

**SHORT FORM CONTRACT FOR THE SUPPLY OF GOODS AND/OR SERVICES****I. Index**

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## Business Services Authority

### II. Cover Letter

Quantum Risk Solutions Ltd  
142 Thornes Lane  
Wakefield  
WF2 7RE

Date: 22/01/2025

Our ref: [REDACTED]

Dear [REDACTED]

Following your tender/proposal for the supply of Information Governance Certifications to the NHS Business Services Authority, we are pleased confirm our intention to award this Contract to you.

The attached Order Form, contract Conditions and the Annexes set out the terms of the Contract between the NHS Business Services Authority and Quantum Risk Solutions for the provision of the Deliverables set out in the Order Form.

We thank you for your co-operation to date, and look forward to forging a successful working relationship resulting in a smooth and successful Delivery of the Deliverables. Please confirm your acceptance of this Contract by signing and returning via Atamis within 7 days from the date of the Order Form. No other form of acknowledgement will be accepted. Please remember to include the reference number(s) above in any future communications relating to this Contract.

We will then arrange for the Order Form to be countersigned which will create a binding contract between us.

Yours faithfully,

[REDACTED]

Commercial Officer

NHS Business Services Authority

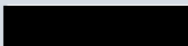

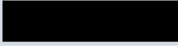

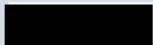

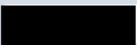
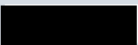
**III. Order Form**

<b>1. Contract Reference</b>	Information Governance Certifications [REDACTED]	
<b>2. Buyer</b>	<p>The NHS Business Services Authority (NHSBSA)</p> <p>It's offices are at: Stella House, Goldcrest Way, Newcastle upon Tyne, NE15 8NY</p> <p>In entering into this Contract, the Buyer is acting as part of the Crown and the Supplier shall be treated as contracting with the Crown as a whole.</p>	
<b>3. Supplier</b>	<p>Quantum Risk Solutions Ltd</p> <p>142 Thornes Lane</p> <p>Wakefield</p> <p>WF2 7RE</p>	
<b>4. The Contract</b>	<p>This Contract between the Buyer and the Supplier is for the supply of Deliverables.</p> <p>The Supplier shall supply the Deliverables described below on the terms set out in this Order Form and the attached contract conditions ("<b>Conditions</b>") and Annexes.</p> <p>Unless the context otherwise requires, capitalised expressions used in this Order Form have the same meanings as in the Conditions.</p>	
<b>5. Deliverables</b>	<b>Services</b>	<p>Description set out:</p> <ul style="list-style-type: none"> <li>in Annex 2 – Specification</li> <li>in the Supplier's tender as set out in Annex 4 – Supplier Tender</li> </ul> <p>The Services are:</p> <ul style="list-style-type: none"> <li>To be performed at the Buyer's premises or virtually as required by the Buyer</li> </ul> <p>Date(s) of Delivery: set out in Annex 2 - Specification/Annex - 4 Supplier Tender</p>
<b>6. Specification</b>	<p>The specification of the Deliverables is as set out:</p> <ul style="list-style-type: none"> <li>in Annex 2 – Specification</li> <li>in the Supplier's tender as set out in Annex 4 – Supplier Tender</li> </ul>	
<b>7. Start Date</b>	01/04/2025	
<b>8. Expiry Date</b>	31/03/2029	

<b>9. Extension Period</b>	Not applicable
<b>10. Buyer Cause</b>	Any Material Breach of the obligations of the Buyer or any other default, act, omission, negligence or statement of the Buyer, of its employees, servants, agents in connection with or in relation to the subject-matter of the Contract and in respect of which the Buyer is liable to the Supplier.
<b>11. Optional Intellectual Property Rights ("IPR") Clauses</b>	Not applicable
<b>12. Charges</b>	<p>The Charges for the Deliverables shall be as set out</p> <ul style="list-style-type: none"> <li>• in Annex 3 – Charges</li> </ul>
<b>13. Payment</b>	<p>Payment of undisputed invoices will be made within 30 days of receipt of invoice, which must be submitted promptly by the Supplier.</p> <p>All invoices must be sent, quoting a valid Purchase Order Number (PO Number) and any other relevant details, to Accounts Payable  [REDACTED]</p> <p>Within 20 Working Days of receipt of your countersigned copy of this Order Form, we will send you a unique PO Number. You must be in receipt of a valid PO Number before submitting an invoice.</p> <p>To avoid delay in payment it is important that the invoice is compliant and that it includes a valid PO Number, item number (if applicable) and the details (name, email, and telephone number) of your Buyer contact (i.e. Buyer Authorised Representative). Non-compliant invoices may be sent back to you, which may lead to a delay in payment.</p> <p>Payments will be made via BACS within 30 days of receipt of invoice.</p> <p>If you have a query regarding an outstanding payment please contact our Accounts Payable team either by email to: [REDACTED] or by telephone (0191) 283 8924 between 09:00-17:00 Monday to Friday.</p>
<b>14. Data Protection Liability Cap</b>	In accordance with clause 1.60 of the Conditions, the Supplier's total aggregate liability under clause 1.72.5 of the Conditions is no more than the Data Protection Liability Cap, being [REDACTED]

<b>15. Progress Meetings and Progress Reports</b>	<ul style="list-style-type: none"> <li>The Supplier shall attend progress meetings when requested by the Buyer</li> <li>The Supplier shall provide the Buyer with progress reports when requested by the Buyer</li> </ul>																		
<b>16. Buyer Authorised Representative(s)</b>	For general liaison your contact will continue to be <div style="background-color: black; width: 300px; height: 1.2em; margin-top: 5px;"></div>																		
<b>17. Supplier Authorised Representative(s)</b>	For general liaison your contact will continue to be: <div style="background-color: black; width: 100px; height: 1.2em; margin-top: 5px;"></div> <div style="background-color: black; width: 300px; height: 1.2em; margin-top: 5px;"></div>																		
<b>18. Address for notices</b>	<table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">NHS Business Services Authority</td><td style="width: 50%;">Quantum Risk Solutions</td></tr> <tr> <td>Stella House, Goldcrest Way,</td><td>142 Thornes Lane</td></tr> <tr> <td>Newcastle Upon Tyne, NE15 8NY</td><td>Wakefield</td></tr> <tr> <td>Attention: Commercial Services Team</td><td>WF2 7RE</td></tr> <tr> <td>Email:</td><td></td></tr> <tr> <td><div style="background-color: black; width: 300px; height: 1.2em; margin-top: 5px;"></div></td><td>Attention: <div style="background-color: black; width: 100px; height: 1.2em; margin-top: 5px;"></div></td></tr> <tr> <td><div style="background-color: black; width: 20px; height: 1.2em; margin-top: 5px;"></div></td><td>Email:</td></tr> <tr> <td></td><td><div style="background-color: black; width: 200px; height: 1.2em; margin-top: 5px;"></div></td></tr> <tr> <td></td><td><div style="background-color: black; width: 20px; height: 1.2em; margin-top: 5px;"></div></td></tr> </table>	NHS Business Services Authority	Quantum Risk Solutions	Stella House, Goldcrest Way,	142 Thornes Lane	Newcastle Upon Tyne, NE15 8NY	Wakefield	Attention: Commercial Services Team	WF2 7RE	Email:		<div style="background-color: black; width: 300px; height: 1.2em; margin-top: 5px;"></div>	Attention: <div style="background-color: black; width: 100px; height: 1.2em; margin-top: 5px;"></div>	<div style="background-color: black; width: 20px; height: 1.2em; margin-top: 5px;"></div>	Email:		<div style="background-color: black; width: 200px; height: 1.2em; margin-top: 5px;"></div>		<div style="background-color: black; width: 20px; height: 1.2em; margin-top: 5px;"></div>
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<b>19. Key Staff</b>	<table border="0" style="width: 100%;"> <thead> <tr> <th style="width: 33%;">Key Staff Role:</th><th style="width: 33%;">Key Staff Name</th><th style="width: 33%;">Contact Details:</th></tr> </thead> <tbody> <tr> <td colspan="3"><div style="background-color: black; width: 100%; height: 1.2em; margin-top: 5px;"></div></td> </tr> <tr> <td><div style="background-color: black; width: 150px; height: 1.2em; margin-top: 5px;"></div></td><td><div style="background-color: black; width: 100px; height: 1.2em; margin-top: 5px;"></div></td><td><div style="background-color: black; width: 250px; height: 1.2em; margin-top: 5px;"></div></td></tr> </tbody> </table>	Key Staff Role:	Key Staff Name	Contact Details:	<div style="background-color: black; width: 100%; height: 1.2em; margin-top: 5px;"></div>			<div style="background-color: black; width: 150px; height: 1.2em; margin-top: 5px;"></div>	<div style="background-color: black; width: 100px; height: 1.2em; margin-top: 5px;"></div>	<div style="background-color: black; width: 250px; height: 1.2em; margin-top: 5px;"></div>									
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<b>20. Procedures and Policies</b>	<p>For the purposes of the Contract the:</p> <p>The Buyer's Staff Vetting Procedures are: Minimum of Baseline Personnel Security Standards (BPSS).</p> <p>The Buyer considers the below to be a Relevant Conviction for the purposes of clause 1.33 of the Conditions:</p> <p>Guilty of any offences under The Fraud Act 2006 and The Theft Act 1968 and any such Relevant Conviction the Buyer deems appropriate as updated from time to time through the Contract.</p> <p>The Buyers Information Security Policy ISMSPOL 001 V4.0 is available online at <a href="#">Information Security Policy .doc</a></p>																		

	The Buyers HEPOL 001 Environmental Policy 07/08/2024) is available online at <a href="#">SHEPOL 003 Health and Safety Policy Statement for Display.docx</a> and <a href="#">SHEPOL 001 Environmental Policy.docx</a>
<b>21. Special Terms</b>	Special Term 1 – N/A
<b>22. Incorporated Terms</b>	<p>The following documents are incorporated into the Contract. If there is any conflict, the following order of precedence applies:</p> <ul style="list-style-type: none"> <li>(a) The cover letter from the Buyer to the Supplier dated 22/01/2025</li> <li>(b) This Order Form</li> <li>(c) Any Special Terms (see row 21 (Special Terms) in this Order Form)</li> <li>(d) Conditions (as they may be amended by Annex 5 – Optional IPR Clauses (Not Used))</li> <li>(e) The following Annexes in equal order of precedence: <ul style="list-style-type: none"> <li>i. Annex 1 – Processing Personal Data</li> <li>ii.</li> <li>iii. Annex 2 – Specification</li> <li>iv. Annex 3 – Charges</li> <li>v. Annex 4 – Supplier Tender , unless any part of the Tender offers a better commercial position for the Buyer (as decided by the Buyer, in its absolute discretion), in which case that part of the Tender will take precedence over the documents above.</li> </ul> </li> </ul>

Signed for and on behalf of the Supplier	Signed for and on behalf of the Buyer acting on behalf of the Crown
Name:  	Name:  
Date: 	Date: 
	

*This order will be signed electronically by both parties*

## IV. Short form Terms (“Conditions”)

### DEFINITIONS USED IN THE CONTRACT

1.1 In this Contract, unless the context otherwise requires, the following words shall have the following meanings:

<b>“Affiliates”</b>	in relation to a body corporate, any other entity which directly or indirectly Controls (in either of the senses defined in sections 450 and 1124 of the Corporation Tax Act 2010 and “ <b>Controlled</b> ” shall be construed accordingly), is Controlled by, or is under direct or indirect common Control of that body corporate from time to time;
<b>“Audit”</b>	<p>the Buyer’s right to:</p> <ul style="list-style-type: none"> <li>(a) verify the accuracy of the Charges and any other amounts payable by the Buyer under the Contract (including proposed or actual variations to them in accordance with the Contract);</li> <li>(b) verify the costs of the Supplier (including the costs of all Subcontractors and any third party suppliers) in connection with the provision of the Deliverables;</li> <li>(c) verify the Supplier’s and each Subcontractor’s compliance with the applicable Law;</li> <li>(d) identify or investigate actual or suspected breach of clauses 0 to 0 (inclusive), impropriety or accounting mistakes or any breach or threatened breach of security and in these circumstances the Buyer shall have no obligation to inform the Supplier of the purpose or objective of its investigations;</li> <li>(e) identify or investigate any circumstances which may impact upon the financial stability of the Supplier and/or any Subcontractors or their ability to provide the Deliverables;</li> <li>(f) obtain such information as is necessary to fulfil the Buyer’s obligations to supply information for parliamentary, ministerial, judicial or administrative purposes including the supply of information to the Comptroller and Auditor General;</li> <li>(g) review any books of account and the internal contract management accounts kept by the Supplier in connection with the Contract;</li> <li>(h) carry out the Buyer’s internal and statutory audits and to prepare, examine and/or certify the Buyer’s annual and interim reports and accounts;</li> <li>(i) enable the National Audit Office to carry out an examination pursuant to Section 6(1) of the National Audit Act 1983 of the economy, efficiency and effectiveness with which the Buyer has used its resources;</li> </ul>



<b>“Beneficiary”</b>	A Party having (or claiming to have) the benefit of an indemnity under this Contract;
<b>“Buyer Cause”</b>	has the meaning given to it in the Order Form;
<b>“Buyer”</b>	the person named as Buyer in the Order Form. Where the Buyer is a Crown Body the Supplier shall be treated as contracting with the Crown as a whole;
<b>“Charges”</b>	the charges for the Deliverables as specified in the Order Form;
<b>“Claim”</b>	any claim which it appears that the Buyer is, or may become, entitled to indemnification under this Contract;
<b>“Conditions”</b>	means these short form terms and conditions of contract;
<b>“Confidential Information”</b>	all information, whether written or oral (however recorded), provided by the disclosing Party to the receiving Party and which <ul style="list-style-type: none"> <li>(a) is known by the receiving Party to be confidential;</li> <li>(b) is marked as or stated to be confidential; or</li> <li>(c) ought reasonably to be considered by the receiving Party to be confidential;</li> </ul>
<b>“Conflict of Interest”</b>	a conflict between the financial or personal duties of the Supplier or the Supplier Staff and the duties owed to the Buyer under the Contract, in the reasonable opinion of the Buyer;
<b>“Contract”</b>	the contract between the Buyer and the Supplier which is created by the Supplier’s counter signing the Order Form and includes the cover letter (if used), Order Form, these Conditions and the Annexes;
<b>“Controller”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires;
<b>“Crown Body”</b>	the government of the United Kingdom (including the Northern Ireland Assembly and Executive Committee, the Scottish Government and the Welsh Government), including, but not limited to, government ministers and government departments and particular bodies, persons, commissions or agencies from time to time carrying out functions on its behalf;
<b>“Data Loss Event”</b>	any event that results, or may result, in unauthorised access to Personal Data held by the Processor under this Contract, and/or actual or potential loss and/or destruction of Personal Data in breach of this Contract, including any Personal Data Breach;

<b>“Data Protection Impact Assessment”</b>	an assessment by the Controller of the impact of the envisaged processing on the protection of Personal Data;
<b>“Data Protection Legislation”</b>	<ul style="list-style-type: none"> <li>(a) the UK GDPR,</li> <li>(b) the DPA 2018;</li> <li>(c) all applicable Law about the processing of personal data and privacy and guidance issued by the Information Commissioner and other regulatory authority; and</li> <li>(d) (to the extent that it applies) the EU GDPR (and in the event of conflict, the UK GDPR shall apply);</li> </ul>
<b>“Data Protection Liability Cap”</b>	has the meaning given to it in row 14 of the Order Form;
<b>“Data Protection Officer”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires;
<b>“Data Subject Access Request”</b>	a request made by, or on behalf of, a Data Subject in accordance with rights granted pursuant to the Data Protection Legislation to access their Personal Data;
<b>“Data Subject”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires;
<b>“Deliver”</b>	hand over of the Deliverables to the Buyer at the address and on the date specified in the Order Form, which shall include unloading and stacking and any other specific arrangements agreed in accordance with clause 1.7. “Delivered” and “Delivery” shall be construed accordingly;
<b>“Deliverables”</b>	means the Goods, Services, and/or software to be supplied under the Contract as set out in the Order Form;
<b>“DPA 2018”</b>	the Data Protection Act 2018;
<b>“EU GDPR”</b>	Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data (General Data Protection Regulation) as it has effect in EU law;
<b>“Existing IPR”</b>	any and all intellectual property rights that are owned by or licensed to either Party and which have been developed independently of the Contract (whether prior to the date of the Contract or otherwise);
<b>“Expiry Date”</b>	the date for expiry of the Contract as set out in the Order Form;

