



Professional Service Contract

Contract Data Forms

June 2017

(with amendments January 2019)

Contract Execution

This agreement is made between the *Client*, the *Consultant* and the Named Suppliers.

Terms in this agreement have the meanings given to them in the contract between the [REDACTED] for Mids PCM Recruitment (the *service*).

The Consultant offers to Provide the Services in accordance with these conditions of contract for an amount to be determined in accordance with these conditions of contract.

The Consultant was appointed to the framework and executed the framework agreement (with reference number RM6165).

Executed under hand

by

[REDACTED]

[REDACTED]

Contract Data

PART ONE – DATA PROVIDED BY THE CLIENT

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *conditions of contract* are the core clauses and the clauses for the following main Option, the Option for resolving and avoiding disputes and secondary Options of the NEC4 Professional Service Contract June 2017 (with amendments January 2019)

Main Option Option for resolving and avoiding disputes

Secondary Options

The *service* is

The *Client* is

Name

Address for communications

Address for electronic communications

The *Service Manager* is

Name

Address for communications

Address for electronic communications

The Scope is in

The *language of the contract* is

The *law of the contract* is the law of

The *period for reply* is except that

• The *period for reply* for is

• The *period for reply* for is

The *period for retention* is year(s) following Completion or earlier termination

The following matters will be included in the Early Warning Register

Early warning meetings are to be held at intervals no longer than

2 The *Consultant's* main responsibilities

If the *Client* has identified work which is set to meet a stated *condition* by a *key date*

The *key dates* and *conditions* to be met are

| | <i>condition</i> to be met | <i>key date</i> |
|-----|----------------------------|----------------------|
| (1) | <input type="text"/> | <input type="text"/> |
| (2) | <input type="text"/> | <input type="text"/> |
| (3) | <input type="text"/> | <input type="text"/> |

If Option A is used

The *Consultant* prepares forecasts of the total *expenses* at intervals no longer than

If Option C or E is used

The *Consultant* prepares forecasts of the total Defined Cost plus Fee and *expenses* at intervals no longer than

3 Time

The *starting date* is

The *Client* provides access to the following persons, places and things

| access | access date |
|--------------------------|----------------------|
| (1) <input type="text"/> | <input type="text"/> |
| (2) <input type="text"/> | <input type="text"/> |
| (3) <input type="text"/> | <input type="text"/> |

The *Consultant* submits revised programmes at intervals no longer than

4 weeks

If the *Client* has decided the *completion date* for the whole of the *service*

The *completion date* for the whole of the *service* is

31/03/2024

If no programme is identified in part two of the Contract Data

The period after the Contract Date within which the *Consultant* is to submit a first programme for acceptance is

2 weeks

4 Quality management

The period after the Contract Date within which the *Consultant* is to submit a quality policy statement and quality plan is

4 weeks, if not previously provided by the *Consultant*

The period between Completion of the whole of the *service* and the *defects date* is

26 weeks

5 Payment

The *currency of the contract* is the

£ sterling

The *assessment interval* is

Monthly

If the *Client* states any *expenses*

The *expenses* stated by the *Client* are

| item | amount |
|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> |

The *interest rate* is % per annum (not less than 2) above the

Base

rate of the

Bank of England

bank

If the period in which payments are made is not three weeks and Y(UK)2 is not used

The period within which payments are made is

1 Month

If Option C or E is used and the *Client* states any locations

The locations for which the *Consultant* provides a charge for the cost of support people and office overhead are

All UK offices

If Option C is used

The *Consultant's share percentages* and the *share ranges* are

| <i>share range</i> | | <i>Consultant's share percentage</i> |
|--------------------|---------------|---|
| less than | 80 % | 0 – below this threshold any further savings are allocated 100% to the Client |
| from | 80 % to 120 % | 50 % |
| from | % to % | % |
| greater than | 120 % | 0 % |

If Option C or E is used

The *exchange rates* are those published in Financial Times
on (starting date) (date)

6 Compensation events

If there are additional

These are additional compensation events

8 Liabilities and insurance

If there are additional
Client's liabilities

These are additional *Client's liabilities*

- (1)
- (2)
- (3)

