ -
04						£ -				£ -	£ -
05						£ -				£ -	£ -
06						£ -				£ -	£ -
07						£ -				£ -	£ -
08						£ -				£ -	£ -
09						£ -				£ -	£ -
10						£ -				£ -	£ -
11						£ -				£ -	£ -
12						£ -				£ -	£ -
13						£ -				£ -	£ -
14						£ -				£ -	£ -
15						£ -				£ -	£ -
16						£ -				£ -	£ -
17						£ -				£ -	£ -
18						£ -				£ -	£ -
19						£ -				£ -	£ -
20						£ -				£ -	£ -
21						£ -				£ -	£ -
22						£ -				£ -	£ -
23						£ -				£ -	£ -
24						£ -				£ -	£ -
25						£ -				£ -	£ -
26						£ -				£ -	£ -
27						£ -				£ -	£ -
28						£ -				£ -	£ -
29						£ -				£ -	£ -
30						£ -				£ -	£ -
31						£ -				£ -	£ -
32						£ -				£ -	£ -
33						£ -				£ -	£ -
34						£ -				£ -	£ -
35						£ -				£ -	£ -

Forecast Start Date	28 Sep 2021
Forecast End Date	28 Sep 2021

	ACQ1 National	ACQ2 London	ACQ3 Leeds	ACQ4 National Home
	0%	0%	60%	40%

Role No	Response										
	ACQ11A DDat Role	ACQ11X Start Week No	ACQ11B Person-Days	ACP11X Offshore Loc.	ACQ11C Name of Proposed Individual	ACQ11D Day Rate (by Supplier)	ACQ11E Technology Premium (if applicable)	ACQ11F Employment Status	Premium	Gross Rate	Cost (Days * Rate)
36						£ -				£ -	£ -
37						£ -				£ -	£ -
38						£ -				£ -	£ -
39						£ -				£ -	£ -
40						£ -				£ -	£ -

Total Number of Person Days: _____ -

0.00% **ACR4 Net Cost:** £ -

Split by Financial Year and Revenue/Capital (for information)					
Based on Estimate	Percent	FY2021/22	FY2022/23	Totals	
BUY8I Revenue	80%	£ -	£ -	£ -	
Capital	20%	£ -	£ -	£ -	
Totals		£ -	£ -	£ -	

With Out of Hours [ACR4*(1+BUY8X)]:	£	-
-------------------------------------	---	---

ACQ11H Risk Premium (Cap): 0%

ACR5 Total SOW Cost: £ -

Average Day Rate: £0.00

	Other SOW related information		
Ref	Nature of Information	Options	
BUY8JA	SOW Pricing Model	Capped T&M	
BUY8JB	Accountability Model	Rainbow Team	
BUY8JC	Outcome based	Resource Augmentation	
BUY8JD	Inside/Outside IR35	Inside IR35	
INF8P	Number of roles > £900	0	out of: 0

of which Fixed Price Increments / Milestones		
BUY8M		
Reference	BUY8N Milestone / Increment Description	ACQ11K Price
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
		£ -
Total:		£ -

Forecast Start Date	28 Sep 2021
Forecast End Date	28 Sep 2021

01 Apr 2022	ACQ1 National	ACQ2 London	ACQ3 Leeds	ACQ4 National Home
365	0%	0%	60%	40%
FY2021/22	FY2022/23			

Role No	Response										
	ACQ11A DDat Role	ACQ11X Start Week No	ACQ11B Person-Days	ACP11X Offshore Loc.	ACQ11C Name of Proposed Individual	ACQ11D Day Rate (by Supplier)	ACQ11E Technology Premium (if applicable)	ACQ11F Employment Status	Premium	Gross Rate	Cost (Days * Rate)
ACQ11G	The Bidder should document Outstanding Risks / Assumptions here. Only list assumptions which potentially affect the cost of the SOW										
R1											
R2											
R3											
R4											
R5											
R6											
R7											
R8											
R9											
R10											

Framework Schedule 6A Annex 3 Special Terms

The following special terms are applied to this call-off.

Unless explicitly listed below or as superseded by the order of precedence documented within the main body of the Order Form, terms shall be as published on the Crown Commercial Services RM6221 Digital Capability for Health web site under Documentation (the version being as listed below and in the table of schedules contained within the body of Order Form.