<b>“FOIA”</b>	the Freedom of Information Act 2000 together with any guidance and/or codes of practice issued by the Information Commissioner or relevant Government department in relation to such legislation;
<b>“Force Majeure Event”</b>	<p>any event, circumstance, matter or cause affecting the performance by either the Buyer or the Supplier of its obligations arising from:</p> <ul style="list-style-type: none"> <li>(a) acts, events, omissions, happenings or non-happenings beyond the reasonable control of the Party seeking to claim relief in respect of a Force Majeure Event (the <b>“Affected Party”</b>) which prevent or materially delay the Affected Party from performing its obligations under the Contract;</li> <li>(b) riots, civil commotion, war or armed conflict, acts of terrorism, nuclear, biological or chemical warfare;</li> <li>(c) acts of a Crown Body, local government or regulatory bodies;</li> <li>(d) fire, flood or any disaster; or</li> <li>(e) an industrial dispute affecting a third party for which a substitute third party is not reasonably available</li> </ul> <p>but excluding:</p> <ul style="list-style-type: none"> <li>(a) any industrial dispute relating to the Supplier, the Supplier Staff (including any subsets of them) or any other failure in the Supplier or the Subcontractor's supply chain;</li> <li>(b) any event, occurrence, circumstance, matter or cause which is attributable to the wilful act, neglect or failure to take reasonable precautions against it by the Party concerned; and</li> <li>(c) any failure of delay caused by a lack of funds,</li> </ul> <p>and which is not attributable to any wilful act, neglect or failure to take reasonable preventative action by that Party;</p>
<b>“Good Industry Practice”</b>	standards, practices, methods and procedures conforming to the Law and the exercise of the degree of skill and care, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced person or body engaged within the relevant industry or business sector;
<b>“Goods”</b>	the goods to be supplied by the Supplier to the Buyer under the Contract;
<b>“Government Data”</b>	<ul style="list-style-type: none"> <li>(a) the data, text, drawings, diagrams, images or sounds (together with any database made up of any of these) which are embodied in any electronic, magnetic, optical or tangible media, including any of the Buyer's confidential information, and which: <ul style="list-style-type: none"> <li>(i) are supplied to the Supplier by or on behalf of the Buyer; or</li> </ul> </li> </ul>

	<p>(ii) the Supplier is required to generate, process, store or transmit pursuant to the Contract; or</p> <p>(b) any Personal Data for which the Buyer is the Controller;</p>
<b>“Indemnifier”</b>	a Party from whom an indemnity is sought under this Contract;
<b>“Independent Controller”</b>	a party which is Controller of the same Personal Data as the other Party and there is no element of joint control with regards to that Personal Data;
<b>“Information Commissioner”</b>	the UK’s independent authority which deals with ensuring information relating to rights in the public interest and data privacy for individuals is met, whilst promoting openness by public bodies;
<b>“Insolvency Event”</b>	<p>in respect of a person:</p> <p>(a) if that person is insolvent;</p> <p>(b) where that person is a company, LLP or a partnership, if an order is made or a resolution is passed for the winding up of the person (other than voluntarily for the purpose of solvent amalgamation or reconstruction);</p> <p>(c) if an administrator or administrative receiver is appointed in respect of the whole or any part of the person’s assets or business;</p> <p>(d) if the person makes any composition with its creditors; or</p> <p>(e) takes or suffers any similar or analogous action to any of the actions detailed in this definition as a result of debt in any jurisdiction;</p>
<b>“IP Completion Day”</b>	has the meaning given to it in the European Union (Withdrawal Agreement) Act 2020;
<b>“Joint Controller Agreement”</b>	the agreement (if any) entered into between the Buyer and the Supplier substantially in the form set out in Part B Joint Controller Agreement ( <i>Not Used</i> ) of Annex 1 – Processing Personal Data;
<b>“Joint Controllers”</b>	Where two or more Controllers jointly determine the purposes and means of processing;
<b>“Key Staff”</b>	any persons specified as such in the Order Form or otherwise notified as such by the Buyer to the Supplier in writing, following agreement to the same by the Supplier;
<b>“Law”</b>	any law, subordinate legislation within the meaning of section 21(1) of the Interpretation Act 1978, bye-law, right within the meaning of the European Union (Withdrawal) Act 2018 as amended by European Union (Withdrawal Agreement) Act 2020, regulation, order, regulatory policy, mandatory guidance or code of

	practice, judgment of a relevant court of law, or directives or requirements of any regulatory body with which the Supplier is bound to comply;
<b>“Material Breach”</b>	a single serious breach or a number of breaches or repeated breaches (whether of the same or different obligations and regardless of whether such breaches are remedied)
<b>“National Insurance”</b>	contributions required by the Social Security Contributions and Benefits Act 1992 and made in accordance with the Social Security (Contributions) Regulations 2001 (SI 2001/1004);
<b>“New IPR Items”</b>	means a deliverable, document, product or other item within which New IPR subsists;
<b>“New IPR”</b>	all and intellectual property rights in any materials created or developed by or on behalf of the Supplier pursuant to the Contract but shall not include the Supplier's Existing IPR;
<b>“Open Licence”</b>	means any material that is published for use, with rights to access and modify, by any person for free, under a generally recognised open licence including Open Government Licence as set out at <a href="http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/">http://www.nationalarchives.gov.uk/doc/open-government-licence/version/3/</a> as updated from time to time and the Open Standards Principles documented at <a href="https://www.gov.uk/government/publications/open-standards-principles/open-standards-principles">https://www.gov.uk/government/publications/open-standards-principles/open-standards-principles</a> as updated from time to time;
<b>“Order Form”</b>	the order form signed by the Buyer and the Supplier printed above these Conditions;
<b>“Party”</b>	the Supplier or the Buyer (as appropriate) and “Parties” shall mean both of them;
<b>“Personal Data Breach”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires and includes any breach of Data Protection Legislation relevant to Personal Data processed pursuant to the Contract;
<b>“Personal Data”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires;
<b>“Prescribed Person”</b>	a legal adviser, an MP or an appropriate body which a whistle-blower may make a disclosure to as detailed in ‘Whistleblowing: list of prescribed people and bodies’, 24 November 2016, available online at: <a href="https://www.gov.uk/government/publications/blowing-the-whistle-list-of-prescribed-people-and-bodies--2/whistleblowing-list-of-prescribed-people-and-bodies">https://www.gov.uk/government/publications/blowing-the-whistle-list-of-prescribed-people-and-bodies--2/whistleblowing-list-of-prescribed-people-and-bodies</a> as updated from time to time;

<b>“Processor Personnel”</b>	all directors, officers, employees, agents, consultants and suppliers of the Processor and/or of any Subprocessor engaged in the performance of its obligations under the Contract;
<b>“Processor”</b>	has the meaning given to it in the UK GDPR or the EU GDPR as the context requires;
<b>“Protective Measures”</b>	<p>technical and organisational measures which must take account of:</p> <ul style="list-style-type: none"> <li>(a) the nature of the data to be protected;</li> <li>(b) harm that might result from Data Loss Event;</li> <li>(c) state of technological development;</li> <li>(d) the cost of implementing any measures;</li> </ul> <p>including pseudonymising and encrypting Personal Data, ensuring confidentiality, integrity, availability and resilience of systems and services, ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident, and regularly assessing and evaluating the effectiveness of the such measures adopted by it;</p>
<b>“Purchase Order Number” or “PO Number”</b>	the Buyer’s unique number relating to the order for Deliverables to be supplied by the Supplier to the Buyer in accordance with the Contract;
<b>“Rectification Plan”</b>	<p>the Supplier’s plan (or revised plan) to rectify its Material Breach which shall include:</p> <ul style="list-style-type: none"> <li>(a) full details of the Material Breach that has occurred, including a root cause analysis;</li> <li>(b) the actual or anticipated effect of the Material Breach; and</li> <li>(c) the steps which the Supplier proposes to take to rectify the Material Breach (if applicable) and to prevent such Material Breach from recurring, including timescales for such steps and for the rectification of the Material Breach (where applicable);</li> </ul>
<b>“Regulations”</b>	the Public Contracts Regulations 2015 and/or the Public Contracts (Scotland) Regulations 2015 (as the context requires) as amended from time to time;
<b>“Request For Information”</b>	has the meaning set out in the FOIA or the Environmental Information Regulations 2004 as relevant (where the meaning set out for the term <b>“request”</b> shall apply);
<b>“Secure”</b>	has the meaning of adequately protecting against risks to confidentiality, integrity and availability;
<b>“Services”</b>	the services to be supplied by the Supplier to the Buyer under the Contract;

<b>“Specification”</b>	the specification for the Deliverables to be supplied by the Supplier to the Buyer (including as to quantity, description and quality) as specified in the Order Form;
<b>“Staff Vetting Procedures”</b>	vetting procedures that accord with Good Industry Practice or, where applicable, the Buyer’s procedures or policies for the vetting of personnel as specified in the Order Form or provided to the Supplier in writing following agreement to the same by the Supplier from time to time;
<b>“Start Date”</b>	the start date of the Contract set out in the Order Form;
<b>“Sub-Contract”</b>	any contract or agreement (or proposed contract or agreement), other than the Contract, pursuant to which a third party: <ul style="list-style-type: none"> <li>(a) provides the Deliverables (or any part of them);</li> <li>(b) provides facilities or services necessary for the provision of the Deliverables (or any part of them); and/or</li> <li>(c) is responsible for the management, direction or control of the provision of the Deliverables (or any part of them);</li> </ul>
<b>“Subcontractor”</b>	any person other than the Supplier, who is a party to a Sub-Contract and the servants or agents of that person;
<b>“Subprocessor”</b>	any third party appointed to process Personal Data on behalf of the Processor related to the Contract;
<b>“Supplier Staff”</b>	all directors, officers, employees, agents, consultants and contractors of the Supplier and/or of any Subcontractor of the Supplier engaged in the performance of the Supplier’s obligations under the Contract;
<b>“Supplier”</b>	the person named as Supplier in the Order Form;
<b>“Term”</b>	the period from the Start Date to the Expiry Date as such period may be extended in accordance with clause 1.49 or terminated in accordance with the Contract;
<b>“Third Party IPR”</b>	intellectual property rights owned by a third party which is or will be used by the Supplier for the purpose of providing the Deliverables;
<b>“Transparency Information”</b>	In relation to Contracts with a value above the relevant threshold set out in Part 2 of the Regulations only, the content of the Contract, including any changes to this Contract agreed from time to time, as well as any information relating to the Deliverables and performance pursuant to the Contract required to be published by the Buyer to comply with its transparency obligations, including those set out in Public Procurement Policy Note 09/21 (update to legal and policy requirements to publish procurement information on Contracts Finder) ( <a href="https://www.gov.uk/government/publications/ppn-0921-requirements-to-publish-on-contracts-finder">https://www.gov.uk/government/publications/ppn-0921-requirements-to-publish-on-contracts-finder</a> ) as updated from time to time and Public Procurement Policy

	Note 01/17 (update to transparency principles) where applicable ( <a href="https://www.gov.uk/government/publications/procurement-policy-note-0117-update-to-transparency-principles">https://www.gov.uk/government/publications/procurement-policy-note-0117-update-to-transparency-principles</a> ) as updated from time to time except for:  (a) any information which is exempt from disclosure in accordance with the provisions of the FOIA, which shall be determined by the Buyer; and  (b) Confidential Information;
<b>“UK GDPR”</b>	has the meaning as set out in section 3(10) of the DPA 2018, supplemented by section 205(4);
<b>“VAT”</b>	value added tax in accordance with the provisions of the Value Added Tax Act 1994;
<b>“Worker”</b>	any one of the Supplier Staff which the Buyer, in its reasonable opinion, considers is an individual to which Procurement Policy Note 08/15 ( <a href="https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees">Tax Arrangements of Public Appointees</a> ) ( <a href="https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees">https://www.gov.uk/government/publications/procurement-policy-note-0815-tax-arrangements-of-appointees</a> ) as updated from time to time applies in respect of the Deliverables; and
<b>“Working Day”</b>	a day (other than a Saturday or Sunday) on which banks are open for business in the City of London.

## UNDERSTANDING THE CONTRACT

1.2 In the Contract, unless the context otherwise requires:

- 1.2.1 references to numbered clauses are references to the relevant clause in these Conditions;
- 1.2.2 any obligation on any Party not to do or omit to do anything shall include an obligation not to allow that thing to be done or omitted to be done;
- 1.2.3 references to “writing” include printing, display on a screen and electronic transmission and other modes of representing or reproducing words in a visible form;
- 1.2.4 a reference to any Law includes a reference to that Law as amended, extended, consolidated, replaced or re-enacted from time to time (including as a consequence of the Retained EU Law (Revocation and Reform) Act) and to any legislation or byelaw made under that Law;
- 1.2.5 the word “including”, “for example” and similar words shall be understood as if they were immediately followed by the words “without limitation”;



- 1.2.6 any reference which, immediately before IP Completion Day (or such later date when relevant EU law ceases to have effect pursuant to section 1A of the European Union (Withdrawal) Act 2018), is a reference to (as it has effect from time to time) any EU regulation, EU decision, EU tertiary legislation or provision of the EEA agreement (“**EU References**”) which is to form part of domestic law by application of section 3 of the European Union (Withdrawal) Act 2018 and which shall be read on and after IP Completion Day as a reference to the EU References as they form part of domestic law by virtue of section 3 of the European Union (Withdrawal) Act 2018 as modified by domestic law from time to time.

## HOW THE CONTRACT WORKS

- 1.3 The Order Form is an offer by the Buyer to purchase the Deliverables subject to and in accordance with the terms and conditions of the Contract.
- 1.4 The Supplier is deemed to accept the offer in the Order Form when the Buyer receives a copy of the Order Form signed by the Supplier.
- 1.5 The Supplier warrants and represents that its tender (if any) and all statements made and documents submitted as part of the procurement of Deliverables are and remain true and accurate.

## WHAT NEEDS TO BE DELIVERED

### 1.6 All Deliverables

- 1.6.1 The Supplier must provide Deliverables:
- 1.6.1.1 in accordance with the Specification, the tender in Annex 4 – Supplier Tender (where applicable) and the Contract;
  - 1.6.1.2 using reasonable skill and care;
  - 1.6.1.3 using Good Industry Practice;
  - 1.6.1.4 using its own policies, processes and internal quality control measures as long as they don't conflict with the Contract;
  - 1.6.1.5 on the dates agreed; and
  - 1.6.1.6 that comply with all Law.
- 1.6.2 The Supplier must provide Deliverables with a warranty of at least 90 days (or longer where the Supplier offers a longer warranty period to its Buyers) from Delivery against all obvious defects.

### 1.7 Goods clauses

- 1.7.1 All Goods delivered must be new, or as new if recycled, unused and of recent origin.
- 1.7.2 The Supplier transfers ownership of the Goods on completion of Delivery or payment for those Goods, whichever is earlier.

- 1.7.3 Risk in the Goods transfers to the Buyer on Delivery, but remains with the Supplier if the Buyer notices damage following Delivery and lets the Supplier know within 3 Working Days of Delivery.
- 1.7.4 The Supplier warrants that it has full and unrestricted ownership of the Goods at the time of transfer of ownership.
- 1.7.5 The Supplier must Deliver the Goods on the date and to the location specified in the Order Form, during the Buyer's working hours (unless otherwise specified in the Order Form).
- 1.7.6 The Supplier must provide sufficient packaging for the Goods to reach the point of Delivery safely and undamaged.
- 1.7.7 All deliveries must have a delivery note attached that specifies the order number, type and quantity of Goods.
- 1.7.8 The Supplier must provide all tools, information and instructions the Buyer needs to make use of the Goods.
- 1.7.9 The Supplier will notify the Buyer of any request that Goods are returned to it or the manufacturer after the discovery of safety issues or defects that might endanger health or hinder performance and shall indemnify the Buyer against the costs arising as a result of any such request.
- 1.7.10 The Buyer can cancel any order or part order of Goods which has not been Delivered. If the Buyer gives less than 14 days' notice then it will pay the Supplier's reasonable and proven costs already incurred on the cancelled order as long as the Supplier takes all reasonable endeavours to minimise these costs.
- 1.7.11 The Supplier must at its own cost repair, replace, refund or substitute (at the Buyer's option and request) any Goods that the Buyer rejects because they don't conform with clause 1.7. If the Supplier doesn't do this it will pay the Buyer's costs including repair or re-supply by a third party.
- 1.7.12 The Buyer will not be liable for any actions, claims, costs and expenses incurred by the Supplier or any third party during Delivery of the Goods unless and to the extent that it is caused by negligence or other wrongful act of the Buyer or its servant or agent. If the Buyer suffers or incurs any damage or injury (whether fatal or otherwise) occurring in the course of Delivery or installation then the Supplier shall indemnify the Buyer from any losses, charges, costs or expenses which arise as a result of or in connection with such damage or injury where it is attributable to any act or omission of the Supplier or any of its Subcontractors or Supplier Staff.