The minimum amount of cover and the periods for which the *Consultant* maintains insurance are

| EVENT | MINIMUM AMOUNT OF COVER | PERIOD FOLLOWING COMPLETION OF THE WHOLE OF THE <i>SERVICE</i> OR TERMINATION |
|---|---|---|
| The <i>Consultant's</i> failure to use the skill and care normally used by professionals providing services similar to the <i>service</i> | £1 million in respect of each claim, without limit to the number of claims | 6 years following Completion of the whole works or earlier termination |
| Loss of or damage to property and liability for bodily injury to or death of a person (not an employee of the <i>Consultant</i>) arising from or in connection with the <i>Consultant</i> Providing the <i>Service</i> | Whichever is greater of £1 million or the amount required by law in respect of each event, without limit to the number of events | 12 months |
| Death of or bodily injury to employees of the <i>Consultant</i> arising out of and in the course of their employment in connection with the contract | Whichever is greater of £1 million or the amount required by law in respect of each event, without limit to the number of events | For the period required by law |

The *Consultant* provides these additional insurances

| | |
|----------------------------|-----|
| (1) Insurance against | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are | n/a |
| (2) Insurance against | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are | n/a |
| (3) Insurance against | n/a |
| Minimum amount of cover is | n/a |
| The deductibles are | n/a |

The *Consultant's* total liability to the *Client* for all matters arising under or in connection with the contract, other than the excluded matters is limited to

| |
|------------|
| £1 million |
|------------|

Resolving and avoiding disputes

The *tribunal* is

Litigation in the courts

If the *tribunal* is arbitration

The *arbitration procedure* is

'to be confirmed'

The place where arbitration
is to be held is

'to be confirmed'

The person or organisation who will choose an arbitrator if the Parties cannot agree a choice or if the *arbitration procedure* does not state who selects an arbitrator is

The *Senior Representatives* of the *Client* are

Name (1)

Address for communications

Address for electronic communications

Name (2)

Address for communications

Address for electronic communications

The *Adjudicator* is

Name

'to be confirmed'

Address for communications

'to be confirmed'

Address for electronic communications

'to be confirmed'

The *Adjudicator nominating body* is

Institution of Civil Engineers

X2: Changes in the law

If Option X2 is used The *law of the project* is

The law of England and Wales, subject to the jurisdiction of the courts of England and Wales

X5: Sectional Completion

If Option X5 is used The *completion date* for each section of the *service* is

| <i>section</i> | <i>description</i> | <i>completion date</i> |
|----------------|--------------------|------------------------|
| (1) | <div></div> | <div></div> |
| (2) | <div></div> | <div></div> |
| (3) | <div></div> | <div></div> |
| (4) | <div></div> | <div></div> |

X7: Delay damages

If Option X7 is used without Option X5 Delay damages for Completion of the whole of the *service* are per day

If Option X7 is used with Option X5 Delay damages for each *section* of the *service* are

| <i>section</i> | <i>description</i> | <i>amount per day</i> |
|---|--------------------|-----------------------|
| (1) | <div></div> | <div></div> |
| (2) | <div></div> | <div></div> |
| (3) | <div></div> | <div></div> |
| (4) | <div></div> | <div></div> |
| The delay damages for the remainder of the <i>service</i> are | | <div></div> |

X8: Undertakings to Others

If Option X8 is used The *undertakings to Others* are provided to

X9: Transfer of Intellectual Property Rights

X10: Information modelling

If Option X10 is used

If no *information execution plan* is identified in part two of the Contract Data The period after the Contract Date within which the *Consultant* is to submit a first Information Execution Plan for acceptance is

2 weeks

X11: Termination by the Client

X13: Performance bond

| | | |
|-----------------------|---------------------------------------|----------------------|
| If Option X13 is used | The amount of the performance bond is | <input type="text"/> |
|-----------------------|---------------------------------------|----------------------|