Clarifications to Core Terms

Other than header and footer changes, corrections to version numbers , the Core Terms held on the CCS RM6221 web site apply except as explicitly noted below

CT	RM6221 DCfH Core Terms				V3.0.9
No.	Reference	Type	Date	Description	
1	Clause 14.1 Data protection	C	28 Oct 21	Clause 14.1 shall be amended from ... 14.1 The Supplier must process Personal Data and ensure that Supplier Staff process Personal Data only in accordance with Joint Schedule 11 (Processing Data). to ... 14.1 The Supplier must process Personal Data and ensure that Supplier Staff process Personal Data only in accordance with Joint Schedule 11 (Processing Data) and Health Order Form Annex 5 (Processing Personal Data) which enacts Annex 1 of Joint Schedule 11.	
2	Clause 15 Confidentiality	A	29 Sep 21	The following wording shall be inserted as a new clause 15.8 in the core terms. 15.8 Notwithstanding Framework Clause 15, a Recipient Party may use any techniques, ideas or Know-How gained during the performance of a Call Off Contract in the course of its normal business to the extent that this use does not result in a disclosure of the Disclosing Party's Confidential Information or an infringement of Intellectual Property Rights.	

Health Order Form Annex 3 Special Terms

Call-Off Ref: C139197 PLAT - Risk Stratification

Clarifications to Joint Schedules

Other than header and footer changes, corrections to version numbers and/or additional guidance (usually removed prior to issue), the Joint Schedules held on the CCS RM6221 web site shall apply except as explicitly noted below:

J01	Joint Schedule 1 (Definitions)				V3.8
No.	Reference	Type	Date	Description	
1	Definition	A	29 Sep 21	The following definition shall be added to Joint Schedule 1 (Definitions) “ wilful misconduct ” means a deliberate and wrongful act or omission by the Supplier or its Subcontractors or agents who intend that in so acting, or omitting to do something, to cause harm to the Buyer.”	
2	Definition	A	07 Nov 21	The following definition shall be added to Joint Schedule 1 (Definitions) “ Framework Terms ” means the Core Terms, the Framework Schedules, the Joint Schedules and Call-Off Schedules and any annexes thereto”	

Alterations to Published Call-Off Schedules

The purpose of this part of this annex is to highlight any material differences between the Call-Off Schedules issued as part of this Order Form compared with those published on the CCS RM6221 web-site.

C05A	Call-Off Schedule 5A (Health Pricing Details and Expenses Policy)				V3.0.2
No.	Reference	Type	Date	Description	
1	Annex 1 Call-Off Contract Prices	A	30 Sep 21	Example rates table included as Annex 1 has been replaced by an extract from the Pricing Model and the actual rate table now included as a standalone file. A separate stand-alone file version of Bidders rates table from the Pricing Model now forms Annex 1	
2	Annex 2 Exceptional Technology Adjustments	A	30 Sep 21	Example Exceptional Technology Adjustments table included as Annex 2 has been replaced by an extract from the Pricing Model and the actual rate table now included as a standalone file. A separate stand-alone file version of Bidders Exceptional Technology Adjustments table from the Pricing Model now forms Annex 2.	

C13A	Call-Off Schedule 13A (Health Implementation Plan and Testing)				V3.2.2
No.	Reference	Type	Date	Description	
1	Annex 4 Product Backlog Item List	D	06 Oct 21	Annex 4 has been removed (as duplication for what is described in Call-Off Schedule 20 (Call-Off Specification) under Annex 4. Product Backlog Item List	

Health Order Form Annex 3 Special Terms

Call-Off Ref: C139197 PLAT - Risk Stratification

C23		Health Additional Call-Off Terms			V1.0.3
No.	Reference	Type	Date	Description	
1	Specially Written Software	A	26 Jan 22	<p>The definition of Specially Written Software has been corrected.</p> <p>Section 3A has been added. This is largely a copy and paste from Call-Off Schedule 6 (ICT Services) but with flexibility added to allow for the use of IPR within Deliverables if explicitly agreed as part of a Statement of Work.</p> <p>(The Health Order Form has been updated to link to this section and the Statement of Work template updated to provide a mechanism to incorporate such agreement)</p>	

Health Order Form Annex 4 Buyer's Mandatory Policies

Buyer's Mandatory Policies Table:

Note the policies below apply as may be updated from time to time

Universal Policies (Policies that apply to all employees in all circumstances)	Contactor In-scope	Contactor Out of-scope	External Supplier	Temporary Staff	Work Package Outcomes	Work Package Augmentation
	Ind	Ind	Org	Ind	Org	Org Mandated
Mandatory Corporate Policies						
Confidentiality	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	All staff on rate equivalent to Grade 8d or above to annually review and accept this policy	Rate equivalent to Grade 8d or above Mandated otherwise to be aware
Code of Business Conduct	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	Rate equivalent to Grade 8d or above Mandated otherwise to be aware	Rate equivalent to Grade 8d or above Mandated otherwise to be aware
The Register of Interest Policy	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	Rate equivalent to Grade 8d or above Mandated otherwise to be aware	Rate equivalent to Grade 8d or above Mandated otherwise to be aware
Acceptable Use of ICT and User Obligations	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	Rate equivalent to Grade 8d or above Mandated otherwise to be aware	Rate equivalent to Grade 8d or above Mandated otherwise to be aware

Health Order Form Annex 4 (Buyer's Mandatory Policies)

Call-Off Ref: C139197 PLAT - Risk Stratification

Universal Policies (Policies that apply to all employees in all circumstances)	Contactor In-scope	Contactor Out of-scope	External Supplier	Temporary Staff	Work Package Outcomes	Work Package Augmentation
	Ind	Ind	Org	Ind	Org	Org Mandated
Hospitality & the Receipt of Gifts Policy	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	Rate equivalent to Grade 8d or above Mandated otherwise to be aware	Rate equivalent to Grade 8d or above Mandated otherwise to be aware
NHS Digital Counter Fraud Policy	Annual review and acceptance required	Must be aware	Must be aware	Annual review and acceptance required	Rate equivalent to Grade 8d or above Mandated otherwise to be aware	Rate equivalent to Grade 8d or above Mandated otherwise to be aware
Other Policies						
Bring Your Own Device Policy	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
Commercial Policy	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
Equality and Diversity Policy	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
Health and Safety Policy	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
IT Operations	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
Modern Slavery and Human Trafficking	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
HR Organisation & Transformation (People and Workforce)	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware

Health Order Form Annex 4 (Buyer's Mandatory Policies)

Call-Off Ref: C139197 PLAT - Risk Stratification

Universal Policies (Policies that apply to all employees in all circumstances)	Contactor In-scope	Contactor Out of-scope	External Supplier	Temporary Staff	Work Package Outcomes	Work Package Augmentation
	Ind	Ind	Org	Ind	Org	Org Mandated
Staff Vetting Procedures	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware
Travel and Expenses	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware	Must be aware

Health Order Form Annex 5 Processing Personal Data

This Annex shall be completed by the Controller, who may take account of the view of the Processors, however the final decision as to the content of this Annex shall be with the Relevant Authority at its absolute discretion.

- 1.1 The contact details of the Relevant Authority's Data Protection Officer are:



- 1.2 The contact details of the Supplier's Data Protection Officer are:



- 1.3 The Processor shall comply with any further written instructions with respect to Processing by the Controller.

- 1.4 Any such further instructions shall be incorporated into this Annex.

The data processing arrangements below are the best guess position of both Parties at contract execution stage. As and when the relevant DPIAs are finalised, the Buyer reserves the right to review and update this Annex 5 to the Order Form.

Health Order Form Annex 5 (Processing Personal Data)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2021

Details as applicable:

Description	Details
Identity of Controller for each Category of Personal Data	<p>NHS England is Controller and the Supplier is Processor</p> <p>The Parties acknowledge that in accordance with Paragraph 2 to Paragraph 15 of Joint Schedule 11 and for the purposes of the Data Protection Legislation, NHS England is the Controller and the Supplier is the Processor of the following Personal Data:</p> <p>Any information accessed on NHS England systems as part of the Services, including:</p> <ul style="list-style-type: none">• Patient / citizen : demographics data: NHS number, name, address, postcode, language preferences and contact information relation to subjects.• Patient / citizen: clinical data: NHS number, details of subject's health, historic information regarding subject's health. <p>Further details of the information assets hosted on the listed platforms are detailed in the NHS England Unified Registry (as may be amended from time to time). To note, NHS England may solely be a processor to another government controller, and in which case the Supplier shall remain NHS England's processor (i.e., a sub-processor).</p>
The Parties are Independent Controllers of the following Personal Data	Not used
Duration of the Processing	For the duration of the Contract only.

