Invitation to Quote

Questions



Invitation to Quote (ITQ) on behalf of UK Research and Innovation (UKRI)

Subject: FCA Registration

Sourcing Reference Number: PS22311

Section 6 – Response Evaluation Questionnaire

Bidders should note that the response evaluation questionnaire is located within the **eSourcing questionnaire.**

Guidance on how to register and use the e-sourcing portal is available at https://beisgroup.ukp.app.jaggaer.com/

PLEASE NOTE THE QUESTIONS ARE NOT NUMBERED SEQUENTIALLY

QUALIFICATION QUESTIONNAIRE

SEL1.1	orga	se state the full legal name and address and contact details of the inisation tendering (or organisation acting as lead contact where a sortium bid is being submitted).
Bidder		information should be based on the details of the organisation bidding (or
Guidance	_	nisation acting as lead contact where a consortium bid is being
		nitted).
		is the legal entity with whom we will Contract if successful.
Scoring	For in	nformation only
Criteria		
Answer	Text	
Type	(a)	Bidders full legal name
	(b)	Address line 1
		Address line 2
		Address line 3
		Address line 4
		Town / City
		Country
		Post code (or equivalent)
	(c)	Bidder contact
	(d)	Telephone No.
	(e)	Email

SEL1.2	In the last three years, has any finding of unlawful discrimination been made against your organisation by an Employment Tribunal, an Employment Appeal Tribunal or any other court (or in comparable proceedings in any jurisdiction other than the UK)? And/or; In the last three years, has your organisation had a complaint upheld following an investigation by the Equality and Human Rights Commission or its predecessors (or a comparable body in any jurisdiction other than the UK), on grounds of alleged unlawful discrimination?
Bidder Guidance	The Bidder shall answer Yes or No
Guidance	Yes – Fail – Please provide details within SEL1.2.1 No – Pass – No response required to SEL1.2.1
	*If you have answered "yes" please provide, as an attachment to SEL1.2.1 a summary of the nature of the investigation and an explanation of the outcome of the investigation to date.
	If the investigation upheld the complaint against your organisation, please use the attachment to explain what action (if any) you have taken to prevent unlawful discrimination from reoccurring.
	You may be excluded if you are unable to demonstrate to the Contracting Authority's satisfaction that appropriate remedial action has been taken to prevent similar unlawful discrimination reoccurring.
Scoring Criteria	Mandatory Pass / Fail
Answer Type	Option List

Yes – Fail – Please provide details within SEL1.2.1
No – Pass – No response required to SEL1.2.1

SEL1.2.1	Supporting Documentation for SEL1.2.1
Bidder Guidance	Where a bidder has responded 'Yes' to SEL1.2 please provide a supporting document attached to this question, including a summary of the nature of the investigation and an explanation of the outcome of the investigation to date.
	If the investigation upheld the complaint against your organisation, please use the attachment to explain what action (if any) you have taken to prevent unlawful discrimination from reoccurring.
	You may be excluded if you are unable to demonstrate to the Contracting Authority's satisfaction that appropriate remedial action(s) have been taken to prevent similar unlawful discrimination reoccurring.
	This information should be provided as an attachment to this question. Any bidder declaring unlawful discrimination within SEL1.2 but not providing evidence may not be considered.
Scoring Criteria	For Information Only
Answer Type	Attachment

SEL1.3	If you are Commercial organisation with a minimum financial turnover of £36M, please confirm that you are compliant with Section 54 of the Modern Slavery Act 2015.
Bidder Guidance	The Bidder shall choose from the following options;
	A. N/A – our turnover is less than £36M
	 B. Yes – We are compliant and have attached information in SEL1.3.1 C. No (with justification) – we are not compliant but will be prior to commencement of a contract. See information attached in SEL1.3.1 D. No – we are not and will not be compliant at the time of award of the contract
	Bidders selecting option 'D' will be considered non-compliant for this Procurement.
Scoring Criteria	Mandatory Pass/ Fail
Answer	Option List
Type	
, ·	A. N/A – our turnover is less than £36M
	B. Yes – We are compliant and have attached information in SEL1.3.1
	C. No (with justification) – we are not compliant but will be prior to commencement of a contract. See information attached in SEL1.3.1
	 D. No – we are not and will not be compliant at the time of award of the contract