## **1.8 Services clauses**

- 1.8.1 Late Delivery of the Services will be a default of the Contract.
- 1.8.2 The Supplier must co-operate with the Buyer and third party suppliers on all aspects connected with the delivery of the Services and ensure that Supplier Staff comply with any reasonable instructions including the security requirements (where any such requirements have been provided).

- 1.8.3 The Buyer must provide the Supplier with reasonable access to its premises at reasonable times for the purpose of supplying the Services
- 1.8.4 The Supplier must at its own risk and expense provide all equipment required to deliver the Services. Any equipment provided by the Buyer to the Supplier for supplying the Services remains the property of the Buyer and is to be returned to the Buyer on expiry or termination of the Contract.
- 1.8.5 The Supplier must allocate sufficient resources and appropriate expertise to the Contract.
- 1.8.6 The Supplier must take all reasonable care to ensure performance does not disrupt the Buyer's operations, employees or other contractors.
- 1.8.7 On completion of the Services, the Supplier is responsible for leaving the Buyer's premises in a clean, safe and tidy condition and making good any damage that it has caused to the Buyer's premises or property, other than fair wear and tear.
- 1.8.8 The Supplier must ensure all Services, and anything used to deliver the Services, are of good quality and free from defects.
- 1.8.9 The Buyer is entitled to withhold payment for partially or undelivered Services, but doing so does not stop it from using its other rights under the Contract.

## PRICING AND PAYMENTS

- 1.9 In exchange for the Deliverables, the Supplier must invoice the Buyer for the charges in the Order Form.
- 1.10 All Charges:
  - 1.10.1 exclude VAT, which is payable on provision of a valid VAT invoice; and
  - 1.10.2 include all costs and expenses connected with the supply of Deliverables.
- 1.11 The Buyer must pay the Supplier the charges within 30 days of receipt by the Buyer of a valid, undisputed invoice, in cleared funds to the Supplier's account stated in the invoice or in the Order Form.
- 1.12 A Supplier invoice is only valid if it:
  - 1.12.1 includes all appropriate references including the Purchase Order Number and other details reasonably requested by the Buyer; and
  - 1.12.2 includes a detailed breakdown of Deliverables which have been delivered.
- 1.13 If there is a dispute between the Parties as to the amount invoiced, the Buyer shall pay the undisputed amount. The Supplier shall not suspend the provision of the Deliverables unless the Supplier is entitled to terminate the Contract for a failure to pay undisputed sums in accordance with clause 1.53. Any disputed amounts shall be resolved through the dispute resolution procedure detailed in clause 0.
- 1.14 The Buyer may retain or set-off payment of any amount owed to it by the Supplier under this Contract or any other agreement between the Supplier and the Buyer if notice and reasons are provided.

- 1.15 The Supplier must ensure that all Subcontractors are paid, in full, within 30 days of receipt of a valid, undisputed invoice. If this doesn't happen, the Buyer can publish the details of the late payment or non-payment.

## **THE BUYER'S OBLIGATIONS TO THE SUPPLIER**

- 1.16 If Supplier fails to comply with the Contract as a result of a Buyer Cause:
- 1.16.1 the Buyer cannot terminate the Contract under clause 0;
  - 1.16.2 the Supplier is entitled to reasonable and proven additional expenses and to relief from liability under this Contract;
  - 1.16.3 the Supplier is entitled to additional time needed to deliver the Deliverables; and
  - 1.16.4 the Supplier cannot suspend the ongoing supply of Deliverables.
- 1.17 Clause 1.16 only applies if the Supplier:
- 1.17.1 gives notice to the Buyer within 10 Working Days of becoming aware;
  - 1.17.2 demonstrates that the failure only happened because of the Buyer Cause; and
  - 1.17.3 mitigated the impact of the Buyer Cause.

## **RECORD KEEPING AND REPORTING**

- 1.18 The Supplier must ensure that suitably qualified representatives attend progress meetings with the Buyer and provide progress reports when specified in the Order Form.
- 1.19 The Supplier must keep and maintain full and accurate records and accounts on everything to do with the Contract for 7 years after the date of expiry or termination of the Contract and in accordance with the UK GDPR or the EU GDPR as the context requires.
- 1.20 The Supplier must allow any auditor appointed by the Buyer access to its premises to verify all contract accounts and records of everything to do with the Contract and provide copies for the Audit.
- 1.21 The Buyer or an auditor can Audit the Supplier.
- 1.22 During an Audit, the Supplier must provide information to the auditor and reasonable co-operation at their request.
- 1.23 The Parties will bear their own costs when an Audit is undertaken unless the Audit identifies a Material Breach by the Supplier, in which case the Supplier will repay the Buyer's reasonable costs in connection with the Audit.
- 1.24 If the Supplier is not providing any of the Deliverables, or is unable to provide them, it must immediately:
- 1.24.1 tell the Buyer and give reasons;
  - 1.24.2 propose corrective action; and
  - 1.24.3 provide a deadline for completing the corrective action.

- 1.25 If the Buyer, acting reasonably, is concerned as to the financial stability of the Supplier such that it may impact on the continued performance of the Contract then the Buyer may:
- 1.25.1 require that the Supplier provide to the Buyer (for its approval) a plan setting out how the Supplier will ensure continued performance of the Contract and the Supplier will make changes to such plan as reasonably required by the Buyer and once it is agreed then the Supplier shall act in accordance with such plan and report to the Buyer on demand; and
  - 1.25.2 if the Supplier fails to provide a plan or fails to agree any changes which are requested by the Buyer or fails to implement or provide updates on progress with the plan, terminate the Contract immediately for Material Breach (or on such date as the Buyer notifies) and the consequences of termination in Clause 1.52.1 shall apply.
- 1.26 If there is a Material Breach, the Supplier must notify the Buyer within 3 Working Days of the Supplier becoming aware of the Material Breach. The Buyer may request that the Supplier provide a Rectification Plan within 10 Working Days of the Buyer's request alongside any additional documentation that the Buyer requires. Once such Rectification Plan is agreed between the Parties (without the Buyer limiting its rights) the Supplier must immediately start work on the actions in the Rectification Plan at its own cost.

## SUPPLIER STAFF

- 1.27 The Supplier Staff involved in the performance of the Contract must:
- 1.27.1 be appropriately trained and qualified;
  - 1.27.2 be vetted in accordance with the Staff Vetting Procedures; and
  - 1.27.3 comply with all conduct requirements when on the Buyer's premises.
- 1.28 Where the Buyer decides one of the Supplier's Staff isn't suitable to work on the Contract, the Supplier must replace them with a suitably qualified alternative.
- 1.29 The Supplier must provide a list of Supplier Staff needing to access the Buyer's premises and say why access is required.
- 1.30 The Supplier indemnifies the Buyer against all claims brought by any person employed or engaged by the Supplier caused by an act or omission of the Supplier or any Supplier Staff.
- 1.31 The Buyer indemnifies the Supplier against all claims brought by any person employed or engaged by the Buyer caused by an act or omission of the Buyer or any of the Buyer's employees, agents, consultants and contractors.
- 1.32 The Supplier shall use those persons nominated (if any) as Key Staff in the Order Form or otherwise notified as such by the Buyer to the Supplier in writing, following agreement to the same by the Supplier to provide the Deliverables and shall not remove or replace any of them unless:
- 1.32.1 requested to do so by the Buyer or the Buyer approves such removal or replacement (not to be unreasonably withheld or delayed);
  - 1.32.2 the person concerned resigns, retires or dies or is on parental or long-term sick leave; or

1.32.3 the person's employment or contractual arrangement with the Supplier or any Subcontractor is terminated for material breach of contract by the employee.

1.33 The Supplier shall ensure that no person who discloses that they have a conviction that is relevant to the nature of the Contract, relevant to the work of the Buyer, or is of a type otherwise advised by the Buyer (each such conviction a “**Relevant Conviction**”), or is found by the Supplier to have a Relevant Conviction (whether as a result of a police check, a disclosure and barring service check or otherwise) is employed or engaged in the provision of any part of the Deliverables.

## RIGHTS AND PROTECTION

1.34 The Supplier warrants and represents that:

1.34.1 it has full capacity and authority to enter into and to perform the Contract;

1.34.2 the Contract is entered into by its authorised representative;

1.34.3 it is a legally valid and existing organisation incorporated in the place it was formed;

1.34.4 there are no known legal or regulatory actions or investigations before any court, administrative body or arbitration tribunal pending or threatened against it or its affiliates that might affect its ability to perform the Contract;

1.34.5 all necessary rights, authorisations, licences and consents (including in relation to IPRs) are in place to enable the Supplier to perform its obligations under the Contract and the Buyer to receive the Deliverables;

1.34.6 it doesn't have any contractual obligations which are likely to have a material adverse effect on its ability to perform the Contract; and

1.34.7 it is not impacted by an Insolvency Event.

1.35 The warranties and representations in clause 1.5 and clause 1.34 are repeated each time the Supplier provides Deliverables under the Contract.

1.36 The Supplier indemnifies the Buyer against each of the following:

1.36.1 wilful misconduct of the Supplier, any of its Subcontractor and/or Supplier Staff that impacts the Contract; and

1.36.2 non-payment by the Supplier of any tax or National Insurance.

1.37 If the Supplier becomes aware of a representation or warranty made in relation to the Contract that becomes untrue or misleading, it must immediately notify the Buyer.

1.38 All third party warranties and indemnities covering the Deliverables must be assigned for the Buyer's benefit by the Supplier for free.

## INTELLECTUAL PROPERTY RIGHTS (“IPRS”)

1.39 Each Party keeps ownership of its own Existing IPRs. The Supplier gives the Buyer a non-exclusive, perpetual, royalty-free, irrevocable, transferable, sub-licensable worldwide licence to use, copy and adapt the Supplier's Existing IPR to enable the Buyer and its sub-licensees to both:

1.39.1 receive and use the Deliverables; and

1.39.2 use the New IPR.

The termination or expiry of the Contract does not terminate any licence granted under this clause 0.

- 1.40 Any New IPR created under the Contract is owned by the Buyer. The Buyer gives the Supplier a royalty-free, non-exclusive, non-transferable licence to use, copy, and adapt any Existing IPRs and the New IPR which the Supplier reasonably requires for the purpose of fulfilling its obligations during the Term and commercially exploiting the New IPR developed under the Contract. This licence is sub-licensable to a Subcontractor for the purpose of enabling the Supplier to fulfil its obligations under the Contract, and in that case the Subcontractor must enter into a confidentiality undertaking with the Supplier on the same terms as set out in clause 0 (What you must keep confidential).
- 1.41 Unless otherwise agreed in writing, the Supplier and the Buyer will record any New IPR and keep this record updated throughout the Term.
- 1.42 Where a Party acquires ownership of intellectual property rights incorrectly under this Contract, it must do everything reasonably necessary to complete a transfer assigning them in writing to the other Party on request and at its own cost.
- 1.43 Neither Party has the right to use the other Party's intellectual property rights, including any use of the other Party's names, logos or trademarks, except as provided in this clause 0 or otherwise agreed in writing.
- 1.44 If any claim is made against the Buyer for actual or alleged infringement of a third party's intellectual property arising out of, or in connection with, the supply or use of the Deliverables (an "**IPR Claim**"), then the Supplier indemnifies the Buyer against all losses, damages, costs or expenses (including professional fees and fines) incurred as a result of the IPR Claim.
- 1.45 If an IPR Claim is made or anticipated, the Supplier must at its own option and expense, either:
- 1.45.1 obtain for the Buyer the rights in clause 1.39 without infringing any third party intellectual property rights; and
  - 1.45.2 replace or modify the relevant item with substitutes that don't infringe intellectual property rights without adversely affecting the functionality or performance of the Deliverables.
  - 1.45.3 If the Supplier is not able to resolve the IPR Claim to the Buyer's reasonable satisfaction within a reasonable time, the Buyer may give written notice that it terminates the Contract from the date set out in the notice, or where no date is given in the notice, the date of the notice. On termination, the consequences of termination in clauses 1.52.1 shall apply.
- 1.46 The Supplier shall not use in the Delivery of the Deliverables any Third Party IPR unless:
- 1.46.1 the Buyer gives its approval to do so; and
  - 1.46.2 one of the following conditions applies:
    - 1.46.2.1 the owner or an authorised licensor of the relevant Third Party IPR has granted the Buyer a direct licence that provides the Buyer with the rights in clause 1.39; or

- 1.46.2.2 if the Supplier cannot, after commercially reasonable endeavours, obtain for the Buyer a direct licence to the Third Party IPR as set out in clause 1.46.2.1:
- (a) the Supplier provides the Buyer with details of the licence terms it can obtain and the identity of those licensors;
  - (b) the Buyer agrees to those licence terms; and
  - (c) the owner or authorised licensor of the Third Party IPR grants a direct licence to the Buyer on those terms; or
- 1.46.2.3 the Buyer approves in writing, with reference to the acts authorised and the specific intellectual property rights involved.

1.47 In spite of any other provisions of the Contract and for the avoidance of doubt, award of this Contract by the Buyer and the ordering of any Deliverable under it, does not constitute an authorisation by the Crown under Sections 55 and 56 of the Patents Act 1977, Section 12 of the Registered Designs Act 1949 or Sections 240 – 243 of the Copyright, Designs and Patents Act 1988.

## ENDING THE CONTRACT

- 1.48 The Contract takes effect on the Start Date and ends on the earlier of the Expiry Date or termination of the Contract, or earlier if required by Law.
- 1.49 The Buyer can extend the Contract where set out in the Order Form in accordance with the terms in the Order Form.
- 1.50 Ending the Contract without a reason**
- 1.50.1 The Buyer has the right to terminate the Contract at any time without reason or liability by giving the Supplier not less than 90 days' written notice, and if it's terminated clause 1.53.2 applies.

## 1.51 When the Buyer can end the Contract

- 1.51.1 If any of the following events happen, the Buyer has the right to immediately terminate its Contract by issuing a termination notice in writing to the Supplier and the consequences of termination in Clause 1.52.1 shall apply:
- 1.51.1.1 there's a Supplier Insolvency Event;
  - 1.51.1.2 the Supplier is in Material Breach of the Contract;
  - 1.51.1.3 there's a change of control (within the meaning of section 450 of the Corporation Tax Act 2010) of the Supplier which isn't pre-approved by the Buyer in writing;
  - 1.51.1.4 the Buyer discovers that the Supplier was in one of the situations in 57 (1) or 57(2) of the Regulations at the time the Contract was awarded;
  - 1.51.1.5 the Supplier or its affiliates embarrass or bring the Buyer into disrepute or diminish the public trust in them; or



1.51.1.6 the Supplier fails to comply with its legal obligations in the fields of environmental, social, equality or employment Law when providing the Deliverables.

1.51.2 If any of the events in 73(1) (a) or (b) of the Regulations happen, the Buyer has the right to immediately terminate the Contract and clauses 1.52.1.2 to 1.52.1.7 apply.

## **1.52 What happens if the Contract ends**

1.52.1 Where the Buyer terminates the Contract under clause 1.47, 1.51, 1.25.2, 1.117.2, or Paragraph 0 of Part B Joint Controller Agreement (*Not Used*) of Annex 1 – Processing Personal Data (if used), all of the following apply:

1.52.1.1 the Supplier is responsible for the Buyer's reasonable costs of procuring replacement Deliverables for the rest of the term of the Contract;

1.52.1.2 the Buyer's payment obligations under the terminated Contract stop immediately;

1.52.1.3 accumulated rights of the Parties are not affected;

1.52.1.4 the Supplier must promptly delete or return the Government Data except where required to retain copies by Law;

1.52.1.5 the Supplier must promptly return any of the Buyer's property provided under the Contract;

1.52.1.6 the Supplier must, at no cost to the Buyer, give all reasonable assistance to the Buyer and any incoming supplier and co-operate fully in the handover and re-procurement; and

1.52.1.7 the Supplier must repay to the Buyer all the Charges that it has been paid in advance for Deliverables that it has not provided as at the date of termination or expiry.

