

**AUTHORITY: The Secretary of State for the Home
Department**

**Schedule 4.1
Supplier Solution
Annex A**

**Gatwick Estate
(Brook House IRCs, Tinsley House IRCs with Pre-
Departure Accommodation)
Immigration Removal Centres and PDA Contract**

Version: Final Master
Date: 18th February 2020

ANNEX A.1

Declaration By Bidder

I declare that to the best of my knowledge the information provided in this document and any supporting documents are correct and accurate.

I declare that, upon request and without delay I will provide any additional information or documentary evidence requested by the Authority.

I understand that the Authority may reject this organisation's bid submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section within this document or documents provided previously in response to the Authority's Invitation-To-Tender (ITT) / Best and Final Offer (BAFO).

I am aware of the consequences of serious misrepresentation.

a) Contact Name:	██████████
b) Name of Bidding Organisation	Serco Limited
c) Role in Organisation	██████████
d) Phone number	██████
e) E-mail address	██████████
f) Signature (electronic is acceptable)	██████████
g) Date	17/02/2020

ANNEX A.2**COMPLIANCE AND BID STRUCTURE****BIDDING MODEL - CONSORTIUM MEMBERSHIP AND SUB-CONTRACTORS**

Consortium Membership	SERCO Response
Please confirm whether you proposed, within your bid, to deliver the Services through a consortium.	<input type="checkbox"/>
If you replied “ YES ” to the above question, please confirm whether there has been a change in the membership of the consortium since your bid was submitted.	<input type="checkbox"/>
Sub-contractors	SERCO Response
Please confirm whether you proposed, within your bid, to deliver the Services through sub-contractors.	<input type="checkbox"/>
If you replied “ YES ” to the above question, please confirm whether there has been a change in the membership of the Sub-contractors.	<input type="checkbox"/>

Annex A.3**COMPLIANCE AND BID STRUCTURE****PUBLIC CONTRACT REGULATIONS 2015 - GROUNDS FOR MANDATORY OR DISCRETIONARY EXCLUSION**

The information you provided at the bid stage confirmed that you were compliant with Regulations 57 (mandatory and discretionary exclusion criteria) of The Public Contracts Regulations 2015.

Please confirm whether there has been a change in circumstances which would alter the responses provided at the bid submission stages in respect of the Regulation 57 questions in **Section 2 - Grounds for mandatory exclusion** and **Section 3: Grounds for discretionary exclusion**.

If you have answered Yes to any of the questions below, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning).

Section 2 - Grounds for mandatory exclusion

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.








The detailed grounds for mandatory exclusion of an organisation are set out at https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf (the webpage) which should be referred to before completing these questions.

Section 3: Grounds for discretionary exclusion**Regulation 57 (8)**


The detailed grounds for discretionary exclusion of an organisation are set out on https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf which should be referred to before completing these questions.

Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation.

SECTION	QUESTION	SERCO: FURTHER RESPONSE
Question 2.1(a) Regulations 57(1) and (2) Question 2.1(a) – (i)	<p>The detailed grounds for mandatory exclusion of an organisation are set out at https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf (the webpage) which should be referred to before completing these questions.</p> <p>Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation been convicted anywhere in the world of any of the offences within the summary below and listed on the webpage:</p> <ul style="list-style-type: none"> • Participation in a criminal organisation. 	<div></div> <div></div>
Question 2.1(a) – (ii)	<ul style="list-style-type: none"> • Corruption. 	<div></div>
Question 2.1(a) – (iii)	<ul style="list-style-type: none"> • Fraud. 	<div></div>
Question 2.1(a) – (iv)	<ul style="list-style-type: none"> • Terrorist offences or offences linked to terrorist activities 	<div></div>
Question 2.1(a) – (v)	<ul style="list-style-type: none"> • Money laundering or terrorist financing 	<div></div>
Question 2.1(a) – (vi)	<ul style="list-style-type: none"> • Child labour and other forms of trafficking in human beings 	<div></div>
Question 2.1(b)	<p>If you have answered yes to question 2.1(a), please provide further details.</p> <ul style="list-style-type: none"> • Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction, • Identity of who has been convicted, • If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents. 	<div></div> <div></div> <div></div>

Question 2.2	If you have answered Yes to any of the questions 2.1(a) (i) to - 2.1(a) (vi), have measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning).	
Question 2.3(a)	<p>Regulation 57(3)</p> <p>Please Note: The Authority reserves the right to use its discretion to exclude a Bidder where it can demonstrate by any appropriate means that the Bidder is in breach of its obligations relating to the non-payment of taxes or social security contributions.</p> <p>Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?</p>	
Question 2.3(b)	If you have answered yes to question 2.3(a), please provide/ attach further details. Please also confirm you have paid or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.	
Question 3.1	(a) Breach of environmental obligations?	
Question 3.1	(b) Breach of social obligations?	
Question 3.1	(c) Breach of labour law obligations?	
Question 3.1	(d) Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any	

	State?	
Question 3.1	(e) Guilty of grave professional misconduct?	■
Question 3.1	(f) Entered into agreements with other economic operators aimed at distorting competition?	■
Question 3.1	(g) Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure?	■
Question 3.1	(h) Been involved in the preparation of the procurement procedure?	■
Question 3.1	(i) Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession contract, which led to early termination of that prior contract, damages or other comparable sanctions.	■
Question 3.1	(j) Please answer the following statements: (j)-(i) The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria.	■
Question 3.1	(j)-(ii) The organisation has withheld such information.	■
Question 3.1	(j)-(iii) The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015.	■
Question 3.1	(j)-(iv) The organisation has influenced the decision-making process of the contracting authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection	■

	or award.	
Question 3.2	If you have answered Yes to any of the above in Question 3.1, explain what measures have been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self-Cleaning)	

Annex A.4

Due Diligence Questions and Bidder Solution Responses

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