SEL1.3.1	Supporting Documentation for SEL1.3
Bidder guidance	Where a bidder has responded 'Yes' or 'No (with justification)' to SEL1.3, please provide your supporting information as an attachment.
	Any bidder declaring they are compliant within SEL1.3 but not providing

	evidence may not be considered.
Scoring	For information only
Criteria	
Answer	Attachment
Type	

SEL1.4	Please state the relevant classification of your organisation (state whether you fall within one of these, and if so which one)
Bidder Guidance	The Bidder shall choose from the following options; A. Voluntary Community Social Enterprise (VCSE) B. Sheltered Workshop C. Public Service Mutual D. N/A Where options A, B and C are not applicable to your organisation please respond with option D
Scoring Criteria	For information only
Answer Type	A. Voluntary Community Social Enterprise (VCSE) B. Sheltered Workshop C. Public Service Mutual D. N/A

SEL1.5	Please state the size of your organisation at the time of bid submission
Bidder Guidance	The Bidder shall choose from the following options; A. Micro – fewer than 10 persons employed B. Small – 10-49 persons employed
	C. Medium – 50-249 persons employed D. Large – 250 or more persons employed
Scoring Criteria	For information only
Answer Type	Option List
	A. Micro – fewer than 10 persons employed B. Small – 10-49 persons employed
	C. Medium – 50-249 persons employed D. Large – 250 or more persons employed

FOI1.1	FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR)
	Information provided in the course of the procurement process may be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004.
	Please note that some of the information provided may be protected

	under the FOIA exemptions and EIR exceptions. More information on applying the exemptions or exceptions can be found under the information Commissioners Office (ICO) website http://ico.org.uk
	Please confirm you have been informed that information provided under this Bid may be disclosed under the FOI Act 2000 and Environmental Information Regulations 2004 and agree to it being published irrespective of submitting a successful or unsuccessful Bid.
Bidder	The Bidder shall answer Yes or No
Guidance	
	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
Criteria	
Answer	Option List
Type	
	Yes – Pass
	No – Fail

FOI1.2	FREEDOM OF INFORMATION ACT 2000 (FOI) AND / OR ENVIRONMENTAL INFORMATION REGULATIONS 2004 (EIR) EXEMPTIONS Please complete this section only if you have agreed for your information to be disclosed under the FOI Act or EIR in Question FOI1.1. If you have not agreed to your information to be disclosed under the FOI Act or EIR in Question FOI1.1 please complete a field 'N/A' (Not applicable) If you have agreed for your information to be disclosed under the FOI Act or EIR in Question FOI1.1 please tell us what exemptions or expections may apply to your information and why?
Bidder Guidance	exceptions may apply to your information and why? The Bidder shall provide details of their proposed exemptions/exception in the fields below. The Bidder shall note that if the Contracting Authority believes that the
	suggested exemptions or exceptions have not been applied properly, the Contracting Authority will disclose the requested information unless another exemption or exception can be applied by the Contracting Authority.
	Be aware that by completing FOI1.1 and answering 'yes' you have agreed for the Contracting Authority to disclose the provided information under the Freedom of Information Act 2000 or Environmental Information Regulation 2004; therefore, you will not be approached for consent.
	Bidders are required to complete the table fields below, highlighting your proposed exemptions to this question.
	If you are not relying on any exemptions or exceptions, please complete each field 'N/A' (not applicable).
Scoring Criteria	For information only
Answer Type	Confidential Information and justification for exemption/exception under FOI Act

Text
Commercially sensitive information and justification for exemption/exception under FOI Act
Text

AW1.1 FORM OF BID

I declare that to the best of my knowledge the answers submitted in this ITQ are correct. I understand that the information will be used in the process to assess my organisation's suitability to be invited to bid for the Contracting Authority's requirement and I am signing on behalf of my organisation. I understand that the Contracting Authority may reject this ITQ if there is a failure to answer all relevant questions fully or if I provide false/misleading information.

