

Highways England

Technical Surveys and Testing
Engineering and Construction Short
Contract for

605748 - 005 - A47 Acle Bypass Drainage Survey

Contract Documents

TECHNICAL SURVEYS AND TESTING CONTRACT CONTENTS AMENDMENT SHEET

Amend. No.	Issue Date	Amendments	Initials	Date
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1. Contract Data

The *Employer* is Highways England Company Limited, a company incorporated in and in accordance with the laws of England (company no. 09346363) whose registered office is at Bridge House, 1 Walnut Tree Close, Guildford, Surrey GU1 4LZ. Contact details for Highways England are:



The works are to undertake a CCTV drainage survey

The *site* is on the A47 near Acle, as referenced in drawing 605748 - 005 - HE-GEN-A47_Acle-DE-CH-0000_01

The starting date is 25th January 2021

The completion date is 28th January 2021

The period for reply to a communication is 1 week.

The defects date is 52 weeks after Completion.

The defect correction period is 4 weeks

The delay damages are £NIL per day.

The assessment day is the last day of each calendar month.

The retention is nil.

The United Kingdom Housing Grants, Construction and Regeneration Act (1996, as amended) applies.

The *Adjudicator* is the person chosen by the Parties from the list of Adjudicators published by the Institution of Civil Engineers.

The Adjudicator nominating body is the Institution of Civil Engineers.

The Principal Designer is:



The interest rate is, unless the provisions of the Late Payment of Commercial Debts (Interest) Act 1998 otherwise require, 3% per annum above the Bank of England base rate in force from time to time.

The *Contractor*'s liability to the *Employer* for loss of or damage to the *Employer*'s property is £10.000.000.00.

The minimum amount of cover for the third insurance stated in the Insurance Table is £10,000,000 for any one occurrence without limit to the number of occurrences (except for TST, Issue 2 Revision 0 March 2018

claims arising out of pollution, contamination and products liability, where the minimum amount of cover applies in the aggregate in any one annual period of insurance).

The minimum amount of cover for the fourth insurance stated in the Insurance Table is £10,000,000 for any one occurrence.

The minimum amount of cover for the fifth insurance stated in the Insurance Table is £5,000,000 in respect of any one claim the number of claims being unlimited in any annual policy period and in the annual aggregate in respect of pollution and contamination, with an inner limit of £1,000,000 in the annual aggregate in respect of asbestos.

The *tribunal* is arbitration.

The arbitration procedure is the Institution of Civil Engineers Arbitration Procedure (Third Edition) April 2012.

The *conditions of contract* are the NEC3 Engineering and Construction Short Contract (April 2013) and the following additional conditions:

Z1 Conditions of Contract

Identified and defined terms

- Z1.1 Insert the following definitions into clause 11.2:
 - "(14) Intellectual Property Rights are any current and future legal and equitable interests in patents, trademarks, design rights, copyright, know-how and other similar rights, whether or not registered or capable of registration.
 - (15) RIDDOR Incident is an incident occurring under any contract between
 - the Contractor or a company associated with the Contractor and
 - the *Employer* or any other person

which results in death or serious Injury to any worker or non-worker and for which the *Contractor* is responsible under the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 2013 (or any replacement of it)."

Payment

Z1.2 The following replaces clause 51.1:

"The *Employer* pays on or before the final date for payment."

Insurance cover

- Z1.5 In the Insurance Table in clause 82.1:
- Z1.5.1 In the row for the first insurance stated in the Insurance Table delete "The *Employer's* certificate of Completion has been issued" and insert "Cover is not required".
- Z1.5.2 In the row for the second insurance stated in the Insurance Table delete ", Plant and Materials".
- Z1.5.3 Insert the following as the row for a fifth insurance stated in the Insurance Table:

Failure of the Contractor to use	The amount stated in the	6 years	following
the skill and care normally used	Contract Data	Completion	or earlier

by contractors providing works	termination
similar to the works	

Z2 Corrupt practices

Z2.1 The Contractor does not

- offer or give to any person in the service of the Employer any gift or consideration of any kind as an inducement or reward in relation to the obtaining or execution of this contract or any other contract with the Employer or for showing favour or disfavour to any person in relation to this contract or any other contract with the Employer or
- enter into this contract or any other contract with the *Employer* if, in connection
 with this contract or any such other contract, commission has been paid or an
 agreement for the payment of commission has been made by him or on his
 behalf or to his knowledge.
- Z2.2 A failure to comply with this condition is treated as a substantial failure by the *Contractor* to comply with this contract.

Z3 Recovery of sums due from Contractor

Z3.1 Where under this contract any sum of money is recoverable from or payable by the Contractor such sum may be deducted from or reduced by the amount of any sum or sums then due or which at any time thereafter may become due to the Contractor under this contract or any other contract with the Employer or any Department or Office of Her Majesty's Government.

Z4 Discrimination, Bullying and Harassment

Z4.1 The *Contractor* indemnifies the *Employer* against all costs, charges, expenses (including legal and administrative expenses) and payments made by the *Employer* arising out of or in connection with any investigation or proceedings under the Discrimination Acts resulting from any act or omission of the *Contractor*.

Z5 Subcontracting – RIDDOR

- Z5.1 Before appointing a proposed subcontractor or allowing a subcontractor to appoint a proposed subsubcontractor, the *Contractor* submits to the *Employer* for acceptance details of any RIDDOR Incident under any contract for which the proposed subcontractor or subsubcontractor is responsible and of any enforcement action brought against the proposed subcontractor or subsubcontractor.
- Z5.2 The Contractor does not appoint the proposed subcontractor (or allow the subcontractor to appoint the proposed subsubcontractor) until the Employer has accepted the submission. A reason for not accepting the submission is that the Employer is not satisfied that the proposed subcontractor or subsubcontractor has put in place adequate measures to ensure that the RIDDOR Incident or enforcement action will not recur.
- Z5.3 If requested by the *Employer*, the *Contractor* provides further information to support, update or clarify a submission under clause Z5.1.