1.52.2 The following clauses survive the expiry or termination of the Contract: 0, 1.7.9, 0, 0, 1.30, 0, 1.52, 0, 0, 0, 0, 0, 0, 1.124.2, 0 and 0 and any clauses which are expressly or by implication intended to continue.

## **1.53 When the Supplier can end the Contract and what happens when the contract ends (Buyer and Supplier termination)**

1.53.1 The Supplier can issue a reminder notice if the Buyer does not pay an undisputed invoice on time. The Supplier can terminate the Contract if the Buyer fails to pay an undisputed invoiced sum due and worth over 10% of the total Contract value or £1,000, whichever is the lower, within 30 days of the date of the reminder notice.

1.53.2 Where the Buyer terminates the Contract in accordance with clause 1.50 or the Supplier terminates the Contract under clause 1.53 or 1.99:

1.53.2.1 the Buyer must promptly pay all outstanding charges incurred by the Supplier;

- 1.53.2.2 the Buyer must pay the Supplier reasonable committed and unavoidable losses as long as the Supplier provides a fully itemised and costed schedule with evidence - the maximum value of this payment is limited to the total sum payable to the Supplier if the Contract had not been terminated; and
- 1.53.2.3 clauses 1.52.1.2 to 1.52.1.7 apply.
- 1.53.3 The Supplier also has the right to terminate the Contract in accordance with Clauses 1.92 and 1.99.

#### **1.54 Partially ending and suspending the Contract**

- 1.54.1 Where the Buyer has the right to terminate the Contract it can terminate or suspend (for any period), all or part of it. If the Buyer suspends the Contract it can provide the Deliverables itself or buy them from a third party.
- 1.54.2 The Buyer can only partially terminate or suspend the Contract if the remaining parts of it can still be used to effectively deliver the intended purpose.
- 1.54.3 The Parties must agree (in accordance with clause 0) any necessary variation required by clause 1.54, but the Supplier may not either:
  - 1.54.3.1 reject the variation; or
  - 1.54.3.2 increase the Charges, except where the right to partial termination is under clause 1.50.
- 1.54.4 The Buyer can still use other rights available, or subsequently available to it if it acts on its rights under clause 1.54.

#### **HOW MUCH YOU CAN BE HELD RESPONSIBLE FOR**

- 1.55 Each Party's total aggregate liability under or in connection with the Contract (whether in tort, contract or otherwise) is no more than 125% of the Charges paid or payable to the Supplier.
- 1.56 No Party is liable to the other for:
  - 1.56.1 any indirect losses; and/or
  - 1.56.2 loss of profits, turnover, savings, business opportunities or damage to goodwill (in each case whether direct or indirect).
- 1.57 In spite of clause 1.55, neither Party limits or excludes any of the following:
  - 1.57.1 its liability for death or personal injury caused by its negligence, or that of its employees, agents or Subcontractors;
  - 1.57.2 its liability for bribery or fraud or fraudulent misrepresentation by it or its employees; or
  - 1.57.3 any liability that cannot be excluded or limited by Law.
- 1.58 In spite of clause 1.55, the Supplier does not limit or exclude its liability for any indemnity given under clauses 1.30, 1.36.2, 1.44, or 1.124.2.
- 1.59 In spite of clause 1.55, the Buyer does not limit or exclude its liability for any indemnity given under clause 1.31.

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- 1.60 Notwithstanding clause 1.55, but subject to clauses 1.55 and 1.57, the Supplier's total aggregate liability under clause 1.72.5 shall not exceed the Data Protection Liability Cap.
- 1.61 Each Party must use all reasonable endeavours to mitigate any loss or damage which it suffers under or in connection with the Contract, including any indemnities.
- 1.62 If more than one Supplier is party to the Contract, each Supplier Party is fully responsible for both their own liabilities and the liabilities of the other Suppliers.

## OBEYING THE LAW

- 1.63 The Supplier, in connection with provision of the Deliverables:
  - 1.63.1 is expected to meet and have its Subcontractors meet the standards set out in the Supplier Code of Conduct:  
[https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/1163536/Supplier\\_Code\\_of\\_Conduct\\_v3.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1163536/Supplier_Code_of_Conduct_v3.pdf)) as such Code of Conduct may be updated from time to time, and such other sustainability requirements as set out in the Order Form. The Buyer also expects to meet this Code of Conduct;
  - 1.63.2 must comply with the provisions of the Official Secrets Acts 1911 to 1989 and section 182 of the Finance Act 1989;
  - 1.63.3 must support the Buyer in fulfilling its Public Sector Equality duty under section 149 of the Equality Act 2010;
  - 1.63.4 must comply with the model contract terms contained in (a) to (m) of Annex C of the guidance to [PPN 02/23 \(Tackling Modern Slavery in Government Supply Chains\)](#),<sup>1</sup> as such clauses may be amended or updated from time to time; and
  - 1.63.5 meet the applicable Government Buying Standards applicable to Deliverables which can be found online at: <https://www.gov.uk/government/collections/sustainable-procurement-the-government-buying-standards-gbs>, as updated from time to time.
- 1.64 The Supplier indemnifies the Buyer against any costs resulting from any default by the Supplier relating to any applicable Law to do with the Contract.
- 1.65 The Supplier must appoint a compliance officer who must be responsible for ensuring that the Supplier complies with Law, clause 1.63 and clauses 0 to 0.

## DATA PROTECTION AND SECURITY

- 1.66 The Supplier must not remove any ownership or security notices in or relating to the Government Data.
- 1.67 The Supplier must make accessible back-ups of all Government Data, stored in an agreed off-site location and send the Buyer copies via secure encrypted method upon reasonable request.

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<sup>1</sup> <https://www.gov.uk/government/publications/ppn-0223-tackling-modern-slavery-in-government-supply-chains>

- 1.68 The Supplier must ensure that any Supplier, Subcontractor, or Subprocessor system holding any Government Data, including back-up data, is a secure system that complies with the security requirements specified in the Order Form or otherwise in writing by the Buyer (where any such requirements have been provided).
- 1.69 If at any time the Supplier suspects or has reason to believe that the Government Data is corrupted, lost or sufficiently degraded, then the Supplier must immediately notify the Buyer and suggest remedial action.
- 1.70 If the Government Data is corrupted, lost or sufficiently degraded so as to be unusable the Buyer may either or both:
  - 1.70.1 tell the Supplier to restore or get restored Government Data as soon as practical but no later than 5 Working Days from the date that the Buyer receives notice, or the Supplier finds out about the issue, whichever is earlier; and/or
  - 1.70.2 restore the Government Data itself or using a third party.
- 1.71 The Supplier must pay each Party's reasonable costs of complying with clause 1.70 unless the Buyer is at fault.
- 1.72 The Supplier:
  - 1.72.1 must provide the Buyer with all Government Data in an agreed format (provided it is secure and readable) within 10 Working Days of a written request;
  - 1.72.2 must have documented processes to guarantee prompt availability of Government Data if the Supplier stops trading;
  - 1.72.3 must securely destroy all storage media that has held Government Data at the end of life of that media using Good Industry Practice, other than in relation to Government Data which is owned or licenced by the Supplier or in respect of which the Parties are Independent Controllers or Joint Controllers;
  - 1.72.4 securely erase all Government Data and any copies it holds when asked to do so by the Buyer unless required by Law to retain it, other than in relation to Government Data which is owned or licenced by the Supplier or in respect of which the Parties are Independent Controllers or Joint Controllers; and
  - 1.72.5 indemnifies the Buyer against any and all losses incurred if the Supplier breaches clause 0 or any Data Protection Legislation.
- 1.73 The Parties acknowledge that for the purposes of the Data Protection Legislation, the nature of the activity carried out by each of them in relation to their respective obligations under the Contract dictates the status of each party under the DPA 2018. A Party may act as:
  - 1.73.1 "Controller" in respect of the other Party who is "Processor";
  - 1.73.2 "Processor" in respect of the other Party who is "Controller";
  - 1.73.3 "Joint Controller" with the other Party;
  - 1.73.4 "Independent Controller" of the Personal Data where the other Party is also "Controller",

in respect of certain Personal Data under the Contract and shall specify in Part A Authorised Processing Template of Annex 1 – Processing Personal Data which scenario they think shall apply in each situation.

#### **1.74 Where one Party is Controller and the other Party its Processor**

- 1.74.1 Where a Party is a Processor, the only processing that the Processor is authorised to do is listed in Part A Authorised Processing Template of Annex 1 – Processing Personal Data by the Controller and may not be determined by the Processor. The term “processing” and any associated terms are to be read in accordance with Article 4 of the UK GDPR and EU GDPR (as applicable).
- 1.74.2 The Processor must notify the Controller immediately if it thinks the Controller's instructions breach the Data Protection Legislation.
- 1.74.3 The Processor must give all reasonable assistance to the Controller in the preparation of any Data Protection Impact Assessment before starting any processing, which may include, at the discretion of the Controller:
  - 1.74.3.1 a systematic description of the expected processing and its purpose;
  - 1.74.3.2 the necessity and proportionality of the processing operations;
  - 1.74.3.3 the risks to the rights and freedoms of Data Subjects; and
  - 1.74.3.4 the intended measures to address the risks, including safeguards, security measures and mechanisms to protect Personal Data.
- 1.74.4 The Processor must, in relation to any Personal Data processed under this Contract:
  - 1.74.4.1 process that Personal Data only in accordance with Part A Authorised Processing Template of Annex 1 – Processing Personal Data unless the Processor is required to do otherwise by Law. If lawful to notify the Controller, the Processor must promptly notify the Controller if the Processor is otherwise required to process Personal Data by Law before processing it.
  - 1.74.4.2 put in place appropriate Protective Measures to protect against a Data Loss Event which must be approved by the Controller.
  - 1.74.4.3 Ensure that:
    - (a) the Processor Personnel do not process Personal Data except in accordance with this Contract (and in particular Part A Authorised Processing Template of Annex 1 – Processing Personal Data);
    - (b) it uses best endeavours to ensure the reliability and integrity of any Processor Personnel who have access to the Personal Data and ensure that they:
      - (i) are aware of and comply with the Processor's duties under this clause 0;
      - (ii) are subject to appropriate confidentiality undertakings with the Processor or any Subprocessor;

- (iii) are informed of the confidential nature of the Personal Data and do not provide any of the Personal Data to any third party unless directed in writing to do so by the Controller or as otherwise allowed by the Contract; and
  - (iv) have undergone adequate training in the use, care, protection and handling of Personal Data.
- (c) the Processor must not transfer Personal Data outside of the UK and/or the EEA unless the prior written consent of the Controller has been obtained and the following conditions are fulfilled:
- (d) the transfer is in accordance with Article 45 of the UK GDPR (or section 74A of DPA 2018) and/or the transfer is in accordance with Article 45 of the EU GDPR (where applicable); or
- (e) the Controller or the Processor has provided appropriate safeguards in relation to the transfer (whether in accordance with UK GDPR Article 46 or section 75 of the DPA 2018) and/or the transfer is in accordance with Article 46 of the EU GDPR (where applicable) as determined by the Controller which could include relevant parties entering into:
  - (i) where the transfer is subject to UK GDPR:
    - (A) the International Data Transfer Agreement (the “**IDTA**”), as published by the Information Commissioner's Office from time to time under section 119A(1) of the DPA 2018 as well as any additional measures determined by the Controller;
    - (B) the European Commission's Standard Contractual Clauses per decision 2021/914/EU or such updated version of such Standard Contractual Clauses as are published by the European Commission from time to time (“**EU SCCs**”), together with the UK International Data Transfer Agreement Addendum to the EU SCCs (the “**Addendum**”) as published by the Information Commissioner's Office from time to time; and/or
  - (ii) where the transfer is subject to EU GDPR, the EU SCCs, as well as any additional measures determined by the Controller being implemented by the importing party;
- (f) the Data Subject has enforceable rights and effective legal remedies when transferred;
- (g) the Processor meets its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred; and

- (h) the Processor complies with the Controller's reasonable prior instructions about the processing of the Personal Data.
- 1.74.5 The Processor must at the written direction of the Controller, delete or return Personal Data (and any copies of it) to the Controller on termination of the Contract unless the Processor is required by Law to retain the Personal Data.
- 1.74.6 The Processor must notify the Controller immediately if it:
  - 1.74.6.1 receives a Data Subject Access Request (or purported Data Subject Access Request);
  - 1.74.6.2 receives a request to rectify, block or erase any Personal Data;
  - 1.74.6.3 receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;
  - 1.74.6.4 receives any communication from the Information Commissioner or any other regulatory authority in connection with Personal Data processed under this Contract;
  - 1.74.6.5 receives a request from any third Party for disclosure of Personal Data where compliance with the request is required or claims to be required by Law; and
  - 1.74.6.6 becomes aware of a Data Loss Event.
- 1.74.7 Any requirement to notify under clause 1.74.6 includes the provision of further information to the Controller in stages as details become available.
- 1.74.8 The Processor must promptly provide the Controller with full assistance in relation to any Party's obligations under Data Protection Legislation and any complaint, communication or request made under clause 1.74.6. This includes giving the Controller:
  - 1.74.8.1 full details and copies of the complaint, communication or request;
  - 1.74.8.2 reasonably requested assistance so that it can comply with a Data Subject Access Request within the relevant timescales in the Data Protection Legislation;
  - 1.74.8.3 any Personal Data it holds in relation to a Data Subject on request;
  - 1.74.8.4 assistance that it requests following any Data Loss Event; and
  - 1.74.8.5 assistance that it requests relating to a consultation with, or request from, the Information Commissioner's Office or any other regulatory authority.
- 1.74.9 The Processor must maintain full, accurate records and information to show it complies with this clause 0. This requirement does not apply where the Processor employs fewer than 250 staff, unless either the Controller determines that the processing:
  - 1.74.9.1 is not occasional;

- 1.74.9.2 includes special categories of data as referred to in Article 9(1) of the UK GDPR or Personal Data relating to criminal convictions and offences referred to in Article 10 of the UK GDPR; or
- 1.74.9.3 is likely to result in a risk to the rights and freedoms of Data Subjects.
- 1.74.10 The Parties shall designate a Data Protection Officer if required by the Data Protection Legislation.
- 1.74.11 Before allowing any Subprocessor to process any Personal Data, the Processor must:
  - 1.74.11.1 notify the Controller in writing of the intended Subprocessor and processing;
  - 1.74.11.2 obtain the written consent of the Controller;
  - 1.74.11.3 enter into a written contract with the Subprocessor so that this clause 0 applies to the Subprocessor; and
  - 1.74.11.4 provide the Controller with any information about the Subprocessor that the Controller reasonably requires.
- 1.74.12 The Processor remains fully liable for all acts or omissions of any Subprocessor.
- 1.74.13 The Parties agree to take account of any guidance issued by the Information Commissioner's Office or any other regulatory authority.

#### **1.75 Joint Controllers of Personal Data**

- 1.75.1 In the event that the Parties are Joint Controllers in respect of Personal Data under the Contract, the Parties shall implement paragraphs that are necessary to comply with UK GDPR Article 26 based on the terms set out in Part B Joint Controller Agreement (*Not Used*) of Annex 1 – Processing Personal Data.

#### **1.76 Independent Controllers of Personal Data**

- 1.76.1 In the event that the Parties are Independent Controllers in respect of Personal Data under the Contract, the terms set out in Part C Independent Controllers of Annex 1 – Processing Personal Data shall apply to this Contract.