X18: Limitation of liability

| | | |
|-----------------------|---|---|
| If Option X18 is used | The <i>Consultant's</i> liability to the <i>Client</i> for indirect or consequential loss is limited to | <input type="text" value="£1 million"/> |
|-----------------------|---|---|

| | |
|---|---|
| The <i>Consultant's</i> liability to the <i>Client</i> for Defects that are not found until after the <i>defects date</i> is limited to | <input type="text" value="£1 million"/> |
|---|---|

| | | |
|-------------------------------------|--------------------------------|---|
| The <i>end of liability date</i> is | <input type="text" value="6"/> | years after the Completion of the whole of the <i>service</i> |
|-------------------------------------|--------------------------------|---|

X20: Key Performance Indicators (not used with Option X12)

| | | |
|-----------------------|--|-----------------------------|
| If Option X20 is used | The <i>incentive schedule</i> for Key Performance Indicators is in | <input type="text"/> |
| | A report of performance against each Key Performance Indicator is provided at intervals of | <input type="text"/> months |

Y(UK)1: Project Bank Account

Charges made and interest paid by the *project bank*

The *Consultant* **is not** to pay any charges made and to be paid any interest paid by the *project bank* (Delete as applicable)

Y(UK)2: The Housing Grants, Construction and Regeneration Act 1996

If Option Y(UK)2 is used and the final date for payment is not fourteen days after the date on which payment becomes due

The period for payment is days after the date on which payment becomes due

Y(UK)3: The Contracts (Rights of Third Parties) Act 1999

If Option Y(UK)3 is used

term

beneficiary

If Y(UK)3 is used with

term

beneficiary

Y(UK)1 the following entry is added to the table for Y(UK)3

The provisions of
Options Y(UK)1

Named Suppliers

Z: Additional conditions of contract

If Option Z is used

The *additional conditions of contract* are

Z1 Disputes:

Option W2 subclause W2.1(4) is deleted. The Parties agree that adjudication under Clause option W2 should only commence if the dispute resolution procedure has been exhausted and that the dispute resolution procedure in the Scope, takes precedence over Option W2.

Z2 Prevention

The text of clause 18 Prevention is deleted.

Delete the text of clause 60.1(12) and replace with:

The service is affected by any of the following events

- War, civil war, rebellion, revolution, insurrection, military or usurped power;
- Strikes, riots and civil commotion not confined to the employees of the *Consultant* and sub consultants,
- Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel,
- Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device,
- Natural disaster,
- Fire and explosion,
- Impact by aircraft or other aerial device or thing dropped from them.

Z3 Disallowed Costs

In second bullet of 11.2 (18) add:

(including compensation events with the Subcontractor, i.e. payment for work that should not have been undertaken).

Add the following additional bullets after 'and the cost of ' :

- Mistakes or delays caused by the *Consultant's* failure to follow standards in Scopes/quality plans.
- Reorganisation of the *Consultant's* project team.
- Additional costs or delays incurred due to *Consultant's* failure to comply with published and known guidance or document formats.
- Exceeding the Scope without prior instruction that leads to abortive cost
- Re-working of documents due to inadequate QA prior to submission, i.e. grammatical, factual arithmetical or design errors.
- Production or preparation of self-promotional material.
- Excessive charges for project management time on a commission for secondments or full time appointments (greater than 5% of commission value)
- Any hours exceeding 8 per day unless with prior written agreement of the *Service Manager*
- Any hours for travel beyond the location of the nearest consultant office to the project unless previously agreed with the *Service Manager*
- Attendance of additional individuals to meetings/ workshops etc who have not been previously invited by the *Service Manager*
- Costs associated with the attendance at additional meetings after programmed Completion, if delay is due to *Consultant* performance.
- Costs associated with rectifications that are due to *Consultant* error or omission.
- Costs associated with the identification of opportunities to improve our processes and procedures for project delivery through the *Consultant's* involvement
- Was incurred due to a breach of safety requirements, or due additional work to comply with safety requirements
- Was incurred as a result of the *Client* issuing a Yellow or Red Card to prepare a Performance Improvement Plan.