Health Order Form Annex 5 (Processing Personal Data)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2021

<p>Nature and purposes of the Processing</p>	<p>The purpose of the Processing is:</p> <ul style="list-style-type: none">• the delivery of all of NHS England platforms day-to-day operations (running the service including incident management utilising NHS England's Service Management toolkit);• ongoing maintenance within to be agreed service level agreements to maintain agreed Service Levels to ensure user availability;• the development and safe delivery of transformation activity into live service from NHS England's prioritised backlog and from other transformation drivers;• for the avoidance of doubt, data is processed on NHS England systems at the direction of NHS England and other commissioners at a national and regional level.• Individual work is subject to IG and wider governance approvals on a use case to use case basis. <p>The nature of the Processing may include activities such as:</p> <ul style="list-style-type: none">• collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation,• use, alignment or combination, restriction,• modification of data,• risk stratification, which has the overarching objective to design, build, deploy and maintain digital tools that support cohorting and risk stratification activities. Each use-case is unique but the work is broadly splits into single-record processing (typically but not always for GP use), and bulk-processing where, for example, a particular patient cohort is selected from a larger dataset using specific criteria. <p>The following processing activities shall not occur unless specifically required in writing by NHS England:</p> <ul style="list-style-type: none">• disclosure by transmission, dissemination or otherwise making available;• erasure or destruction of entire data set (whether or not by automated means) etc.
--	--

Health Order Form Annex 5 (Processing Personal Data)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2021

Description	Details
Type of Personal Data	<p>NHS England information assets include datasets relating to employees, NHS staff, patients and the public, including the following broad categories:</p> <ul style="list-style-type: none">• Patient / citizen : demographics information, NHS number, name, address, postcode, date of birth, NI number, telephone number, email address, access and language preferences.• Patient / citizen: security and login information.• Patient / citizen: clinical information, images, biometric data, clinical data (current and historic), communications. <p>Further details of the information assets hosted on the listed platforms are detailed in the NHS England Unified Registry.</p>
Categories of Data Subject	<p>Dependant on the platform, categories of data subject include:</p> <ul style="list-style-type: none">• Patients / citizens: residents of England. Supplier staff providing systems and services to NHS England and the wider NHS. <p>Manufacturing inventory and product details, including some commercially sensitive data.</p>
<p>Plan for return and destruction of the data once the Processing is complete</p> <p>UNLESS requirement under Union or Member State law to preserve that type of data</p>	<p>The personal data will remain on NHS England controlled platforms and subject to NHS England security and retention policies. No data will be removed by the Supplier from the NHS England controlled platforms</p> <ul style="list-style-type: none">• Save that NHS England may retain the business contact details of Supplier Personnel for which the Supplier is the Controller.

Health Order Form Annex 7 (Applicable Standards)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2018

Health Order Form Annex 7 (Applicable Standards)

Annex 3 of Framework Schedule 1 (Specification) lists the Standards which generally apply to digital work within the Health environment. However, there may be additional standards which apply specifically to the Call-Off Competition (and/or to individual Statements of Work). The following table highlights those which are specifically incorporated (over and above those listed at the framework level) as part of this contract:

Standard	Applies
COMMERCIAL STANDARDS	
BS ISO 22301 Business Continuity Accreditation certificate or Evidence of a robust Business Continuity and Disaster Recovery Plan	<input checked="" type="checkbox"/>
NHS IT Contracting Model	<input type="checkbox"/>
ISO 14001 Environmental Management	<input type="checkbox"/>
BS9997 Fire Risk Management Systems compliance	<input type="checkbox"/>
Compliance with Waste Electrical and Electronic Equipment Directive (WEEE Directive 2012/19/EU)	<input type="checkbox"/>
Compliance with Directive 2007/47/EC where a product contains phthalates, this must be indicated on the packaging of the product in line with the Directive.	<input type="checkbox"/>
Compliance with Restriction of the use of certain hazardous substances in electrical and electronic equipment directive (RoHS 2 Directive 2011/65/EU)	<input type="checkbox"/>
Compliance with the Sanctions, Embargoes and Restrictions government policy	<input type="checkbox"/>
ISO 50001 Energy Management Systems compliance or accreditation	<input type="checkbox"/>
Compliance with EU Code of Conduct	<input type="checkbox"/>
Compliance with the NHS Network QoS (Quality of Service) Policy	<input type="checkbox"/>
Supplier code of conduct	<input type="checkbox"/>
...	<input type="checkbox"/>