### **WHAT YOU MUST KEEP CONFIDENTIAL**

- 1.77 Each Party must:
  - 1.77.1 keep all Confidential Information it receives confidential and secure;
  - 1.77.2 not disclose, use or exploit the disclosing Party's Confidential Information without the disclosing Party's prior written consent, except for the purposes anticipated under the Contract; and
  - 1.77.3 immediately notify the disclosing Party if it suspects unauthorised access, copying, use or disclosure of the Confidential Information.
- 1.78 In spite of clause 1.77, a Party may disclose Confidential Information which it receives from the disclosing Party in any of the following instances:



- 1.78.1 where disclosure is required by applicable Law if the recipient Party notifies the disclosing Party of the full circumstances, the affected Confidential Information and extent of the disclosure;
  - 1.78.2 if the recipient Party already had the information without obligation of confidentiality before it was disclosed by the disclosing Party;
  - 1.78.3 if the information was given to it by a third party without obligation of confidentiality;
  - 1.78.4 if the information was in the public domain at the time of the disclosure;
  - 1.78.5 if the information was independently developed without access to the disclosing Party's Confidential Information;
  - 1.78.6 on a confidential basis, to its auditors or for the purposes of regulatory requirements;
  - 1.78.7 on a confidential basis, to its professional advisers on a need-to-know basis; and
  - 1.78.8 to the Serious Fraud Office where the recipient Party has reasonable grounds to believe that the disclosing Party is involved in activity that may be a criminal offence under the Bribery Act 2010.
- 1.79 The Supplier may disclose Confidential Information on a confidential basis to Supplier Staff on a need-to-know basis to allow the Supplier to meet its obligations under the Contract. The Supplier shall remain responsible at all times for compliance with the confidentiality obligations set out in this Contract by the persons to whom disclosure has been made.
- 1.80 The Buyer may disclose Confidential Information in any of the following cases:
- 1.80.1 on a confidential basis to the employees, agents, consultants and contractors of the Buyer;
  - 1.80.2 on a confidential basis to any Crown Body, any successor body to a Crown Body or any company that the Buyer transfers or proposes to transfer all or any part of its business to;
  - 1.80.3 if the Buyer (acting reasonably) considers disclosure necessary or appropriate to carry out its public functions;
  - 1.80.4 where requested by Parliament; and
  - 1.80.5 under clauses 1.15 and 0.
- 1.81 For the purposes of clauses 1.78 to 1.80 references to disclosure on a confidential basis means disclosure under a confidentiality agreement or arrangement including terms as strict as those required in clause 0.
- 1.82 Transparency Information, and Information which is exempt from disclosure by clause 0 is not Confidential Information.
- 1.83 The Supplier must not make any press announcement or publicise the Contract or any part of it in any way, without the prior written consent of the Buyer and must take all reasonable endeavours to ensure that Supplier Staff do not either.

## WHEN YOU CAN SHARE INFORMATION

- 1.84 The Supplier must tell the Buyer within 48 hours if it receives a Request For Information.
- 1.85 In accordance with a reasonable timetable and in any event within 5 Working Days of a request from the Buyer, the Supplier must give the Buyer full co-operation and information needed so the Buyer can:
- 1.85.1 comply with any Request For Information
  - 1.85.2 if the Contract has a value over the relevant threshold in Part 2 of the Regulations, comply with any of its obligations in relation to publishing Transparency Information.
- 1.86 To the extent that it is allowed and practical to do so, the Buyer will use reasonable endeavours to notify the Supplier of a Request For Information and may talk to the Supplier to help it decide whether to publish information under clause 0. However, the extent, content and format of the disclosure is the Buyer's decision in its absolute discretion.

## INSURANCE

- 1.87 The Supplier shall ensure it has adequate insurance cover for this Contract including a minimum level of £1,000,000 for Public Liability insurance and a minimum level of £5,000,000 for Employers Liability insurance.

## INVALID PARTS OF THE CONTRACT

- 1.88 If any provision or part-provision of this Contract is or becomes invalid, illegal or unenforceable for any reason, such provision or part-provision shall be deemed deleted, but that shall not affect the validity and enforceability of the rest of this Contract. The provisions incorporated into the Contract are the entire agreement between the Parties. The Contract replaces all previous statements, or agreements whether written or oral. No other provisions apply.

## OTHER PEOPLE'S RIGHTS IN THE CONTRACT

- 1.89 No third parties may use the Contracts (Rights of Third Parties) Act ("CRTPA") to enforce any term of the Contract unless stated (referring to CRTPA) in the Contract. This does not affect third party rights and remedies that exist independently from CRTPA.

## CIRCUMSTANCES BEYOND YOUR CONTROL

- 1.90 Any Party affected by a Force Majeure Event is excused from performing its obligations under the Contract while the inability to perform continues, if it both:
- 1.90.1 provides written notice to the other Party; and
  - 1.90.2 uses all reasonable measures practical to reduce the impact of the Force Majeure Event.
- 1.91 Any failure or delay by the Supplier to perform its obligations under the Contract that is due to a failure or delay by an agent, Subcontractor and/or Supplier Staff will only be considered a Force Majeure Event if that third party is itself prevented from complying with an obligation to the Supplier due to a Force Majeure Event.

1.92 Either Party can partially or fully terminate the Contract if the provision of the Deliverables is materially affected by a Force Majeure Event which lasts for 90 days continuously and the consequences of termination in Clauses 1.52.1.2 to 1.52.1.7 shall apply.

1.93 Where a Party terminates under clause 1.92:

1.93.1 each Party must cover its own losses; and

1.93.2 clauses 1.52.1.2 to 1.52.1.7 apply.

## **RELATIONSHIPS CREATED BY THE CONTRACT**

1.94 The Contract does not create a partnership, joint venture or employment relationship. The Supplier must represent themselves accordingly and ensure others do so.

## **GIVING UP CONTRACT RIGHTS**

1.95 A partial or full waiver or relaxation of the terms of the Contract is only valid if it is stated to be a waiver in writing to the other Party.

## **TRANSFERRING RESPONSIBILITIES**

1.96 The Supplier cannot assign, novate or in any other way dispose of the Contract or any part of it without the Buyer's written consent.

1.97 The Buyer can assign, novate or transfer its Contract or any part of it to any Crown Body, public or private sector body which performs the functions of the Buyer.

1.98 When the Buyer uses its rights under clause 1.97 the Supplier must enter into a novation agreement in the form that the Buyer specifies.

1.99 The Supplier can terminate the Contract novated under clause 1.97 to a private sector body that is experiencing an Insolvency Event.

1.100 The Supplier remains responsible for all acts and omissions of the Supplier Staff as if they were its own.

## **SUPPLY CHAIN**

1.101 The Supplier cannot sub-contract the Contract or any part of it without the Buyer's prior written consent. The Supplier shall provide the Buyer with the name of any Subcontractor the Supplier proposes to engage for the purposes of the Contract. The decision of the Buyer to consent or not will not be unreasonably withheld or delayed. If the Buyer does not communicate a decision to the Supplier within 10 Working Days of the request for consent then its consent will be deemed to have been given. The Buyer may reasonably withhold its consent to the appointment of a Subcontractor if it considers that:

1.101.1 the appointment of a proposed Subcontractor may prejudice the provision of the Deliverables or may be contrary to its interests;

1.101.2 the proposed Subcontractor is unreliable and/or has not provided reliable goods and or reasonable services to its other customers; and/or

- 1.101.3 the proposed Subcontractor employs unfit persons.
- 1.102 If the Buyer asks the Supplier for details about Subcontractors, the Supplier must provide details of all such Subcontractors at all levels of the supply chain including:
  - 1.102.1 their name;
  - 1.102.2 the scope of their appointment; and
  - 1.102.3 the duration of their appointment.
- 1.103 The Supplier must exercise due skill and care when it selects and appoints Subcontractors.
- 1.104 For Sub-Contracts in the Supplier's supply chain entered into wholly or substantially for the purpose of performing or contributing to the performance of the whole or any part of this Contract:
  - 1.104.1 where such Sub-Contracts are entered into after the Start Date, the Supplier will ensure that they all contain provisions that; or
  - 1.104.2 where such Sub-Contracts are entered into before the Start Date, the Supplier will take all reasonable endeavours to ensure that they all contain provisions that:
    - 1.104.2.1 allow the Supplier to terminate the Sub-Contract if the Subcontractor fails to comply with its obligations in respect of environmental, social, equality or employment Law;
    - 1.104.2.2 require the Supplier to pay all Subcontractors in full, within 30 days of receiving a valid, undisputed invoice; and
    - 1.104.2.3 allow the Buyer to publish the details of the late payment or non-payment if this 30-day limit is exceeded.
- 1.105 At the Buyer's request, the Supplier must terminate any Sub-Contracts in any of the following events:
  - 1.105.1 there is a change of control within the meaning of Section 450 of the Corporation Tax Act 2010 of a Subcontractor which isn't pre-approved by the Buyer in writing;
  - 1.105.2 the acts or omissions of the Subcontractor have caused or materially contributed to a right of termination under Clause 1.51;
  - 1.105.3 a Subcontractor or its Affiliates embarrasses or brings into disrepute or diminishes the public trust in the Buyer;
  - 1.105.4 the Subcontractor fails to comply with its obligations in respect of environmental, social, equality or employment Law; and/or
  - 1.105.5 the Buyer has found grounds to exclude the Subcontractor in accordance with Regulation 57 of the Regulations.
- 1.106 The Supplier is responsible for all acts and omissions of its Subcontractors and those employed or engaged by them as if they were its own.

## **CHANGING THE CONTRACT**

- 1.107 Either Party can request a variation to the Contract which is only effective if agreed in writing and signed by both Parties. The Buyer is not required to accept a variation request made by the Supplier.

## **HOW TO COMMUNICATE ABOUT THE CONTRACT**

- 1.108 All notices under the Contract must be in writing and are considered effective on the Working Day of delivery as long as they're delivered before 5:00pm on a Working Day. Otherwise the notice is effective on the next Working Day. An email is effective at 9am on the first Working Day after sending unless an error message is received.
- 1.109 Notices to the Buyer or Supplier must be sent to their address or email address in the Order Form.
- 1.110 This clause does not apply to the service of legal proceedings or any documents in any legal action, arbitration or dispute resolution.

## **DEALING WITH CLAIMS**

- 1.111 If a Beneficiary becomes aware of any Claim, then it must notify the Indemnifier as soon as reasonably practical.
- 1.112 at the Indemnifier's cost the Beneficiary must:
- 1.112.1 allow the Indemnifier to conduct all negotiations and proceedings to do with a Claim;
  - 1.112.2 give the Indemnifier reasonable assistance with the Claim if requested; and
  - 1.112.3 not make admissions about the Claim without the prior written consent of the Indemnifier which cannot be unreasonably withheld or delayed.
- 1.113 The Beneficiary must:
- 1.113.1 consider and defend the Claim diligently and in a way that does not damage the Beneficiary's reputation; and
  - 1.113.2 not settle or compromise any Claim without the Beneficiary's prior written consent which it must not unreasonably withhold or delay.

## **PREVENTING FRAUD, BRIBERY AND CORRUPTION**

- 1.114 The Supplier shall not:
- 1.114.1 commit any criminal offence referred to in 57(1) and 57(2) of the Regulations; or
  - 1.114.2 offer, give, or agree to give anything, to any person (whether working for or engaged by the Buyer or any other public body) an inducement or reward for doing, refraining from doing, or for having done or refrained from doing, any act in relation to the obtaining or execution of the Contract or any other public function or for showing or refraining from showing favour or disfavour to any person in relation to the Contract or any other public function.

- 1.115 The Supplier shall take all reasonable endeavours (including creating, maintaining and enforcing adequate policies, procedures and records), in accordance with Good Industry Practice, to prevent any matters referred to in clause 1.114 and any fraud by the Supplier Staff and the Supplier (including its shareholders, members and directors) in connection with the Contract and shall notify the Buyer immediately if it has reason to suspect that any such matters have occurred or is occurring or is likely to occur.
- 1.116 If the Supplier notifies the Buyer as required by clause 1.115, the Supplier must respond promptly to their further enquiries, co-operate with any investigation and allow the Audit of any books, records and relevant documentation.
- 1.117 If the Supplier or the Supplier Staff engages in conduct prohibited by clause 1.114 or commits fraud in relation to the Contract or any other contract with the Crown (including the Buyer) the Buyer may:
- 1.117.1 require the Supplier to remove any Supplier Staff from providing the Deliverables if their acts or omissions have caused the default; and
  - 1.117.2 immediately terminate the Contract and the consequences of termination in Clause 1.52.1 shall apply.

## **EQUALITY, DIVERSITY AND HUMAN RIGHTS**

- 1.118 The Supplier must follow all applicable employment and equality Law when they perform their obligations under the Contract, including:
- 1.118.1 protections against discrimination on the grounds of race, sex, gender reassignment, religion or belief, disability, sexual orientation, pregnancy, maternity, age or otherwise; and
  - 1.118.2 any other requirements and instructions which the Buyer reasonably imposes related to equality Law.
- 1.119 The Supplier must use all reasonable endeavours, and inform the Buyer of the steps taken, to prevent anything that is considered to be unlawful discrimination by any court or tribunal, or the Equality and Human Rights Commission (or any successor organisation) when working on the Contract.

## **HEALTH AND SAFETY**

- 1.120 The Supplier must perform its obligations meeting the requirements of:
- 1.120.1 all applicable Law regarding health and safety; and
  - 1.120.2 the Buyer's current health and safety policy while at the Buyer's premises, as provided to the Supplier.
- 1.121 The Supplier and the Buyer must as soon as possible notify the other of any health and safety incidents or material hazards they're aware of at the Buyer premises that relate to the performance of the Contract.

**ENVIRONMENT AND SUSTAINABILITY**

- 1.122 In performing its obligations under the Contract, the Supplier shall, to the reasonable satisfaction of the Buyer:
- 1.122.1 meet, in all material respects, the requirements of all applicable Laws regarding the environment; and
  - 1.122.2 comply with its obligations under the Buyer's current environmental policy, which the Buyer must provide, and make Supplier Staff aware of such policy.

**TAX**

- 1.123 The Supplier must not breach any tax or social security obligations and must enter into a binding agreement to pay any late contributions due, including where applicable, any interest or any fines. The Buyer cannot terminate the Contract where the Supplier has not paid a minor tax or social security contribution.
- 1.124 Where the Supplier or any Supplier Staff are liable to be taxed or to pay National Insurance contributions in the UK relating to payment received under the Contract, the Supplier must both:
- 1.124.1 comply with the Income Tax (Earnings and Pensions) Act 2003 and all other statutes and regulations relating to income tax, the Social Security Contributions and Benefits Act 1992 (including IR35) and National Insurance contributions; and
  - 1.124.2 indemnify the Buyer against any Income Tax, National Insurance and social security contributions and any other liability, deduction, contribution, assessment or claim arising from or made during or after the Term in connection with the provision of the Deliverables by the Supplier or any of the Supplier Staff.
- 1.125 If any of the Supplier Staff are Workers who receive payment relating to the Deliverables, then the Supplier must ensure that its contract with the Worker contains requirements that:
- 1.125.1 the Buyer may, at any time during the term of the Contract, request that the Worker provides information which demonstrates they comply with clause 1.124, or why those requirements do not apply, the Buyer can specify the information the Worker must provide and the deadline for responding;
  - 1.125.2 the Worker's contract may be terminated at the Buyer's request if the Worker fails to provide the information requested by the Buyer within the time specified by the Buyer;
  - 1.125.3 the Worker's contract may be terminated at the Buyer's request if the Worker provides information which the Buyer considers isn't good enough to demonstrate how it complies with clause 1.124 or confirms that the Worker is not complying with those requirements; and
  - 1.125.4 the Buyer may supply any information they receive from the Worker to HMRC for revenue collection and management.

## **CONFLICT OF INTEREST**

- 1.126 The Supplier must take action to ensure that neither the Supplier nor the Supplier Staff are placed in the position of an actual, potential or perceived Conflict of Interest.
- 1.127 The Supplier must promptly notify and provide details to the Buyer if an actual, potential or perceived Conflict of Interest happens or is expected to happen.
- 1.128 The Buyer will consider whether there are any appropriate measures that can be put in place to remedy an actual, perceived or potential Conflict of Interest. If, in the reasonable opinion of the Buyer, such measures do not or will not resolve an actual or potential conflict of interest, the Buyer may terminate the Contract immediately by giving notice in writing to the Supplier where there is or may be an actual or potential Conflict of Interest and Clauses 1.52.1.2 to 1.52.1.7 shall apply.

## **REPORTING A BREACH OF THE CONTRACT**

- 1.129 As soon as it is aware of it the Supplier and Supplier Staff must report to the Buyer any actual or suspected breach of Law, clause 1.63, or clauses 0 to 0.
- 1.130 The Supplier must not retaliate against any of the Supplier Staff who in good faith reports a breach listed in clause 1.129 to the Buyer or a Prescribed Person.

## **FURTHER ASSURANCES**

- 1.131 Each Party will, at the request and cost of the other Party, do all things which may be reasonably necessary to give effect to the meaning of this Contract.

