

# Short Contract

A contract between **South Tees Site Company Ltd, Teeside Management Offices,  
Redcar, Cleveland, TS10 5QW**

and **TBC**

for **FM18035 Waste Framework – Lot 11 Coke Oven Gas Waste**

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**Notes about this contract are printed in boxes like this one. They are not part of the contract.**

# Contract Data

The *Employer* is

Name South Tees Site Company Ltd  
Address Teeside Management Offices, Redcar, TS10 5QW  
Telephone 01642 408077  
E-mail address TBC

If the *Employer* appoints an *Employer's Agent*, the *Employer's Agent* is

Name N/A  
Address  
Telephone  
E-mail address

The *service* is Coke Oven Gas waste removal at South Tees Site Company, TS10 5QW

The *starting date* is 20/07/2018

The *service period* is 36 with the option to extend for a further 12 months.

The *period for reply* is 2 weeks.

The *assessment day* is the 1<sup>st</sup> day of each month.

Does the United Kingdom Housing Grants, Construction and  
Regeneration Act (1996) apply? No

# Contract Data

The *Adjudicator* is

Name TBC

Address

Telephone

E-mail address

The interest rate on late payment is 0% per complete week of delay.

The *Contractor* is not liable to the *Employer* for loss of or damage to the *Employer's*

property in excess of £5 million for any one event.

The *Employer* provides this insurance No insurance provided by the *Employer*

.....

The minimum amount of cover for the first insurance stated in the Insurance Table is £10 million (Loss or damage to equipment, plant or materials.)

The minimum amount of cover for the third insurance stated in the Insurance Table is £10 million (The *Contractor's* liability for loss of or damage to property (except the *Employer's* property, equipment and other things used to Provide the Service) and for bodily injury to or death of a person (not an employee of the *Contractor*) arising from or in connection with the *Contractor's* Providing the Service)

The minimum amount of cover for the fourth insurance stated in the Insurance Table is £10 Million (Liability for death of or bodily injury to employees of the *Contractor* arising out of and in the course of their employment in connection with this contract)

The *Adjudicator nominating body* is TBC dependent on breach

The *tribunal* is Arbitration

If the *tribunal* is arbitration, the arbitration procedure is TBC dependent on breach

The *conditions of contract* are the NEC3 Term Service Short Contract April 2013 and the following additional conditions

## **Clause 1**

### **Freedom of Information Act and the Environmental Information Regulations**

The *Contractor* shall provide all assistance to enable the *Employer* and/or its clients to comply with any

request received under the Freedom of Information Act 2000 and/or the Environmental Information Regulations should either be applicable to the Employer.

In no event shall the Contractor or its Subcontractors respond directly to a Request for Information unless expressly authorised to do so by the Employer.

## **Clause 2**

### **Transparency**

In order to comply with the Government's policy on transparency in the areas of procurement and contracts the Contractor agrees that the Contract and the sourcing documents issued by the Employer which led to its creation will be published by the Employer on a designated web site.

The entire Contract and all the sourcing documents issued by the Employer will be published on the designated web site save where to do so would disclose information the disclosure of which would:

- (i) contravene a binding confidentiality undertaking that protects information which the Employer, at the time when it considers disclosure, reasonably considers to be confidential to the Contractor;
- (ii) be contrary to regulation 21 of the Public Contracts Regulations 2015; or
- (iii) in the reasonable opinion of the Employer be prevented by virtue of one or more of the exemptions in the FOIA or one or more of the exceptions in the Environmental Information Regulation (EIR).

If any of the situations in (i),(ii),(iii) apply the Contractor consents to the Contract or sourcing documents being redacted by the Employer to the extent necessary to remove or obscure the relevant material and being published on the designated website subject to those redactions.

In this entire clause the expression "sourcing documents" means the advertisement issued by the Employer seeking expressions of interest, any pre-qualification questionnaire stage and the invitation to tender.