Health Order Form Annex 7 (Applicable Standards)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2018

Standard	Applies
INFORMATION GOVERNANCE, DATA SECURITY AND QUALITY STANDARDS	
ISO 9001:2015 Quality management systems certification or an equivalent recognised quality management system (QMS) certification	<input checked="" type="checkbox"/>
BS ISO 22301:2012 Societal security – Business Continuity management systems – Requirements	<input checked="" type="checkbox"/>
BS ISO 27001:2013 Information and Data Security	<input checked="" type="checkbox"/>
BS ISO/IEC 27002:2013 Information technology — Security techniques — Code of practice for information security controls	<input checked="" type="checkbox"/>
Cyber Essentials	<input checked="" type="checkbox"/>
Cyber Essentials Plus	<input checked="" type="checkbox"/>
National Data Guardian's Data 10 Security Standards compliance https://www.ncsc.gov.uk/guidance/10-steps-cyber-security	<input checked="" type="checkbox"/>
Demonstrate compliance with all mandatory assertions in the NHS Data Security and Protection Toolkit (DSPT) for the relevant organisation type.	<input checked="" type="checkbox"/>
BS 10008:2014 Evidential Weight and Legal Admissibility of Electronic Information (Code of Practice) - Accreditation	<input type="checkbox"/>
BS ISO 15489-1:2016 Information and Documentation Records Management compliance	<input type="checkbox"/>
BS7858:2012 Security Screening of Individuals Employed in a Security Environment (Code of Practice) compliance	<input type="checkbox"/>
BS EN 15713:2009 Secure Destruction of Confidential Material (Code of Practice) certification	<input type="checkbox"/>
Compliance / accreditation to NHS and social care data: off-shoring and the use of public cloud services guidance	<input type="checkbox"/>
...	<input type="checkbox"/>

Health Order Form Annex 7 (Applicable Standards)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2018

Standard	Applies
DEVELOPMENT AND DESIGN STANDARDS	
BS ISO/IEC 12207:2017 Systems and software engineering.	<input checked="" type="checkbox"/>
BS 8878:2010 Web accessibility. Code of Practice.	<input checked="" type="checkbox"/>
Open Standards: "Open Standards Principles 2018: For software interoperability, data and document formats in government IT specifications" (which can be found at https://www.gov.uk/government/publications/open-standards-principles) and any supplementary or replacement government guidance.	<input checked="" type="checkbox"/>
Adopted Open Standards as detailed on the Standards Hub https://www.gov.uk/government/publications/open-standards-for-government	<input checked="" type="checkbox"/>
Web Content Accessibility Guidelines (WCAG) 2.0 to level AA; or WCAG 2.1, (as updated pursuant to the Public Sector Bodies (Websites and Mobile Applications) Accessibility Regulations 2018).	<input checked="" type="checkbox"/>
Compliance with MHRA medical device standards where the Solution is considered by the supplier to be a medical device.	<input checked="" type="checkbox"/>
Compliance with BS EN 60601-1-2:2015 Medical Electrical Equipment	<input type="checkbox"/>
BS EN 80601-2-30:2010+A1:2015 Medical Electrical Equipment compliance - Product must be registered / approved with the British and Irish Hypertension Society and meet at least one of the following testing standards: • ESH International Protocol 2002 (IP1)	<input type="checkbox"/>
Safety Data Sheets (SDS) for all products that fall under REACH (Registration, Evaluation, Authorisation and restriction of Chemicals) 2007 – more specifically, a SDS must be provided if a substance or a mixture supplied is classified as hazardous under t	<input type="checkbox"/>
The International Software Testing Standard - ISO/IEC/IEEE 29119 is a guide to suppliers on what level of quality NHS Digital expects from software development testing.	<input type="checkbox"/>
Compliance with Medical Devices Directive 93/42/EEC. All products must have their CE marking evident on the product and/or packaging. Class IIa Medical Device	<input type="checkbox"/>
Compliance with Directive 2006/95/EC (as amended and replacing Directive 73/23/EEC) for electrical equipment designed for use within certain voltage limits.	<input type="checkbox"/>
Compliance with Electromagnetic Compatibility Directive 2004/108/EC	<input type="checkbox"/>
BS EN 50600 series; - Building construction - Power Distribution accreditation - Environmental Control - Telecommunications cabling infrastructure - Security Systems - Management and operational information - Overview of and general requirements for key	<input type="checkbox"/>
BS EN 50131-1:2006 intrusion and hold-up alarm systems (I&HAS) compliance	<input type="checkbox"/>
Encryption Accredited to FIPS 140-2 and have received Augmented Grade Commercial Product Assurance (CPA) accreditation.	<input type="checkbox"/>
...	<input type="checkbox"/>