I understand that the Government's transparency agenda requires that sourcing documents, including ITQ templates such as this, are published on a designated, publicly searchable web site, and, that the same applies to other sourcing documents issued by the Contracting Authority, including the ITQ, and any contract entered into by the Contracting Authority or its customers with its preferred supplier once the procurement is complete.

By submitting a response to this ITQ I agree that our participation may be made public.

I understand that the answers given in this response will not be published on the web site (but may fall to be disclosed under Freedom of Information Act 2000 or Environmental Information Regulations 2004).

By submitting a response to this ITQ I agree and accept the justification for the Contracting Authority's evaluation criteria.

By submitting a response to this ITQ I agreed and accept that the Contracting Authority reserves the right to change without notice the procedure for awarding the Contract, to reject any or all bids for the Contract, to stop the process and not award the Contract (in whole or in part) at any time without any liability on its part.

By submitting a response to this ITQ I agree and accept that nothing in this process is intended to form any express or implied contractual relationship between the Parties unless and until a Contract is awarded. The Contracting Authority is not liable for any costs resulting from cancellation of this process nor any costs incurred by Bidders taking part in this procurement process.

I understand that where sourcing documents issued by the Contracting Authority or contracts with its suppliers fall to be disclosed the Contracting Authority will redact them as it thinks necessary, having regard (inter alia) to the exemptions/exceptions in the FOIA or EIR.

Bidder Guidance

The Bidder shall answer Yes or No

Yes – Pass No – Fail

Scoring	Mandatory Pass / Fail
Criteria	
Answer	Option List
Type	
	Yes – Pass
	No – Fail

AW1.3 CERTIFICATE OF BONA FIDE BID

The essence of procurement is that the customer shall receive bona fide competitive Bids, from all those Bidding. In recognition of this principle, we certify that this is a bona fide Bid, intended to be competitive and that we have not fixed or adjusted the amount of Bid by or under or in accordance with any agreement with any other person.

We also certify that we have not done, and we undertake that we will not do at any time before the hour and date specified for the return of this Bid any of the following:

- (a) Communicate to a person other than the person calling for these Bids the amount or approximate amount of the proposed Bid, except where the disclosure, in confidence, of the approximate amount of the Bid was necessary to obtain insurance premium quotations for the preparation of the Bid:
- (b) Enter into any agreement or arrangement with any other person that he shall refrain from bidding or as to the amount of any Bid to be submitted;
- (c) Offer to pay or agree to pay or give any sum of money or valuable consideration directly or indirectly to any person for doing or having done or causing or have caused to be done in relation to any other Bid or proposed Bid for the said supply / service any act or thing of the sort described above.

In this certificate, the word "person" includes any persons and anybody or association, corporate or unincorporated, and any "agreement or arrangement" includes any such transaction, formal or informal, and whether legally binding or not.

We acknowledge that the Contracting Authority will be entitled to cancel the contract and to recover from us the amount of any loss resulting from such cancellation if we or our representatives (whether with our without our knowledge) shall have practiced collusion in Bidding for this contract or any other contract with the Contracting Authority or shall employ any corrupt or illegal practices either in the obtaining or execution of this contract or any other contract with the Contracting Authority.

We agree that the Contracting Authority may disclose the Bidders information / documentation (submitted to the Contracting Authority during this Procurement) more widely within Government for the purpose of ensuring effective cross-Government procurement processes, including value for money and related purposes.