## **Clause 3**

### **Termination Para 1**

The Employer may terminate the Contract by written notice to the Contractor in any of the following circumstances:

- (i) Where it considers that the Contract has been subject to a substantial modification which would have required a new procurement procedure in accordance with Regulation 72(9) of the Public Contracts Regulations 2015(as amended) ("PCR 2015");
- (ii) Where it considers that the Contractor has at the time of the award of the Contract been in one of the situations referred to in Regulation 57(1) of the PCR 2015, including as a result of the application of regulation 57(2), and should therefore have been excluded from the procurement procedure;
- (iii) Where the Contract should not have been awarded to the Contractor in view of a serious infringement of the obligations under the EU Treaties and Directive 2014/24/EU of the European Parliament and of the Council that has been declared by the Court of Justice of the European Union in a procedure under Article 258 of the TFEU;
- (iv) Where the European Commission sends a reasoned opinion to the United Kingdom or brings the matter before the Court of Justice of the European Union under Article 258 of the TFEU alleging

that the Contract should not have been awarded to the Contractor in view of a serious infringement of the obligations under the Treaties and Directive 2014/24/EU of the European Parliament and of the Council; or

- (v) Where a third party starts court proceedings against the Employer seeking a declaration that the Contract is ineffective or should be shortened under Regulations 98 to 101 of the PCR 2015, which the Employer considers have a reasonable prospect of success.

Such termination shall be effective immediately or at such later date as is specified in the notice. The Employer shall not incur any liability to the Contractor by reason of such termination and shall not be required to pay any costs, losses or damage to the Contractor. Termination under this clause shall be without prejudice to any other rights of the Employer.

#### **Clause 4**

##### **Payment to other parties**

The Contractor shall ensure, pursuant to Regulation 113(2)(c) of the Public Contracts Regulations 2015 (as amended), that any subcontract awarded by the Contractor contains suitable provisions to impose, as between the parties to the subcontract, requirements that –

- (i) any payment due from the Contractor to the subcontractor under the subcontract is to be made no later than the end of a period of 30 days from the date on which the relevant invoice is regarded as valid and undisputed;
- (ii) any invoices for payment submitted by the subcontractor are considered and verified by the Contractor in a timely fashion and that undue delay in doing so is not to be sufficient justification for failing to regard an invoice as valid and undisputed; and
- (iii) any subcontractor will include, in any subcontract which it in turn awards, suitable provisions to impose, as between the parties to that subcontract, requirements to the same effect as those imposed in paragraphs (i), (ii) and (iii) of this Clause, subject to suitable amendment to reflect the identities of the relevant parties.

#### **Clause 5**

##### **Sub-contractors**

The Employer may (without cost to or liability of the Employer) require the Contractor to replace any subcontractor where in the reasonable opinion of the Employer any mandatory or discretionary grounds for exclusion referred to in Regulation 57 of the Public Contracts Regulations 2015 (as amended) apply to the subcontractor.

#### **Clause 7**

##### **Modern Slavery Act 2015**

During the Term or any extension of this Contract, Employer is committed to ensuring that its supply chain complies with the above Act. The Contractor shall provide such assurances, on the anniversary of the commencement date or completion of the Contract, if less than 12 months.

The Contractor shall provide a report covering the following but not limited to areas as relevant and proportionate to the Contract evidencing the actions taken, relevant to the Contractor and your supply chain

associated with this Contract.

- Impact assessments undertaken
- Steps taken to address risk/actual instances of modern slavery and how actions have been prioritised
- Evidence of stakeholder engagement
- Evidence of ongoing awareness training
- Business-level grievance mechanisms in place to address modern slavery
- Actions taken to embed respect for human rights and zero tolerance of modern slavery throughout the organisation

Employer reserve to sole right to audit any and all reports submitted by the Contractor to an extent as deemed necessary and the Contractor shall unreservedly assist Employer in doing so. Any financial burden incurred by the Contractor in doing so shall not be reimbursable.

## **Clause 8**

### **Staff and Transfer of Employment**

Employer will reimburse during any term or extension (or, where such costs, awards or damages arise following termination/expiry) of this Agreement, Employer any increases in the Contractor cost of providing the services by reason of any modification or alteration to the Government legislation duties or levies or other statutory payments (including but not limited to National Insurance and/or VAT and/or introduction of or amendment to working time minimum wages. Subject and always to open book access to Contractor records and always after a period of due diligence carried out by Employer, relevant and proportionate to the value concerned.

## **Clause 9**

### **Taxation obligations of the (Contractor**

The relationship between Employer and Contractor shall be that of “independent contractor” which means that Contractor is not a Employer employee, worker, agent or partner, and Contractor shall not give the impression that they are.

As this is not an employment Contract, Contractor shall be fully responsible for all their own tax, including any national insurance contributions arising from carrying out the Services.