- Z5.4 If, following the acceptance of a submission under clause Z5.2, it is found that the subcontractor or subsubcontractor has not put in place adequate measures to ensure that the RIDDOR Incident or enforcement action will not recur, the *Employer* may instruct the *Contractor* to
 - replace the subcontractor or
 - require the subcontractor to replace the subsubcontractor.

Z6 Value Added Tax (VAT) Recovery

Z6.1 Where under this contract any amount is calculated by reference to any sum which has been or may be incurred by any person, the amount shall include any VAT in respect of that amount only to the extent that such VAT is not recoverable as input tax by that person (or a member of the same VAT group) whether by set off or repayment.

Z7 Construction Industry Scheme

- Z7.1 This contract falls within the scope of the Construction Industry Scheme provided for by Chapter 3, Part 3 of the Finance Act 2004 (the "Act") and the Income Tax (Construction Industry Scheme) Regulations 2005 (SI 2005/2045) (the "Regulations").
- Z7.2 The Contractor provides the information required by the Regulations to enable the Employer to verify (in accordance with paragraph 6 of the Regulations) whether the Contractor
 - is registered for gross payment,
 - is registered for payment under deduction,
 - is exempt from registration as a local authority or other public body or
 - is neither registered nor exempt from registration.
- Z7.3 If the *Contractor* is registered for payment under deduction or is neither registered nor exempt from registration
 - the Contractor submits an application for payment which separately identifies the cost of labour and
 - the *Employer* deducts the relevant percentage from the payment in accordance with the Act and the Regulations.

Z8 Intellectual Property Rights

- Z8.1 All Intellectual Property Rights in documents created by or on behalf of the *Employer* in connection with the contract are the property of the *Employer*.
- Z8.2 The *Contractor* assigns to the *Employer* all present and future Intellectual Property Rights in all documents created by the *Contractor* or any subcontractor in performing its obligations under the contract. The *Contractor* obtains from a subcontractor equivalent rights over the material prepared by the subcontractor.

Z9 Removal of work

Z9.1 The *Employer* may instruct the *Contractor* that for urgent reasons of health and safety, part or all of the *works* is to be temporarily removed from this contract. The *Contractor*

- acknowledges that the *Employer* may himself provide or may appoint another supplier in place of the *Contractor* to provide work similar to the removed *works* (or part of them).
- Z9.2 An instruction given under clause Z9.1 is assessed as a compensation event, except that if the instruction is given for one of the reasons R1-R4, the assessment includes a deduction of the forecast of the additional cost to the *Employer* of completing the removed *works*.

Z10 Termination – PCRs, Regulation 73

- Z10.1 The *Employer* may terminate the *Contractor*'s obligation to Provide the Works if one of the mandatory or discretionary grounds for exclusion referred to in regulation 57 of the Public Contracts Regulations 2015 applied to the *Contractor* at the Contract Date. The procedure and amount due on termination are the same as for reason R2.
- Z10.2 The Employer may terminate the Contractor's obligation to Provide the Works if
 - this contract has been subject to a substantial modification which would have required a new procurement procedure pursuant to regulation 72 of the Public Contracts Regulations 2015 or
 - the Court of Justice of the European Union declares, in a procedure under Article 258 of the Treaty on the Functioning of the European Union, that a serious infringement of the obligations under the European Union Treaties and the Public Contracts Directive has occurred.

The procedure and amount due on termination are the same as for

- R2 if the modification or infringement was due to a default by the Contractor,
- R5 if the modification or infringement was due to a default by the Employer.

Z11 Not Used

Z12 Contractor's premises and Access and Storage to Employer's Data

Z12.1 In this contract

Risk Assessment is a full risk assessment and security review carried out by the *Employer* in accordance with this contract.

Offshore is a location outside the United Kingdom of Great Britain and Northern Ireland.

- Z12.2 Any failure of the *Employer* to gain approval for storing information Offshore or allowing access to information from an Offshore location in accordance with this contract
 - is not a compensation event and
 - does not relieve the Contractor from his obligation to Provide the Works.
- Z12.3 Failure of premises to pass the Risk Assessment
 - is not a compensation event and
 - does not relieve the Contractor from his obligation to Provide the Works.

Z12.4 The *Contractor* pays the *Employer*'s costs associated with undertaking any Risk Assessment.

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2. The Contractor's Offer

[CONTRACTOR TO INSERT % FIGURE]

The Contractor is				
Name	[INSERT COMPANY NAME AND DETAILS BELOW]			
Address				
Telephone	Fax			
E-mail address				
The percentage for	or overheads and profit added to the Defined Cost for people is			

The percentage for overheads and profit added to other Defined Cost is [CONTRACTOR TO INSERT % FIGURE]

The offered total of the Prices is [CONTRACTOR TO INSERT TOTAL IN £s]

3. Price List

The Price List can be found in 605748 - 005 - A47 Acle Bypass Drainage Survey Price List

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4. Works Information

The Works Information is in the document entitled 605748 - 005 - A47 Acle Bypass Drainage Survey Works Information

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5. Site Information

The Site Information is in the document entitled 605748 - 005 - A47 Acle Bypass Drainage Survey Site Information

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