Z4 Share on Termination

Delete existing clause 93.3 and 93.4 and replace with:

93.3 In the event of termination in respect of a contract relating to services there is no *Consultant's share*.

Z5 Secondments

When appointing Consultants on a secondment basis only:

Add clause 19

19.1 The *Client* will from starting date to Completion Date indemnify the *Consultant* against any and all liabilities, proceedings, costs, losses, claims and demands whatsoever arising directly or indirectly out of the activities of the *Consultant* in providing the services save where such claims, in the reasonable opinion of the *Client*, arise from or are contributed to by:

19.1.1 Misrepresentation or negligence by or on behalf of the *Consultant*;
or

19.1.2 The *Consultant* has acted contrary to the *Service Manager's* reasonable instructions or wholly outside the scope of the *Consultant's* duties as defined by the *Service Manager*.

Z6 The Schedule of Cost Components

The rates and costs in this contract shall be compliant with CCS CPS framework (RM6165) (including Schedule 11 *Framework Prices*).

Z7 Linked contracts

Issues requiring redesign or rework on this contract due to a fault or error of the *Consultant* under this contract or a previous contract will neither be an allowable cost under this contract or any subsequent contract, nor will it be a Compensation event under this contract or any subsequent contract under this project or programme.

Z8 Requirement for Invoice

Insert the following sentence at the end of clause 51.1:

The Party to which payment is due submits an invoice to the other Party for the amount to be paid within one week of the Service Manager's certificate.

Delete existing clause 51.2 and insert the following:

51.2 Each certified payment is made by the later of

- one week after the paying Party receives an invoice from the other Party and
- three weeks after the assessment date, or, if a different period is stated in the Contract Data, within the period stated.

If a certified payment is late, or if a payment is late because the *Service Manager* has not issued a certificate which should be issued, interest is paid on the late payment. Interest is assessed from the date by which the late payment should have been made until the date when the late payment is made, and is included in the first assessment after the late payment is made.

Z9 Conflict of Interest

The Consultant immediately notifies the *Client* of any circumstances giving rise to or potentially giving rise to conflicts of interest relating to the *Consultant* (including without limitation its reputation and standing) and/or the *Client* of which it is aware or which it anticipates may justify the Client taking action to protect its interests. Should the Parties be unable to remove the conflict of interest to the satisfaction of the *Client*, the *Client*, in its sole discretion, may terminate this Contract.

Z12 Waiver

No waiver shall be effective unless it is expressly stated to be a waiver and communicated to the other Party by the *Service Manager* in writing in accordance with the Contract, and with express reference to Clause Z12. The failure of either party to insist upon strict performance of the Contract, or any failure or delay in exercising any right or remedy shall not constitute a waiver or diminution of the obligations established by the Contract.

Z125 Limitation of Liability

Under clause 87.1; after the fourth bullet point; insert the additional bullet points:

- loss of or damage to the *Client's* property, to the sum that the *Consultant* is required to insure under the contract in respect of such loss or damage,
- death of or bodily injury to employees of the *Consultant* arising out of and in the course of their employment in connection with the contract, to the sum that the *Consultant* is required to insure under the contract in respect of such death or bodily injury.

Z 130 Rate adjustment

Z130.1 The Defined Cost for People Rates shall be increased by the same proportion and on the same date as the appropriate *Framework Prices*.

Z130.2 (Option C ONLY) The Prices are adjusted for the outstanding portion of the Prices for the amendment to rates in Z130.1.

Z 131 Change to the Schedule of Cost Components

Add clause 11.2(19) The People Rates are the people rates unless later changed in accordance with the contract and provided that at all times and under any circumstance howsoever arising the People Rates do not exceed the equivalent and directly comparable Framework Price as set out in Crown Commercial Services (CCS) Construction Professional Services Framework RM6165

PART TWO – DATA PROVIDED BY THE *CONSULTANT*

Completion of the data in full, according to the Options chosen, is essential to create a complete contract.