- (1) Contractor in respect of consideration received under this Contract, Contractor shall at all times comply with the Income Tax (Earnings and Pensions) Act 2003 (ITEPA) and all other statutes and regulations relating to income tax in respect of that consideration.
- (2) Where Contractor is liable to National Insurance Contributions (NICs) in respect of consideration received under this Contract, it shall at all times comply with the Social Security Contributions and Benefits Act 1992 (SSCBA) and all other statutes and regulations relating to NICs in respect of that consideration.
- (3) Employer may, at any time during the term, completion extension or post termination of this Contract, request Contractor to provide information which demonstrates how Contractor complies with its obligations under tax an National insurance Clauses (1) and (2) above or why those Clauses do not apply to it.

A request under Clause (3) above may specify the information which Contractor shall provide and the period within which that information must be provided.

In the case of a request mentioned in clause (3) above, the provision of inadequate information or a failure to provide the information within the requested period, during any term or extension, may result in Employer terminating the Contract.

Any obligation by Contractor to comply with Clause (1), (2), (3) shall survive any term, extension, completion or termination and Contractor obligations to Indemnify the Employer shall survive without limitation until such time as any of these obligations are complied with.

Employer may supply any information, including which it receives under Clause (3) to the Commissioners of Her Majesty's Revenue and Customs for the purpose of the collection and management of revenue for which they are responsible.

If Employer has to pay any such obligations owed by Contractor under Clauses (1) and (2) then Contractor shall pay back to Employer in full, any money that Employer has to pay, and Contractor shall also pay back Employer for any fine or compensate Employer for any other punishment imposed on Employer because the tax or national insurance due was not paid by the Contractor.

## **Clause 10**

### **Cyber Essentials Questionnaire**

Contractor agrees that during any term or extension at the sole discretion of Employer to complete the attached questionnaire as many times as is required within (14 days) from notice to do so and shall send this information as directed by Employer. Employer is required to provide such assurances to comply with government legislation. Any financial burden associated with the completion and submission of this questionnaire incurred by Contractor shall not be reimbursable.



Copy of Statement  
of Assurance Questio

## The Contractor's Offer

The Contractor is

Name .....

Address .....

.....

Telephone .....

E-mail address .....

The percentage for overheads and profit added to the Defined Cost for people is ..... %.

The percentage for overheads and profit added to other Defined Cost is ..... %.

The Contractor offers to Provide the Service in accordance with the *conditions of contract* for an amount to be determined in accordance with the *conditions of contract*.

The offered total of the Prices for .....  
part of the service in Part 1 of the  
Price List is

The offered total of the Prices for .....  
part of the service in Part 2 of the  
Price List is

|                                                    |
|----------------------------------------------------|
| Enter the total of the Prices from the Price List. |
|----------------------------------------------------|

Signed on behalf of the Contractor

Name .....

Position .....

Signature ..... Date .....

## The Employer's Acceptance

The Employer accepts the Contractor's Offer to Provide the Service

Signed on behalf of the Employer

Name .....

Position .....

Signature ..... Date .....

# Price List

The rates and Prices entered for each item includes for all work and other things necessary to complete the item.

## PART 1

| Item number                        | Description | Unit  | Quantity | Rate  | Price                |
|------------------------------------|-------------|-------|----------|-------|----------------------|
| .....                              | .....       | ..... | .....    | ..... | .....                |
| .....                              | .....       | ..... | .....    | ..... | .....                |
| .....                              | .....       | ..... | .....    | ..... | .....                |
| The total of the Prices for Part 1 |             |       |          |       | <input type="text"/> |

## PART 2

| Item number                        | Description | Unit  | Quantity | Rate  | Price                |
|------------------------------------|-------------|-------|----------|-------|----------------------|
| .....                              | .....       | ..... | .....    | ..... | .....                |
| .....                              | .....       | ..... | .....    | ..... | .....                |
| .....                              | .....       | ..... | .....    | ..... | .....                |
| .....                              | .....       | ..... | .....    | ..... | .....                |
| The total of the Prices for Part 2 |             |       |          |       | <input type="text"/> |

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# Service Information

## 1 Description of the *service*

As per the Specification within FM18035 Request for proposal

## 2 Specifications

| Title                                                                            | Date or revision | Tick if publicly available |
|----------------------------------------------------------------------------------|------------------|----------------------------|
| To provide the services as detailed within FM18035 Request for Proposal Document |                  |                            |

## 3 Constraints on how the *Contractor* Provides the Service

In accordance with the specification detailed within FM18035 Request for proposal

## 4 Requirements for the plan

In accordance with the specification detailed within FM18035 Request for proposal

**5 Services and other things provided by the *Employer***

**Item**

**Date by which it  
will be provided**

In accordance with the specification detailed within FM18035 Request for proposal

**6 Property affected by the *service***

In accordance with the specification detailed within FM18035 Request for proposal