## **RESOLVING DISPUTES**

- 1.132 If there is a dispute between the Parties, their senior representatives who have authority to settle the dispute will, within 28 days of a written request from the other Party, meet in good faith to resolve the dispute by commercial negotiation.
- 1.133 If the dispute is not resolved at that meeting, the Parties can attempt to settle it by mediation using the Centre for Effective Dispute Resolution (“**CEDR**”) Model Mediation Procedure current at the time of the dispute. If the Parties cannot agree on a mediator, the mediator will be nominated by CEDR. If either Party does not wish to use, or continue to use mediation, or mediation does not resolve the dispute, the dispute must be resolved using clauses 1.134 to 1.136.
- 1.134 Unless the Buyer refers the dispute to arbitration using clause 1.135, the Parties irrevocably agree that the courts of England and Wales have exclusive jurisdiction. :
- 1.135 The Supplier agrees that the Buyer has the exclusive right to refer any dispute to be finally resolved by arbitration under the London Court of International Arbitration Rules current at the time of the dispute. There will be only one arbitrator. The seat or legal place of the arbitration will be London and the proceedings will be in English.



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- 1.136 The Buyer has the right to refer a dispute to arbitration even if the Supplier has started or has attempted to start court proceedings under clause 1.134, unless the Buyer has agreed to the court proceedings or participated in them. Even if court proceedings have started, the Parties must do everything necessary to ensure that the court proceedings are stayed in favour of any arbitration proceedings if they are started under clause 1.135.
- 1.137 The Supplier cannot suspend the performance of the Contract during any dispute.

#### **WHICH LAW APPLIES**

- 1.138 This Contract and any issues or disputes arising out of, or connected to it, are governed by English law.

**V. Annex 1 – Processing Personal Data****Part A Authorised Processing Template**

This Annex shall be completed by the Controller, who may take account of the view of the Processor, however the final decision as to the content of this Schedule shall be with the Controller at its absolute discretion.

The contact details of the Buyers Data Protection Officer are: [REDACTED]

The contact details of the Supplier's Data Protection Officer are: [REDACTED]

The Processor shall comply with any further written instructions with respect to processing by the Controller.

Any such further instructions shall be incorporated into this Annex.

Description of authorised processing	Details
Identity of Controller and Processor / Independent Controllers / Joint Controllers for each category of Personal Data	Buyer – Independent Controller Supplier – Independent Controller
Subject matter of the processing	The Buyer's staff (learners) that are undertaking the training provided under this contract.
Duration of the processing	The duration of processing will last until the contract end date.
Nature and purposes of the processing	To book the learners onto the course, train and assess the individuals. To understanding the individual learners' requirements and issue appropriate course materials and assessment outcomes.
Type of Personal Data being processed	Name, employer, home postal address, work email address, personal mobile number, views on subject matter, reasonable adjustments due to a disability, course assessment mark and views of course.
Categories of Data Subject	Staff (Buyer and Supplier).
Plan for return and destruction of the data once the processing is complete UNLESS requirement under law to preserve that type of data	The Parties agree to erase Personal Data from any computers, storage devices and storage media that are to be retained as soon as practicable after it has ceased to be necessary for them to retain such Personal Data under applicable Data Protection Legislation and their privacy policy (save to the extent (and for the limited period) that such information needs to be retained by the Party for statutory compliance purposes or as otherwise required by the Contract), and taking all

	further actions as may be necessary to ensure its compliance with Data Protection Legislation and its privacy policy.
Locations at which the Supplier and/or its Subcontractors process Personal Data under this Contract and International transfers and legal gateway	UK location only.
Protective Measures that the Supplier and, where applicable, its Subcontractors have implemented to protect Personal Data processed under this Contract against a breach of security (insofar as that breach of security relates to data) or a Data Loss Event	Taking into account the state of the art, the costs of implementation and the nature, scope, context and purposes of processing as well as the risk of varying likelihood and severity for the rights and freedoms of natural persons, each Party shall, with respect to its processing of Personal Data as Independent Controller, implement and maintain appropriate technical and organisational measures to ensure a level of security appropriate to that risk, including, as appropriate, the measures referred to in Article 32(1)(a), (b), (c) and (d) of the UK GDPR, and the measures shall, at a minimum, comply with the requirements of the Data Protection Legislation, including Article 32 of the UK GDPR.

## Part B Joint Controller Agreement (*Not Used*)

### ~~1 JOINT CONTROLLER STATUS AND ALLOCATION OF RESPONSIBILITIES~~

~~1.139 With respect to Personal Data for which the Parties are Joint Controllers, the Parties envisage that they shall each be a Controller in respect of that Personal Data in accordance with the terms of this Part B Joint Controller Agreement (*Not Used*) of Annex 1 – Processing Personal Data in replacement of Clauses 1.74 to 1.74.13 of the Conditions of this Contract. Accordingly, the Parties each undertake to comply with the applicable Data Protection Legislation in respect of their processing of such Personal Data as Controllers.~~

~~1.140 The Parties agree that the [Supplier/Buyer]:~~

- ~~1.140.1 is the exclusive point of contact for Data Subjects and is responsible for using best endeavours to comply with the UK GDPR regarding the exercise by Data Subjects of their rights under the UK GDPR;~~
- ~~1.140.2 shall direct Data Subjects to its Data Protection Officer or suitable alternative in connection with the exercise of their rights as Data Subjects and for any enquiries concerning their Personal Data or privacy;~~
- ~~1.140.3 is solely responsible for the Parties' compliance with all duties to provide information to Data Subjects under Articles 13 and 14 of the UK GDPR;~~

- 1.140.4 ~~is responsible for obtaining the informed consent of Data Subjects, in accordance with the UK GDPR, for processing in connection with the Deliverables where consent is the relevant legal basis for that processing; and~~
- 1.140.5 ~~shall make available to Data Subjects the essence of this Part B Joint Controller Agreement (Not Used) of Annex 1—Processing Personal Data (and notify them of any changes to it) concerning the allocation of responsibilities as Joint Controller and its role as exclusive point of contact, the Parties having used their best endeavours to agree the terms of that essence. This must be outlined in the [Supplier's/Buyer's] privacy policy (which must be readily available by hyperlink or otherwise on all of its public facing services and marketing).~~
- 1.141 ~~Notwithstanding the terms of paragraph 1.140 of this Part B Joint Controller Agreement (Not Used) of Annex 1—Processing Personal Data, the Parties acknowledge that a Data Subject has the right to exercise their legal rights under the Data Protection Legislation as against the relevant Party as Controller.~~

## UNDERTAKINGS OF BOTH PARTIES

- 1.142 ~~The Supplier and the Buyer each undertake that they shall:~~
- 1.142.1 ~~report to the other Party every [x] months on:~~
- 1.142.1.1 ~~the volume of Data Subject Access Requests (or purported Data Subject Access Requests) from Data Subjects (or third parties on their behalf);~~
  - 1.142.1.2 ~~the volume of requests from Data Subjects (or third parties on their behalf) to rectify, block or erase any Personal Data;~~
  - 1.142.1.3 ~~any other requests, complaints or communications from Data Subjects (or third parties on their behalf) relating to the other Party's obligations under applicable Data Protection Legislation;~~
  - 1.142.1.4 ~~any communications from the Information Commissioner or any other regulatory authority in connection with Personal Data; and~~
  - 1.142.1.5 ~~any requests from any third party for disclosure of Personal Data where compliance with such request is required or purported to be required by Law,~~
- ~~that it has received in relation to the subject matter of the Contract during that period;~~
- 1.142.2 ~~notify each other immediately if it receives any request, complaint or communication made as referred to in Paragraphs 1.142.1.1 to 1.142.1.5 of this Part B Joint Controller Agreement (Not Used) of Annex 1—Processing Personal Data;~~
- 1.142.3 ~~provide the other Party with full cooperation and assistance in relation to any request, complaint or communication made as referred to in Paragraphs 1.140 and 1.142.1.3 to 1.142.1.5 of this Part B Joint Controller Agreement (Not Used) of Annex 1—Processing Personal Data; to enable the other Party to comply with the relevant timescales set out in the Data Protection Legislation;~~

- ~~1.142.4 — not disclose or transfer the Personal Data to any third party unless necessary for the provision of the Deliverables and, for any disclosure or transfer of Personal Data to any third party, (save where such disclosure or transfer is specifically authorised under the Contract or is required by Law) that disclosure or transfer of Personal Data is otherwise considered to be lawful processing of that Personal Data in accordance with Article 6 of the UK GDPR or EU GDPR (as the context requires). For the avoidance of doubt, the third party to which Personal Data is transferred must be subject to equivalent obligations which are no less onerous than those set out in this of this of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data;~~
- ~~1.142.5 — request from the Data Subject only the minimum information necessary to provide the Deliverables and treat such extracted information as Confidential Information;~~
- ~~1.142.6 — ensure that at all times it has in place appropriate Protective Measures to guard against unauthorised or unlawful processing of the Personal Data and/or accidental loss, destruction or damage to the Personal Data and unauthorised or unlawful disclosure of or access to the Personal Data;~~
- ~~1.142.7 — use best endeavours to ensure the reliability and integrity of any Processor Personnel who have access to the Personal Data and ensure that Processor Personnel:~~
  - ~~1.142.7.1 — are aware of and comply with their duties under this of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data; and those in respect of Confidential Information~~
  - ~~1.142.7.2 — are informed of the confidential nature of the Personal Data, are subject to appropriate obligations of confidentiality and do not publish, disclose or divulge any of the Personal Data to any third party where that Party would not be permitted to do so;~~
  - ~~1.142.7.3 — have undergone adequate training in the use, care, protection and handling of personal data as required by the applicable Data Protection Legislation;~~
- ~~1.142.8 — ensure that it has the capability (whether technological or otherwise), to the extent required by Data Protection Legislation, to provide or correct or delete at the request of a Data Subject all the Personal Data relating to that Data Subject that the Supplier holds; and~~
- ~~1.142.9 — ensure that it notifies the other Party as soon as it becomes aware of a Data Loss Event;~~
- ~~1.142.10 — not transfer such Personal Data outside of the UK and/or the EEA unless the prior written consent of the non transferring Party has been obtained and the following conditions are fulfilled:~~
  - ~~1.142.10.1 — the transfer is in accordance with Article 45 of the UK GDPR or DPA 2018 Section 74A and/or the transfer is in accordance with Article 45 of the EU GDPR (where applicable); or~~

~~1.142.10.2 — the transferring Party has provided appropriate safeguards in relation to the transfer (whether in accordance with Article 46 of the UK GDPR or DPA 2018 Section 75 and/or the transfer is in accordance with Article 46 of the EU GDPR (where applicable)) as agreed with the non-transferring Party which could include the relevant parties entering into:~~

~~(a) — Where the transfer is subject to the UK GDPR:~~

~~(i) — The UK International Data Transfer Agreement (the “IDTA”), as published by the Information Commissioner’s office under section 119A(1) of the DPA 2018 from time to time; or~~

~~(ii) — the European Commission’s Standard Contractual Clauses per decision 2021/914/EU or such updated version of such Standard Contractual Clauses as are published by the European Commission from time to time (“EU SCCs”), together with the UK International Data Transfer Agreement Addendum to the EU SCCs (the “Addendum”) as published by the Information Commissioner’s Office from time to time and/or;~~

~~(b) — Where the transfer is subject to the EU GDPR, the EU SCCs, as well as any additional measures determined by the non-transferring Party being implemented by the importing Party;~~

~~1.142.10.3 — the Data Subject has enforceable rights and effective legal remedies;~~

~~1.142.10.4 — the transferring Party complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the non-transferring Party in meeting its obligations); and~~

~~1.142.10.5 — the transferring Party complies with any reasonable instructions notified to it in advance by the non-transferring Party with respect to the processing of the Personal Data;~~

~~1.142.11 — Each Joint Controller shall use its best endeavours to assist the other Controller to comply with any obligations under applicable Data Protection Legislation and shall not perform its obligations under this Annex in such a way as to cause the other Joint Controller to breach any of its obligations under applicable Data Protection Legislation to the extent it is aware, or ought reasonably to have been aware, that the same would be a breach of such obligations.~~

## **DATA PROTECTION BREACH**

~~1.143 — Without prejudice to Paragraph 1.144 of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data, each Party shall notify the other Party promptly and without undue delay, and in any event within 48 hours, upon becoming aware of any Data Loss Event or circumstances that are likely to give rise to a Data Loss Event, providing the other Party and its advisors with:~~

- ~~1.143.1 — sufficient information and in a timescale which allows the other Party to meet any obligations to report a Data Loss Event under the Data Protection Legislation;~~
- ~~1.143.2 — all reasonable assistance, including:~~
  - ~~1.143.2.1 — co-operation with the other Party and the Information Commissioner investigating the Data Loss Event and its cause, containing and recovering the compromised Personal Data and compliance with the applicable guidance;~~
  - ~~1.143.2.2 — co-operation with the other Party including using such best endeavours as are directed by the Buyer to assist in the investigation, mitigation and remediation of a Data Loss Event;~~
  - ~~1.143.2.3 — co-ordination with the other Party regarding the management of public relations and public statements relating to the Data Loss Event; and/or~~
  - ~~1.143.2.4 — providing the other Party and to the extent instructed by the other Party to do so, and/or the Information Commissioner investigating the Data Loss Event, with complete information relating to the Data Loss Event, including the information set out in Paragraph 1.144 of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data;.~~
- ~~1.144 — Each Party shall use best endeavours to restore, re-constitute and/or reconstruct any Personal Data where it has lost, damaged, destroyed, altered or corrupted as a result of a Data Loss Event which is the fault of that Party as if it was that Party's own data at its own cost with all possible speed and shall provide the other Party with all reasonable assistance in respect of any such Data Loss Event, including providing the other Party, as soon as possible and within 48 hours of the Data Loss Event relating to the Data Loss Event, in particular:~~
  - ~~1.144.1 — the nature of the Data Loss Event;~~
  - ~~1.144.2 — the nature of Personal Data affected;~~
  - ~~1.144.3 — the categories and number of Data Subjects concerned;~~
  - ~~1.144.4 — the name and contact details of the Party's Data Protection Officer or other relevant contact from whom more information may be obtained;~~
  - ~~1.144.5 — measures taken or proposed to be taken to address the Data Loss Event; and~~
  - ~~1.144.6 — a description of the likely consequences of the Data Loss Event.~~

## AUDIT

- ~~1.145 — The Supplier shall permit:~~
  - ~~1.145.1 — the Buyer, or a third party auditor acting under the Buyer's direction, to conduct, at the Buyer's cost, data privacy and security audits, assessments and inspections concerning the Supplier's data security and privacy procedures relating to Personal Data, its compliance with this of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data; and the Data Protection Legislation; and/or~~

~~1.145.2 — the Buyer, or a third party auditor acting under the Buyer's direction, access to premises at which the Personal Data is accessible or at which it is able to inspect any relevant records, including the record maintained under Article 30 UK GDPR by the Supplier so far as relevant to the Contract, and procedures, including premises under the control of any third party appointed by the Supplier to assist in the provision of the Deliverables.~~

~~1.146 — The Buyer may, in its sole discretion, require the Supplier to provide evidence of the Supplier's compliance with Paragraph 1.145 of this Part B Joint Controller Agreement (Not Used) of Annex 1 — Processing Personal Data in lieu of conducting such an audit, assessment or inspection.~~

## **IMPACT ASSESSMENTS**

~~1.147 — The Parties shall:~~

~~1.147.1 — provide all reasonable assistance to each other to prepare any Data Protection Impact Assessment as may be required (including provision of detailed information and assessments in relation to processing operations, risks and measures); and~~

~~1.147.2 — maintain full and complete records of all processing carried out in respect of the Personal Data in connection with the Contract, in accordance with the terms of Article 30 UK GDPR.~~

## **ICO GUIDANCE**

~~1.148 — The Parties agree to take account of any non-mandatory guidance issued by the Information Commissioner or any other regulatory authority. The Buyer may on not less than thirty (30) Working Days' notice to the Supplier amend the Contract to ensure that it complies with any guidance issued by the Information Commissioner and/or any relevant Crown Body.~~

## **LIABILITIES FOR DATA PROTECTION BREACH**

~~1.149 — If financial penalties are imposed by the Information Commissioner on either the Buyer or the Supplier for a Data Loss Event ("**Financial Penalties**") then the following shall occur:~~

~~1.149.1 — if in the view of the Information Commissioner, the Buyer is responsible for the Data Loss Event, in that it is caused as a result of the actions or inaction of the Buyer, its employees, agents, contractors (other than the Supplier) or systems and procedures controlled by the Buyer, then the Buyer shall be responsible for the payment of such Financial Penalties. In this case, the Buyer will conduct an internal audit and engage at its reasonable cost when necessary, an independent third party to conduct an audit of any such Data Loss Event. The Supplier shall provide to the Buyer and its third party investigators and auditors, on request and at the Supplier's reasonable cost, full cooperation and access to conduct a thorough audit of such Data Loss Event;~~