Health Order Form Annex 7 (Applicable Standards)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2018

Standard	Applies
HEALTH RELATED INFRASTRUCTURE AND SERVICE STANDARDS	
NHS Service Standards (and references therein): http://service-manual.nhs.uk/service-standard	<input checked="" type="checkbox"/>
The NHS digital, data and technology standards and clinical information standards as set out in this link and associated pages (as updated from time to time): http://digital.nhs.uk/about-nhs-digital/our-work/nhs-digital-data-and-technology-standards	<input checked="" type="checkbox"/>
The Health and Social Care Network (HSCN)	<input type="checkbox"/>
SPINE	<input type="checkbox"/>
Care Identity Service	<input type="checkbox"/>
NHS Identity OpenID Connect:	<input type="checkbox"/>
NHS Identity OAUTH2:	<input type="checkbox"/>
NHS Identity FIDO2:	<input type="checkbox"/>
The e-RS (e-Referral Service)	<input type="checkbox"/>
...	<input type="checkbox"/>
INFRASTRUCTURE STANDARDS	
DCB0129 compliance - Clinical Safety Risk assessment	<input checked="" type="checkbox"/>
DCB01260 compliance - Clinical Safety Case	<input checked="" type="checkbox"/>
Health and Social Care email services must be designed in accordance with the principles of DCB 1596 secure email standard.	<input checked="" type="checkbox"/>
...	<input type="checkbox"/>
INTEROPERABILITY STANDARDS	
Use the SNOMED CT Standard as defined by SNOMED International. SNOMED CT (SCCI 0034) and the NHS Digital Terminology Service.	<input type="checkbox"/>
Registration and accreditation with NHSx Digital Technology Assessment Criteria (DTAC) or evidence registration has commenced with an aim to obtain accreditation by 31st December 2021 or by the latest 31st March 2022	<input type="checkbox"/>
Interoperability must comply with relevant NHS Digital Interoperability Standards	<input type="checkbox"/>
Fast Healthcare Interoperability Resources (FHIR) standards developed by HL7.	<input type="checkbox"/>
...	<input type="checkbox"/>

Health Order Form Annex 7 (Applicable Standards)

Call-Off Ref: C139197 PLAT - Risk Stratification

Crown Copyright 2018

Standard	Applies
CLINICAL INFORMATION STANDARDS	
Compliance with ICD-10 (International Statistical Classification of Diseases and Related Health Problems) where ICD encoding is required	<input checked="" type="checkbox"/>
Compliance with OPCS-4 standard where OPCS encoding is required (the statistical classification for clinical coding of hospital interventions and procedures undertaken by the NHS).	<input checked="" type="checkbox"/>
Compliance with Access to Health Records Act (1990) in respect of Information Governance.	<input checked="" type="checkbox"/>
Compliance with NHS Act 2006 (Section 251) (previously Section 60 of the Health and Social Care Act 2001) in respect of Information Governance.	<input checked="" type="checkbox"/>
Compliance with NHS (Venereal Diseases) Regulations (1974) in respect of Information Governance.	<input type="checkbox"/>
Compliance with NHS Data Dictionary and Manual in respect of Information Governance.	<input type="checkbox"/>
Compliance with Records Management - NHS Code of Practice (DHSC) in respect of Information Governance.	<input checked="" type="checkbox"/>
Compliance with NIST Cryptography Standards in respect of Information Governance.	<input type="checkbox"/>
Compliance with ISB 0149 NHS Number Standard	<input checked="" type="checkbox"/>
Compliance with ISB 1077 - AIDC for Patient Identification where Automatic identification and data capture (AIDC) is used	<input type="checkbox"/>
Compliance with ISB 0108 - AIDC Automatic Identification and Data Capture where Automatic identification and data capture (AIDC) is used	<input type="checkbox"/>
...	<input type="checkbox"/>