Bidder Guidance	The Bidder shall answer Yes or No
	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
Criteria	
Answer	Option List
Type	
	Yes – Pass
	No – Fail

AW3.1	In the event of a Bidder successfully providing the most advantageous offer to the Contracting Authority against a procurement requirement, the Bidder is expected to provide an answer to the validation check that can be seen within Attachments section prior to the award of any Contract.
	If the Bidder fails to meet the Contracting Authority's expectations, we reserve the right to not award the Contract to the relevant Bidder and either award to the Bidder with the second most advantageous response or run a new procurement.
Bidder Guidance	The Bidder is not required to complete the validation check at this stage but will be required to respond to the questions in the event of providing the most advantageous offer to the Contracting Authority's against a procurement requirement.
	Yes – Pass
	No – Fail
Scoring Criteria	Mandatory Pass / Fail
Answer	Option List
Type	
	Yes – Pass
	No – Fail

AW4.1	Please confirm your acceptance of the Contract Terms that can be found within the Instructional Attachments section within this question.
Bidder Guidance	The Bidder shall answer Yes, No with justification or No
	Yes, we accept the terms and condition in their entirety – Pass
	No with justification – Pass. Complete the document upload attached to AW4.2 with details of what amounts to a valid justification.
	No – Fail
	For absolute clarity should a bidder select 'Yes' or 'No' you are not required to respond to AW4.2, however if you select 'No with Justification' you will be required to complete AW4.2 in order to be considered.
Scoring Criteria	Mandatory Pass / Fail
Answer Type	Option List

Yes, we accept the terms and condition in their entirety – Pass	
No with justification – Pass. Complete the document upload attached to	
AW4.2 with details of what amounts to a valid justification.	
No – Fail	

AW4.2	Where a Bidder has answered question AW4.1 with 'No with justification' they must detail the justification and the proposed change to the clause.
	Where a bidder has responded 'Yes' or 'No' to AW4.1 you are not required to respond to this question.
Bidder Guidance	A justification for not accepting a specific clause or series of clauses from the attached Contract Terms is that the Bidder would be in breach of legal requirements or statutory regulations by complying with that clause or series of clauses (i.e. for the avoidance of doubt, being unable to comply with a clause or series of clauses because of an internal policy reason will not be considered to be a justification for a legal requirement or statutory regulation).
	 Where the Bidder has answered question AW4.1 as "no with justification", the Bidder shall provide a Contract mark-up utilising track changes detailing the relevant clause, the proposed changes to the clause and the justification for the changes. In this case, the Bidder shall score a pass if (but only if) the Contracting Authority considers both the following requirements are satisfied: the reasons stated as justifying the Bidder's statement that it cannot accept the Contract Terms due to legal requirements or statutory regulations are valid; and the changes submitted do not create significant risk for the Contracting Authority and are reasonably necessary and proportionate to ensure the Bidder complies with the legal requirements and statutory regulations.
	In the event of a Bidder answering Yes or No to Question AW4.1 and then providing a proposed mark-up, rejection of a clause or a justification for a change then the response will be a Fail. Any bidder selecting 'No with Justification' to AW4.1 and failing to upload an attachment to this question detailing the information above may not be considered further.
	Bidders are to note that any requested modifications to the Contracting Authority Terms and Conditions on the grounds of statutory and legal matters only, should be raised as a formal clarification during the permitted clarification period.
Scoring Criteria	Mandatory Pass / Fail
Answer Type	Attachment

TECHNICAL QUESTIONNAIRE

AW6.1	Please confirm your compliance to the requirements of Section 4 Specification
Bidder	The Bidder shall answer Yes or No
Guidance	
	Yes – Pass
	No – Fail
Scoring	Mandatory Pass / Fail
Criteria	
Answer	Option List
Type	
	Yes – Pass
	No – Fail

AW6.2	Variable Bids
	The Contracting Authority shall not accept variable bids as part of this Procurement. The criteria in regard to variable bids for this Procurement is outlined below.
Bidder	The Bidder shall answer Yes or No
Guidance	
	Yes - We have provided a variable bid only – Fail
	No - We have chosen to only offer a main bid and have not chosen to provide an alternative bid submission – Pass
Scoring Criteria	Mandatory Pass / Fail
Answer	Option List
Туре	
	Yes - We have provided a variable bid only – Fail No - We have chosen to only offer a main bid and have not chosen to provide an alternative bid submission – Pass