1 General

The *Consultant* is

Name

Address for communications

Address for electronic communications

The *fee percentage* is

8 %

The *key persons* are

Name (1)

Job

Responsibilities

Qualifications

Experience

Name (2)

Job

Responsibilities

Qualifications

Experience

The following matters will be included in the Early Warning Register

| |
|-------------|
| <div></div> |
|-------------|

2 The Consultant’s main responsibilities

| | | |
|--|---|------------------------------------|
| If the <i>Consultant</i> is to provide Scope | The Scope provided by the <i>Consultant</i> is in | As per BiS scope PM1 - V.3 22.5.23 |
|--|---|------------------------------------|

5 Payment

| | | |
|--|---|------------------------------|
| If the <i>Consultant</i> states expenses | The <i>expenses</i> stated by the <i>Consultant</i> are any | |
| | item | amount |
| | Travel to Environment Agency Offices/Sites | To be agreed with the client |
| | Accommodation and subsistence for overnight stays (if required) | To be agreed with the client |
| | | |

| | | |
|--------------------------|---------------------------------|-----|
| If Option A or C is used | The <i>activity schedule</i> is | n/a |
|--------------------------|---------------------------------|-----|

| | | |
|---------------------|-------------------------------|--|
| If Option E is used | The forecast of the prices is | |
|---------------------|-------------------------------|--|

Resolving and avoiding disputes

| | |
|--|--|
| The <i>Senior Representatives</i> of the <i>Consultant</i> are | |
| Name (1) | |
| Address for communications | |
| Address for electronic communications | |
| Name (2) | |
| Address for communications | |
| Address for electronic communications | |

X10: Information modelling

If Option X10 is used

If an *information execution plan* is to be identified in the Contract Data

The *information execution plan* identified in the Contract Data is

n/a

Y(UK)1: Project Bank Account

If Option Y(UK)1 is used

The *project bank* is

n/a

named suppliers are

n/a

Data for the Schedule of Cost Components (used only with Options C or E)

The *overhead percentages* for the cost of support people and office overhead are

| location | overhead percentage |
|----------|---------------------|
| | % |
| | % |
| | % |

People

- I. The following components of the cost of people.
- II. Amounts calculated by multiplying each of the People Rates by the total time appropriate to that rate properly spent on work on the contract.

Data for the Schedule of Cost Components

The *people rates* are

| category of person | unit | rate |
|--------------------|----------|------|
| | Per hour | |
| | Per hour | |
| | | |

| | | |
|--|--|--|
| | | |
|--|--|--|

NEC4 professional services contract (PSC) Scope

Project / contract Information

| | |
|-----------------------|---|
| Project name | PCM Mids BiS Senior PM/PE & PTM Requirement |
| Project SOP reference | Various |
| Contract reference | C19827 |
| Date | 22/5/23 |
| Version number | 1 |
| Author | |

Revision history

| Revision date | Summary of changes | Version number |
|---------------|---------------------------|----------------|
| 22/5/23 | First draft issue | 1 |
| 28/06/2023 | Second issue with changes | 2 |

This Scope should be read in conjunction with the version of the Minimum Technical Requirements current at the Contract Date. In the event of conflict, this Scope shall prevail. The *services* are to be compliant with the version of the Minimum Technical Requirements.

| Document | Document Title | Version No | Issue date |
|-------------|--------------------------------|------------|------------|
| 412_13_SD01 | Minimum Technical Requirements | 12 | Dec 2021 |

Details of the Scope

Details of the Scope are as follows.

1. Description of the work:

1.1. Objective

The *Clients* West and East Midlands (including Lincolnshire and Northamptonshire) Programme and Contract Management (PCM) team are looking for (preferably) full time Senior Project Managers/Project Executives that can help deliver the team's programmes. There is a requirement for up to 7 full time Senior Project Managers and a Project Team Manager within the *Client's* Midlands PCM team. The *Client* shall decide on the final number of positions when it comes to award. The *Consultant* shall put forward a maximum of 1 person for each role.

At least 2 of the 8 roles will be required to manage high priority projects in the construction phase.