- 1.149.2 — ~~if in the view of the Information Commissioner, the Supplier is responsible for the Data Loss Event, in that it is not a Data Loss Event that the Buyer is responsible for, then the Supplier shall be responsible for the payment of these Financial Penalties. The Supplier will provide to the Buyer and its auditors, on request and at the Supplier's sole cost, full cooperation and access to conduct a thorough audit of such Data Loss Event; or~~
- 1.149.3 — ~~if no view as to responsibility is expressed by the Information Commissioner, then the Buyer and the Supplier shall work together to investigate the relevant Data Loss Event and allocate responsibility for any Financial Penalties as outlined above, or by agreement to split any Financial Penalties equally if no responsibility for the Data Loss Event can be apportioned. In the event that the Parties do not agree such apportionment then such Dispute shall be referred to the Dispute Resolution Procedure set out in clause 0 of the Conditions (Resolving disputes).~~
- 1.150 — ~~If either the Buyer or the Supplier is the defendant in a legal claim brought before a court of competent jurisdiction ("**Court**") by a third party in respect of a Data Loss Event, then unless the Parties otherwise agree, the Party that is determined by the final decision of the court to be responsible for the Data Loss Event shall be liable for the losses arising from such Data Loss Event. Where both Parties are liable, the liability will be apportioned between the Parties in accordance with the decision of the Court.~~
- 1.151 — ~~In respect of any losses, cost claims or expenses incurred by either Party as a result of a Data Loss Event (the "**Claim Losses**"):~~
- 1.151.1 — ~~if the Buyer is responsible for the relevant Data Loss Event, then the Buyer shall be responsible for the Claim Losses;~~
- 1.151.2 — ~~if the Supplier is responsible for the relevant Data Loss Event, then the Supplier shall be responsible for the Claim Losses; and~~
- 1.151.3 — ~~if responsibility for the relevant Data Loss Event is unclear, then the Buyer and the Supplier shall be responsible for the Claim Losses equally.~~
- 1.152 — ~~Nothing in either Paragraph 1.150 or Paragraph 1.151 of this Part B Joint Controller Agreement (*Not Used*) of Annex 1 — Processing Personal Data shall preclude the Buyer and the Supplier reaching any other agreement, including by way of compromise with a third party complainant or claimant, as to the apportionment of financial responsibility for any Claim Losses as a result of a Data Loss Event, having regard to all the circumstances of the Data Loss Event and the legal and financial obligations of the Buyer.~~

## TERMINATION

- 1.153 — ~~If the Supplier is in Material Breach under any of its obligations under this of this Part B Joint Controller Agreement (*Not Used*) of Annex 1 — Processing Personal Data;, the Buyer shall be entitled to terminate the Contract by issuing a termination notice to the Supplier in accordance with clause 0 of the Conditions (Ending the contract).~~

## **SUB-PROCESSING**

- ~~1.154 In respect of any processing of Personal Data performed by a third party on behalf of a Party, that Party shall:~~
- ~~1.154.1 carry out adequate due diligence on such third party to ensure that it is capable of providing the level of protection for the Personal Data as is required by the Contract, and provide evidence of such due diligence to the other Party where reasonably requested; and~~
  - ~~1.154.2 ensure that a suitable agreement is in place with the third party as required under applicable Data Protection Legislation.~~

## **DATA RETENTION**

- ~~1.155 The Parties agree to erase Personal Data from any computers, storage devices and storage media that are to be retained as soon as practicable after it has ceased to be necessary for them to retain such Personal Data under applicable Data Protection Legislation and their privacy policy (save to the extent (and for the limited period) that such information needs to be retained by the Party for statutory compliance purposes or as otherwise required by the Contract), and taking all further actions as may be necessary to ensure its compliance with Data Protection Legislation and its privacy policy.~~

## **Part C Independent Controllers**

### **1 INDEPENDENT CONTROLLER PROVISIONS**

- 1.156 With respect to Personal Data provided by one Party to another Party for which each Party acts as Controller but which is not under the Joint Control of the Parties, each Party undertakes to comply with the applicable Data Protection Legislation in respect of their processing of such Personal Data as Controller.
- 1.157 Each Party shall process the Personal Data in compliance with its obligations under the Data Protection Legislation and not do anything to cause the other Party to be in breach of it.
- 1.158 Where a Party has provided Personal Data to the other Party in accordance with Paragraph 1.156 of this Part C Independent Controllers of Annex 1 – Processing Personal Data above, the recipient of the Personal Data will provide all such relevant documents and information relating to its data protection policies and procedures as the other Party may reasonably require.
- 1.159 The Parties shall be responsible for their own compliance with Articles 13 and 14 UK GDPR in respect of the processing of Personal Data for the purposes of the Contract.
- 1.160 The Parties shall only provide Personal Data to each other:
  - 1.160.1 to the extent necessary to perform their respective obligations under the Contract;
  - 1.160.2 in compliance with the Data Protection Legislation (including by ensuring all required fair processing information has been given to affected Data Subjects);

- 1.160.3 where the provision of Personal Data from one Party to another involves transfer of such data to outside the UK and/or the EEA, if the prior written consent of the non-transferring Party has been obtained and the following conditions are fulfilled:
- 1.160.3.1 the destination country has been recognised as adequate by the UK government in accordance with Article 45 of the UK GDPR or DPA 2018 Section 74A and/or the transfer is in accordance with Article 45 of the EU GDPR (where applicable); or
  - 1.160.3.2 the transferring Party has provided appropriate safeguards in relation to the transfer (whether in accordance with Article 46 of the UK GDPR or DPA 2018 Section 75 and/or Article 46 of the EU GDPR (where applicable)) as determined by the non-transferring Party which could include the parties entering into:
    - (a) where the transfer is subject to UK GDPR:
      - (i) the UK International Data Transfer Agreement (the “**IDTA**”), as published by the Information Commissioner’s Office or such updated version of such IDTA as is published by the Information Commissioner’s Office under section 119A(1) of the DPA 2018 from time to time; or
      - (ii) the European Commission’s Standard Contractual Clauses per decision 2021/914/EU or such updated version of such Standard Contractual Clauses as are published by the European Commission from time to time (the “**EU SCCs**”), together with the UK International Data Transfer Agreement Addendum to the EU SCCs (the “**Addendum**”) as published by the Information Commissioner’s Office from time to time; and/or
    - (b) where the transfer is subject to EU GDPR, the EU SCCs; as well as any additional measures determined by the non-transferring Party being implemented by the importing party;
  - 1.160.3.3 the Data Subject has enforceable rights and effective legal remedies;
  - 1.160.3.4 the transferring Party complies with its obligations under the Data Protection Legislation by providing an adequate level of protection to any Personal Data that is transferred (or, if it is not so bound, uses its best endeavours to assist the non-transferring Party in meeting its obligations); and
  - 1.160.3.5 the transferring Party complies with any reasonable instructions notified to it in advance by the non-transferring Party with respect to the processing of the Personal Data; and
- 1.160.4 where it has recorded it in Part A Authorised Processing Template of Annex 1 – Processing Personal Data.

- 1.161 Taking into account the state of the art, the costs of implementation and the nature, scope, context and purposes of processing as well as the risk of varying likelihood and severity for the rights and freedoms of natural persons, each Party shall, with respect to its processing of Personal Data as Independent Controller, implement and maintain appropriate technical and organisational measures to ensure a level of security appropriate to that risk, including, as appropriate, the measures referred to in Article 32(1)(a), (b), (c) and (d) of the UK GDPR, and the measures shall, at a minimum, comply with the requirements of the Data Protection Legislation, including Article 32 of the UK GDPR.
- 1.162 A Party processing Personal Data for the purposes of the Contract shall maintain a record of its processing activities in accordance with Article 30 UK GDPR and shall make the record available to the other Party upon reasonable request.
- 1.163 Where a Party receives a request by any Data Subject to exercise any of their rights under the Data Protection Legislation in relation to the Personal Data provided to it by the other Party pursuant to the Contract ("**Request Recipient**"):
  - 1.163.1 the other Party shall provide any information and/or assistance as reasonably requested by the Request Recipient to help it respond to the request or correspondence, at the cost of the Request Recipient; or
  - 1.163.2 where the request or correspondence is directed to the other Party and/or relates to that other Party's processing of the Personal Data, the Request Recipient will:
    - 1.163.2.1 promptly, and in any event within five (5) Working Days of receipt of the request or correspondence, inform the other Party that it has received the same and shall forward such request or correspondence to the other Party; and
    - 1.163.2.2 provide any information and/or assistance as reasonably requested by the other Party to help it respond to the request or correspondence in the timeframes specified by Data Protection Legislation.
- 1.164 Each Party shall promptly notify the other Party upon it becoming aware of any Data Loss Event relating to Personal Data provided by the other Party pursuant to the Contract and shall:
  - 1.164.1 do all such things as reasonably necessary to assist the other Party in mitigating the effects of the Data Loss Event;
  - 1.164.2 implement any measures necessary to restore the security of any compromised Personal Data;
  - 1.164.3 work with the other Party to make any required notifications to the Information Commissioner's office or any other regulatory authority and affected Data Subjects in accordance with the Data Protection Legislation (including the timeframes set out therein); and
  - 1.164.4 not do anything which may damage the reputation of the other Party or that Party's relationship with the relevant Data Subjects, save as required by Law.

- 1.165 Personal Data provided by one Party to the other Party may be used exclusively to exercise rights and obligations under the Contract as specified in Part A Authorised Processing Template of Annex 1 – Processing Personal Data.
- 1.166 Personal Data shall not be retained or processed for longer than is necessary to perform each Party's respective obligations under the Contract which is specified in Part A Authorised Processing Template of Annex 1 – Processing Personal Data.
- 1.167 Notwithstanding the general application of clauses 1.74 to 1.74.13 of the Conditions to Personal Data, where the Supplier is required to exercise its regulatory and/or legal obligations in respect of Personal Data, it shall act as an Independent Controller of Personal Data in accordance with Paragraphs 1.156 to 1.167 of this Part C Independent Controllers of Annex 1 – Processing Personal Data.

## 1. INTRODUCTION

- 1.1 The Buyer provides a range of services on behalf of the Department of Health and Social Care. The Buyer manage over £48 billion of NHS spend annually, delivering a range of national services to NHS organisations, NHS contractors, patients and the public. Our purpose is to be a catalyst for better health and our vision is to be the delivery partner of choice for the NHS. For further information, please visit [Welcome | NHSBSA](#).
- 1.2 The Buyer requires a single Supplier to deliver high quality Information Governance training courses to delegates from the Information Governance team.
- 1.3 The purpose of this requirement is to train and develop new and existing Information Governance team members. This training provision will evidence meeting the requirements of the NHS Data Security and Protection Toolkit (DSPT - <https://www.dsptoolkit.nhs.uk/News/131>) to ensure that employees have appropriate understanding of Information Governance, with an effective range of approaches taken to training and awareness. In addition, it will demonstrate compliance with the UK GDPR Articles 37(5) and 38(2) requirements that the Buyers' Information Governance Team maintain expert knowledge of data protection law and practices. Furthermore, it will develop employee's knowledge and skills in providing high quality Freedom of Information request responses.
- 1.4 The aim is to meet the Buyer's strategic goals including being an employer of choice with opportunities to attract, develop and retain the right people, with the right skills in the right roles. Specifically, we want our employees to be satisfied and fulfilled in their roles as well as having opportunities for development and progression in their careers.

## 2. BACKGROUND

- 2.1 The Buyer is an arm's length body of the Department of Health and Social Care which supports several services to the NHS. These services include prescription, dental and pension payments, other transactions such as applications for medical exemption certificates and NHS learning support fund submissions for student nurses. Consequently, this involves processing a considerable amount of information and personal data related to national NHS Services, mainly in England but to a lesser extent in other countries.
- 2.2 The Buyer's Information Governance team delivers advice, guidance and support to internal stakeholders for a wide variety of projects to support new and existing NHS services. In addition, the team handle a high volume of information requests under Freedom of Information and Data Protection legislation.

## 3. SCOPE

- 3.1 The Buyer requires a single Supplier to provide Information Governance training for the total contract duration of four years. There are approximately 16 colleagues working in the Information Governance team with varying levels of knowledge and experience. This number may fluctuate over the contract term.
- 3.2 The training will need to cover practitioner level and above in the following courses:
- Freedom of Information Act 2000 (FOIA);
  - UK General Data Protection Regulation (UK GDPR); and
  - Short courses to supplement the above such as conducting Data Protection Impact Assessments, changes in FOIA and Data Protection legislation, implications of new technologies
- 3.3 The Buyer does not require the following training:
- Information Rights Legislation that relates specifically to other countries or the EU
  - Courses in Information Security

## 4. DETAILED REQUIREMENTS

- 4.1 The successful Supplier will be required to:
- 4.1.1 Develop and deliver courses and training remotely from within the UK. Face to face training is not required.
- 4.1.2 Provide each delegate with a certificate once the course has been completed.
- 4.1.3 Create and continually develop their own original training materials in line with any updates including changes in legislation. Training materials must be clear, jargon free and provided to delegates in either hardcopy or commonly used electronic formats.
- 4.1.4 Deliver the courses within normal working hours of Monday-Friday 9.00am-5.00pm, excluding Public Holidays. Courses must be available at least every 6 months.
- 4.1.5 Provide delegates with work to complete outside of the online classroom to support their learning and to apply knowledge gained on the course. The Supplier must also be able to provide support outside of the course training to help with additional work and any questions the delegates might have.
- 4.1.6 Use case study-based assessments rather than closed book or multiple-choice exams.
- 4.1.7 Have a contingency plan for trainer absence and any other circumstances that would prevent the training from going ahead as scheduled.
- 4.1.8 Ensure that appropriate technical measures are in place to be able to manage and deliver the training without disruption.
- 4.1.9 Ensure that within one week of booking onto the course, that confirmation is sent to the Buyer.
- 4.1.10 Ensure that course materials are available before or on the start date of the course.
- 4.1.11 Be able to substitute a delegate with another member of staff if the original delegate is unable to attend at no additional cost with a minimum notice to the Buyer of 24 hours.
- 4.1.12 Be able to cancel the course free of charge with a minimum notice of 30 days.

- 4.1.13 Ensure that the course content and discussions relating to new issues/practical tips are relevant or transferable to the UK Public Sector.
- 4.1.14 The trainer delivering the course must be able to demonstrate practical experience in the subject matter of the course.
- 4.1.15 The successful Supplier will be required to issue calendar appointments to delegates for the course dates including sending links and instructions on how to join the course.
- 4.1.16 The Supplier must provide materials, content and training in accessible formats and ensure that they are compliant with Web Content Accessibility Guidelines (WCAG) 2.1AA or as may be updated from time to time.
- 4.1.17 The Supplier must comply with UK Data Protection Legislation when processing delegates personal data.
- 4.1.18 All IT equipment and resources used by the successful Supplier must be their own. The Supplier will not need to provide IT equipment to delegates.
- 4.1.19 Estimated Course Volumes:

<b>Table 1 - Course Volumes 2025/2026</b>	<b>Year 1 Volume</b>
GDPR (Practitioner)	1
GDPR (above Practitioner)	4
FOI (Practitioner)	5
FOI (above Practitioner)	2
DPIA	1

<b>Table 2 - Course Volumes 2026/2027</b>	<b>Year 2 Volume</b>
GDPR (Practitioner)	4
GDPR (above Practitioner)	2
FOI (Practitioner)	1
FOI (above Practitioner)	4
DPIA	0

<b>Table 3 - Course Volumes 2027/2028</b>	<b>Year 3 Volume</b>
GDPR (Practitioner)	0
GDPR (above Practitioner)	2
FOI (Practitioner)	4



FOI (above Practitioner)	4
DPIA	0

Table 4 - Course Volumes 2028/2029	Year 4 Volume
GDPR (Practitioner)	4
GDPR (above Practitioner)	3
FOI (Practitioner)	0
FOI (above Practitioner)	0
DPIA	0

## 5. Social Value, Equality, Diversity and Inclusion

### 5.1 Social Value, Equality, Diversity and Inclusion

- 5.1.1 Social Value legislation and guidance places a legal requirement on all public bodies to consider the additional social, economic and environmental benefits that can be realised for individuals and communities through commissioning and procurement activity, and, in Scotland, to deliver them. These benefits are over and above the core deliverables of contracts. More information on social value can be found at: <https://www.gov.uk/government/publications/social-value-act-introductory-guide>. [The Social Value Act](#) the [Procurement Reform Scotland Act](#) and [Welsh Community Benefits guidance](#).
- 5.1.2 The Supplier shall be responsible for ensuring that social value priorities are cascaded throughout the supply chain where appropriate.
- 5.1.3 The Buyer's diversity and inclusion strategy aims to increase and maintain diversity throughout our workforce by attracting candidates from diverse communities and with a broad range of characteristics, and by retaining our colleagues through offering development and increasing engagement, which leads to a more inclusive organisation overall.
- 5.1.4 For further information on the Buyer's Diversity and Inclusion Strategy 2022-2025: [Diversity and Inclusion Strategy \(1\).pdf \(nhsbsa.nhs.uk\)](#)
- 5.1.5 The Supplier must ensure that all content provided via their website must meet or be working towards current accessibility requirements as set out in the following guidance: <https://www.gov.uk/guidance/accessibility-requirements-for-public-sector-websites-and-apps>

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**VI. Annex 3 – Charges**

Course Type	Estimated Volumes Over The Contract Term	Charges (Per Course)
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]
[REDACTED]	[REDACTED]	[REDACTED]

## **VII. Annex 4 – Supplier Tender**

### **7.1 Course Delivery Approach**

- 7.1.1 The Supplier will deliver high-quality, practical, and engaging training that meets the Buyer's requirements. The Supplier will ensure a seamless onboarding process, expert-led delivery, interactive learning, and effective resource management for consistent service delivery.