PROJ1.1	Understanding the requirements and context
	Please demonstrate that you have a clear and thorough understanding of the requirements of this specification and how you will deliver the objectives the tender seeks to achieve.
Bidder guidance	Bidders are asked to demonstrate that they have a clear understanding of the requirements detailed in the specification and explain how they will deliver the objectives being sought.
	As a minimum your response should include:
	 Initial Assessment and Registration Preparation planning. a. What tasks and activities are included? b. What information is required? c. Provide a Supplier/IUKL RACI if required. Registration Process (including preparation, submitting, and managing the application). a. What tasks and activities are included? b. Provide a Supplier/IUKL RACI if required.

	 Ongoing Support – Meetings, Queries, Requests during application process.
	4. FCA compliance monitoring (monthly or quarterly basis) – post application submittal.
	5. Training (optional / if required)
	a. Please provide an overview of any training required for the IUKL team?
	Please attach your answer as a pdf document limited to 6 sides of A4 – Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.
Scoring criteria	Scoring shall be based on 0-100 scoring methodology.
	Maximum Mark: 30.00%
Bidder response	Attachment

PROJ1.2	Risk Management
	Bidders are asked to provide details of the top 5 key risks that could affect the delivery of this project and how they will be mitigated.
	We will be looking for details on how these risks are identified, the processes and tools used and how you intend to mitigate them.
Bidder guidance	As a minimum your response should include but not limited to:
garante	 A clear description of any possible risk factors, using relevant examples if appropriate
	 A clear description of the tools, processes and/or measures you would take to mitigate risk in this project; and Completion of Risk Matrix
	Please attach your answer as a pdf document limited to 3 sides of A4 – Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin
Scoring	setting 2.54cm. Scoring shall be based on 0-100 scoring methodology.
criteria	Cooling Shall be based on 0-100 scoring methodology.
	Maximum Mark: 15.00%
Bidder response	Attachment

PROJ1.3	Capability & Team expertise
	Please provide an overview of how your FCA Registration consultancy team will satisfy the outcomes/deliverables that we are seeking from a solution.

Bidder guidance	As a minimum your response should include:
	 Team Overview Profile / expertise for each team member Lessons learned that will support the successful outcome of the specification requirements
	Please attach your answer as a pdf document limited to 4 sides of A4 – Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm.
Scoring criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 25.00%
Answer Type	Attachment

PROJ1.4	Project Plan and Timescales
	Please outline your proposed project plan and timescales, ensuring the key deadlines outlined in the specification are met.
Bidder Guidance	As a minimum your response should cover:
	 A detailed timetable for carrying out the work based on your proposed approach and method. This should include a breakdown of tasks and the associated time allocated to each individual for these. Highlight key milestones and deadlines, including suggested meetings and progress reports. It is important that IUKL are kept informed of emerging findings and project progress. A detailed delivery plan including: tasks, milestones, roles allocated to tasks and their seniority, and allocated number of days of each member of staff. This must include a plan to demonstrate that the bidder is able to the deliver the deliverables stated. Sufficient time allocated to proof reading, quality assurance and peer review.
Scoring Criteria	For Information Only
Answer Type	Attachment

PROJ1.5	Social Value – Equal opportunity and Mental Health and Wellbeing
Bidder Guidance	Bidders are required to detail how they intend to support and develop best practices and appropriate personnel support systems regarding equal opportunity, mental health, and wellbeing.
	Describe the commitment your organisation(s) will make to ensure that the opportunities under the contract demonstrate effective measures to deliver the following: Please note, the items listed below are to be delivered through the contract, providing additionality to standard 'business as usual' practice.