1.2. Outcome Specification

The successful candidates will be required to undertake the Senior Project Manager /Project Executive role on various projects within the West and East Midlands, Lincolnshire and Northamptonshire PCM Teams. The Project Team Manager (PTM) role will line manage up to 14 Project Managers and Project Executives in the Lincolnshire and Northamptonshire Area.

2. Outcomes required

Delivery of programme

The *Consultant* shall deliver outcomes as per the below:

The *Consultant* shall support the *Client's* Programme and Contract Management (PCM) teams in the delivery of our Capital Programme. This will include providing technical project management *services* within our Operational Team, in addition to undertaking the Project Executive role on projects, taking accountability for project delivery, and providing training, support, direction and mentoring to Project Managers.

The programmes are delivered through the *Client's* frameworks, some of which include:

- Crown Commercial Services Frameworks
- Collaborative Delivery Framework

Person specification

- At least 6 years of project management experience in one or more of the following areas is essential:
 - Commercial and contract management
 - Project management of engineering and construction projects
 - Project management in Flood & Coastal Erosion Risk Management

- Managing successful programmes
- 2 years experience in a Project Executive role is an advantage.
- Assessed against a relevant role within the Government Project Delivery Capability Framework (PDCF) is an advantage.
- Experience in the following would be advantageous:
 - 'Scope of Services preparation'
 - Project & Programme Management
 - Hydraulic river modelling and hydrology
 - Feasibility Assessment
 - Stakeholder Engagement & Partnership Working
 - Preparation of Business Cases
 - Contract documentation preparation and tender evaluation
 - Detailed design
 - Construction supervision
- For the PTM role 2 years line management experience and 5 years project management experience

Specific Technical requirements

- Project management qualifications such as PRINCE2 Practitioner or APM Practitioner is preferred.
- Chartered professional member status of the ICE, IMECH, CIWEM or similar, or working towards chartership.
- Competence in the use of Microsoft Project
- Knowledge of the NEC suite of contracts, and practical application of managing contracts in a key role.

The positions will be for:

- Three Senior Project Managers/Project Executives on a full time basis, working 5 days a week in East Midlands based in Birmingham/Nottingham until the end of March 2024, with the option to extend beyond this date.
- Two Senior Project Managers/Project Executives on a full time basis for 5 days a week in the West Midlands based in Birmingham until the end of March 2024, with the option to extend beyond this date.
- Two Senior Project Manager/Project Executive on a full time basis, working 5 days a week in Lincolnshire, based in Peterborough/Nottingham until the end of March 2024, with the option to extend beyond this date.
- One Project Team Manager on a full time basis working 5 days a week in the Lincolnshire and Northamptonshire Area based in Lincoln/ Peterborough / Kettering until the end of March 2024, with the option to extend beyond this date.

The individuals selected will be integrated into the *Client's* East Midlands and West Midlands PCM Teams and will be based within one of the *Client's* offices (Birmingham, Fradley, Tewkesbury, Shrewsbury, Nottingham and Peterborough / Lincoln/ Kettering) although remote working will provide the flexibility to work from home when not required in the office or at site.

The successful candidates will have access to the *Client's* IT and will be line managed by the *Client*. The *Consultant's* charges will be invoiced via Fastdraft.

Selected candidates will be required to undertake an informal conversation with Local Delivery leads.

3. Specifications or standards to be used

- a) Tenders will be evaluated based on CV submitted based on experience / qualifications using the matrix 50% quality / 50% cost

4. Specific Project Requirements

- a) None extra to those already set out in sections above

Disputes

This agreement and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) shall be governed by and construed in accordance with the law of England and Wales.

The resolution of any dispute should remain at project level until all efforts to resolve the matter have been exhausted. Where the *Client* and the *Consultant* have been unable to reach agreement at the Work Order or Time Charge Order level, prior to the referral of any dispute to the adjudicator, the dispute should be escalated to the *Senior Representative*.

All *Client* issued information referenced within the Information Delivery Plan requires verifying by the *Consultant* unless it is referenced elsewhere within the *Scope*.

www.Pow.bim4.info

You need google chrome for this link to work. Once the table is completed it should be printed for issue in the tender document, so that the correct baseline position can be seen by tenderers and price