	 Equal opportunity: Demonstrate action to identify and tackle inequality in employment, skills and pay in the contract workforce. Support in-work progression to help people, including those from disadvantaged or minority groups, to move into higher paid work by developing new skills relevant to the contract.
	Mental health and wellbeing:
	Please include: Your 'Method Statement', stating how you will achieve this and how your commitment meets the Award Criteria A timed project plan and process, including how you will implement your commitment and by when. Also, how you will monitor, measure and report on your commitments/the impact of your proposals
	You may include but not be limited to:
	This question is limited to 4 sides of A4. Any additional content provided beyond this will not be considered or scored during the evaluation process. Responses should be submitted in Font Arial 11pt using single line spacing. Please use the Normal margin setting 2.54cm
Scoring Criteria	Scoring shall be based on 0-100 scoring methodology. Maximum Mark: 10.00%
Answer Type	Attachment

COMMERCIAL QUESTIONNAIRE

AW5.1	Please confirm your bid submission price for completion of all the Services as detailed in the Section 4 Specification.
	All prices shall be in £ GBP and exclusive of VAT.
Bidder Guidance	Bidders shall provide their bid submission price for completion of all Services as detailed in the Section 4 Specification.
	The scoring methodology for this question shall be:
	The lowest price for a response which meets the pass criteria shall score 100.
	All other bids shall be scored on a pro rata basis in relation to the lowest price. The score is then subject to a multiplier to reflect the percentage value of the price criterion.
	Where the scoring criterion is worth 50% then the 0-100 score achieved will be multiplied by 50
	In the example if a supplier scores 80 from the available 100 points this will equate to 40% by using the following calculation: Score/Total Points multiplied by 50 (80/100 x 50 = 40)
	The lowest score possible is 0 even if the price submitted is more than 100% greater than the lowest price.
	The lowest price for a response which meets the pass criteria shall score 100. All other bids shall be scored on a pro rata basis in relation to the lowest price. The lowest score possible is 0.
	For example, assuming the lowest bid is £100,000.
	Bid Price £100,000 Differential to the lowest price which meets mandatory pass criteria ('Differential') 0 Score 100
	Bid price - £120,000 Differential - 20% Score - 80 Bid price - £140,000 Differential - 40% Score - 60 Bid Price - £150,000 Differential - 50% Score - 50 Bid Price - £175,000 Differential - 75% Score - 25 Bid Price - £200,000 Differential - 100% Score - 0 Bid Price - £300,000 Differential - 200% Score - 0
Scoring Criteria	Maximum Marks 20.00%
Answer Type	Numeric

AW5.2	Based on the Bidders AW5.1 bid submission price for completion of all
	the Services as detailed in the Section 4 Specification, Bidders are now
	required to complete the attached Excel AW5.2 Pricing Schedule
	document with a breakdown of all the costs that make up their final bid

	price.
	All prices shall be in £ GBP and exclusive of VAT.
	All costs appearing elsewhere in the Bid but not mentioned in this Pricing Schedule shall be presumed waived.
Bidder Guidance	Based on the Bidders AW5.1 bid submission price for completion of all the Services as detailed in the Section 4 Specification, Bidders are now required to complete the attached Excel AW5.2 Pricing Schedule document with a breakdown of all the costs that make up their final bid price.
	All prices shall be in £ GBP and exclusive of VAT.
	All costs appearing elsewhere in the Bid but not mentioned in this Pricing Schedule shall be presumed waived.
	The total submitted within your excel pricing schedule must equal the total price submitted in AW5.1
Scoring Criteria	For Information Only
Answer Type	Attachment

AW5.3	Please confirm your price shall remain firm and fixed for work packages 1, 2 and 3 and that you agree to cost any call off work required within work package 4 against the AW5.2 Rate Card.
Bidder Guidance	The Bidder shall answer Yes or No
	Yes – Pass
	No – Fail
Scoring Criteria	Mandatory Pass / Fail
Answer	Option List
Туре	
	Yes – Pass
	No - Fail