### **7.2 Process from Onboarding to Course Completion**

#### **7.2.1 Onboarding and Needs Assessment:**

- Initial Consultation: The Supplier will work closely with the Buyer's Information Governance team to confirm course objectives, delegates profiles, and specific training needs for GDPR, FOIA, and DPIA topics.
- Customised Training Plan: The Supplier will develop a tailored training schedule and materials to align with Buyer's strategic goals and operational challenges.

#### **7.2.2 Pre-Course Preparation:**

- Delegate Materials: The Supplier will provide pre-course resources, including reading lists and case studies, where applicable.
- Logistical Coordination: The Supplier will confirm remote delivery logistics, including the use of secure video conferencing tools such as Microsoft Teams, ensuring compliance with the Buyer's IT requirements.

#### **7.2.3 Course Delivery:**

- Live Training Sessions: The Supplier will deliver interactive, remote training led by subject matter experts, using real-world examples to illustrate complex concepts.
- Case-Based Learning: The Supplier will incorporate Buyer-relevant scenarios, allowing delegates to apply theoretical knowledge to practical challenges.
- Knowledge Assessments: The Supplier will conduct quizzes and activities during and after sessions to evaluate understanding and retention.
- Enhanced Interaction: The interactive activities will include Buyer-relevant examples, which the Supplier will develop in collaboration with the Buyer's stakeholders to reflect real-world scenarios (e.g., handling FOI requests on healthcare procurements or DPIA processes for the Buyer's patient data systems).

#### **7.2.4 Post-Course Support:**

- Feedback and Certification: The Supplier will gather delegates feedback to improve future sessions and will issue digital course completion certificates.

- Ongoing Access: The Supplier will provide access to supplementary materials and follow-up Q&A sessions to reinforce learning.

### 7.3 Trainer Expertise

7.3.1 Each course will be led by the Suppliers trainers with extensive practical experience and relevant certifications in information governance topics:

- UK GDPR:
  - The Suppliers trainers hold certifications such as CIPP/E, CIPM, and Certified DPO and will include professionals who have served as Data Protection Officers (DPOs) for large-scale organisations.
- Freedom of Information Act (FOIA):
  - The Suppliers trainers have hands-on experience in FOI request management, appeals and compliance, in public sector environments.
  - The Suppliers trainers have advanced understanding of ICO guidance and case law.
- Data Protection Impact Assessments (DPIA):
  - The Suppliers trainers have experience in conducting DPIAs for complex projects, in both public and private sectors.
  - The Suppliers trainers hold accreditations in privacy risk management methodologies. The Suppliers trainers are also qualified as PECB Certified Trainers.

### 7.4 Interactive and Engaging Training Methods

7.4.1 The Suppliers training will be designed to actively engage delegates and promote retention through the following techniques:

- Interactive Activities:
  - Live polls and group discussions to encourage active participation.
  - Real-world case studies relevant to the Buyer's operational challenges will be included.
- Practical Exercises:
  - Role-playing scenarios (e.g., handling FOI requests or conducting DPIAs).
  - Problem-solving activities focused on GDPR compliance and managing data breaches.
- Continuous Feedback:
  - Delegates will provide input throughout the course via live chat, Q&A sessions, and feedback forms, fostering a dynamic and responsive learning environment.

### 7.5 Adequate Resourcing

7.5.1 The Supplier will ensure that all training sessions are adequately resourced to meet the Buyers timetable:

- Dedicated Training Team:
  - The Supplier will maintain a roster of certified trainers and subject matter experts, allowing them to scale resources to meet varying course volumes.
  - The Suppliers trainers will be pre-allocated to scheduled sessions, with contingency trainers available to address unforeseen changes.

- Timetable Coordination:
  - o The Suppliers training coordinator will manage scheduling, resource allocation, and communication with the Buyer to ensure timely delivery.
  - o Remote delivery eliminates geographical constraints, enabling flexible resourcing and availability.
- Resource Backup:
  - o The Supplier will prepare comprehensive documentation and pre-prepared materials to allow seamless session continuity in case of trainer unavailability.

## 7.6 Assessment Criteria and Methodology

- 7.6.1 The Supplier will provide comprehensive assessment methodologies and robust support mechanisms to ensure delegates achieve the required qualifications effectively. The Supplier will include practical, real-world scenarios with structured evaluations, to develop understanding and application of the course content.
- 7.6.2 The assessment framework will measure both theoretical understanding and practical application of key information governance concepts, ensuring that delegates meet the qualification requirements.

## 7.7 Assessment Criteria:

- Knowledge and Understanding: Delegates will be assessed on their grasp of foundational concepts in UK GDPR, FOIA, and DPIA, aligned with the qualification standards.
- Application and Problem-Solving: The evaluations will focus on the delegates' ability to apply knowledge to real world scenarios, such as managing FOI requests or conducting DPIAs.
- Critical Thinking: Delegates will demonstrate their ability to evaluate and mitigate risks associated with data protection and information governance.
- Knowledge and Understanding: Delegates will be assessed on their ability to comprehend and apply foundational concepts in UK GDPR, FOIA, and DPIA, aligned with the qualification standards.
- Application and Problem-Solving: Evaluations will emphasise applying knowledge to realistic and Buyer-relevant scenarios, such as handling FOI requests or conducting DPIAs.
- Critical Thinking: Delegates will demonstrate their ability to evaluate risks and propose solutions related to data protection and information governance.

## 7.8 Assessment Methodology:

- The Supplier will conduct formative assessments during the course to gauge ongoing understanding and provide immediate feedback. Methods will include interactive group discussions, scenario analysis, and live casebased exercises.
- The Supplier will conduct summative assessments which will be delivered at the end of the course to evaluate the delegates knowledge and practical skills. Methods will include case study-based assessments. Delegates will analyse and respond to detailed case studies designed to reflect realworld challenges faced by Buyer. Examples of this includes drafting FOI response strategies, conducting DPIA evaluations, or preparing risk mitigation plans for data breaches.

- The Supplier will use open-book format assessments which will be designed to allow access to course materials, reflecting real-world working conditions and encouraging critical thinking.

7.9 The Supplier will ensure every delegate is supported throughout their learning journey to achieve success, from onboarding to post-course follow-up.

7.10 Prior to the start of the course, delegates will receive pre-course materials, including reading lists, glossaries, and introductory videos, to build foundational knowledge.

7.11 Course Support:

- In-Course Support
  - o The Suppliers trainers will provide real-time guidance during sessions, encouraging questions and active participation.
  - o Feedback Loops: Immediate feedback on formative assessments will be provided to reinforce learning and clarify misunderstandings.
- Post-Course Support:
  - o Follow-Up Q&A: Delegates will be able attend optional follow-up sessions to address outstanding questions or challenges.
- Access to Resources:
  - Delegates will receive recordings of sessions (where applicable), supplementary materials, and templates for practical use in their roles.

7.12 Effectiveness of the Approach

- Measurable Learning Outcomes:
  - o The combination of formative and summative assessments will ensure continuous measurement of delegate progress and readiness for final evaluations.
  - o Scenario-based activities align with the Buyer's operational challenges, enhancing real-world applicability of learned skills.
- High Completion Rates:
  - o The Supplier will provide structured support and interactive methodologies to foster confidence and engagement, which will lead to high qualification success rates.
- Ongoing Professional Development:
  - o The Supplier will provide post-course resources and support to ensure that delegates retain and apply their knowledge effectively in their roles, contributing to long term competency development.

7.13 Training Materials

- The Supplier will ensure that training materials are current, compliant with the latest legislation, and designed to accommodate accessibility and neurodiverse requirements.
- The Supplier will continuously improve, incorporate feedback, legislative updates, and best practices to deliver high-quality, impactful training for the Buyers delegates.

## 7.14 Ensuring Current and Relevant Course Content

- The Suppliers trainers will continually monitor developments in UK legislation, ICO guidance, and public sector trends to ensure training materials remain relevant and up to date.
- Emerging Issues: The Supplier will incorporate recent case studies and updates in areas like the implications of AI and new technologies on data protection.
- Custom Buyer Scenarios: The Supplier will work with the Buyers stakeholders during onboarding to ensure course content includes examples such as managing FOI appeals for the Buyers data, implementing GDPR-compliant processes for patient data in the Buyer's procurement and conducting DPIAs for emerging technologies in healthcare settings.

### 7.15 Sources of Information:

- Legislative Updates: The Supplier will complete regular reviews of UK GDPR, FOIA, and DPIA-related changes, using resources such as ICO guidance, government publications, and sector-specific updates. The Supplier will collaborate with public sector experts to tailor case studies, scenarios, and examples directly applicable to the Buyers challenges.

### 7.16 Feedback Integration:

- After each course, the Supplier will collect delegate feedback to identify opportunities for improvement. This feedback loop ensures content remains practical, engaging, and aligned with delegate needs.

## 7.17 Compliance with Legislation and Best Practices

### 7.171 Legislative Alignment:

- The Supplier will ensure that all materials reflect the latest standards in UK GDPR, FOIA, and DPIA, with structured processes to integrate updates as soon as legislation or guidance changes.
- The Suppliers trainers are PECB-certified and validated to deliver data protection courses, ensuring adherence to internationally recognised best practices.

### 7.172 Proactive Monitoring:

- Quarterly Reviews: All materials are reviewed quarterly by the Supplier to ensure compliance with current legislation and public sector practices.
- Emerging Technologies: Training will incorporate discussions on the impact of emerging technologies like AI, ensuring that delegates remain prepared for future challenges.

### 7.173 Accessible Content Design:

- The Supplier will design training materials to comply with WCAG 2.1AA standards, to ensure they are accessible to all delegates.
- Materials will be provided in both electronic and hardcopy formats, with options for screen reader compatibility and adjustable font sizes.

### 7.174 Inclusive Delivery:

- The training sessions will be structured to include a variety of delivery methods (e.g., verbal explanations, visual aids, and practical exercises) to cater to different learning styles.

- The Suppliers trainers will be trained in recognising and accommodating neurodiverse needs, ensuring a supportive and inclusive environment.

7.175 Customisation for Neurodiverse Delegates:

- Pre-Course Adjustments: Delegates can request tailored support, such as additional preparation materials or alternative delivery methods, during onboarding.
- Flexible Assessment: The case study-based assessments are designed to allow flexibility in how delegates demonstrate their understanding.

7.176 Support Resources:

- Delegates will have access to ongoing support, including recorded sessions, step-by-step guides, and follow-up Q&A sessions to reinforce learning.

7.18 Continuous Improvement

- Materials will be updated after each session to incorporate feedback, legislative changes, and any advancements in public sector requirements.
- Updates will be communicated to the Buyer in advance to ensure that they are aligned with organisational priorities.

7.19 Quality Assurance:

- The Suppliers quality assurance team will review all material before delivery to ensure accuracy, relevance, and compliance with accessibility standards.

7.20 Social Value:

7.201 The Supplier will support and deliver social value throughout the term of this Contract. The Supplier will align with the Social Value Act and will focus on Theme 5: Wellbeing and Theme 3: Fighting Climate Change.

7.202 The Supplier will promote mental health and wellbeing for delegates and for the Suppliers team through the following actions:

- Delegate Wellbeing: The Supplier will design training schedules that will minimise stress by allowing adequate breaks and providing a supportive learning environment.
- The Supplier will incorporate wellbeing resources into training, including stress management techniques and tips for balancing data protection responsibilities with daily workflows.
- Team Wellbeing: The Supplier will support their trainers and staff with mental health resources, flexible working arrangements, and access to professional development opportunities.

7.203 The Supplier will minimise the environmental impact of training delivery through sustainable practices through the following actions:

- Paperless Operations: The Supplier will use digital training materials, eliminating paper-based resources where possible.
- Remote Delivery: The Supplier will conduct all training remotely, reducing the carbon footprint associated with travel and physical venues.



- Carbon Neutral Partners: The Supplier will partner with technology providers like Microsoft Azure, which have carbon neutrality goals, to support sustainable training delivery.

#### 7.21 Measuring and Reporting Social Value

7.211 The Supplier will implement a structured approach to track, measure, and report on the social value delivered throughout the contract term.

#### 7.22 Tracking and Measurement:

7.221 Theme 5: The Supplier will collect feedback from delegates and staff on the training environment, including stress management and satisfaction levels.

7.222 Theme 3: The Supplier will measure the reduction in carbon emissions through remote delivery and paperless operations.

#### 7.23 Reporting:

7.231 The Supplier will provide the Buyer with quarterly social value reports detailing progress and impact across the supported themes.

7.232 Reports will include qualitative and quantitative data, such as:

- Delegate feedback on wellbeing initiatives
- Metrics on resource savings and carbon reduction achieved through sustainable practices

**VIII. Annex 5 – Optional IPR Clauses (Not Used)****~~Part A Buyer ownership with limited Supplier rights to exploit New IPR for the purposes of the current Contract~~****~~10 INTELLECTUAL PROPERTY RIGHTS ( “IPRS” )~~**

~~1.168 Each Party keeps ownership of its own Existing IPRs. The Supplier gives the Buyer a non-exclusive, perpetual, royalty-free, irrevocable, transferable, sub-licensable worldwide licence to use, copy and adapt the Supplier's Existing IPR to enable the Buyer and its sub-licensees to both:~~

~~1.168.1 receive and use the Deliverables; and~~

~~1.168.2 use the New IPR.~~

~~The termination or expiry of the Contract does not terminate any licence granted under this clause 1.168.~~

~~1.169 Any New IPR created under the Contract is owned by the Buyer. The Buyer gives the Supplier a royalty free, non-exclusive, non-transferable licence to use, copy and adapt any Existing IPRs and the New IPR for the purpose of fulfilling its obligations during the Term. This licence is sub-licensable to a Subcontractor for the purpose of enabling the Supplier to fulfil its obligations under the Contract, and in that case the Subcontractor must enter into a confidentiality undertaking with the Supplier on the same terms as set out in clause 0 (What you must keep confidential).~~

~~1.170 Unless otherwise agreed in writing, the Supplier and the Buyer will record any New IPR and keep this record updated throughout the Term.~~

~~1.171 Where a Party acquires ownership of intellectual property rights incorrectly under this Contract it must do everything reasonably necessary to complete a transfer assigning them in writing to the other Party on request and at its own cost.~~

~~1.172 Neither Party has the right to use the other Party's intellectual property rights, including any use of the other Party's names, logos or trademarks, except as provided in clause 10 or otherwise agreed in writing.~~

~~1.173 If any claim is made against the Buyer for actual or alleged infringement of a third party's intellectual property arising out of, or in connection with, the supply or use of the Deliverables (an “IPR Claim”), then the Supplier indemnifies the Buyer against all losses, damages, costs or expenses (including professional fees and fines) incurred as a result of the IPR Claim.~~

~~1.174 If an IPR Claim is made or anticipated the Supplier must at its own option and expense, either:~~

~~1.174.1 obtain for the Buyer the rights in clause 1.168 without infringing any third party intellectual property rights; and~~

~~1.174.2 replace or modify the relevant item with substitutes that don't infringe intellectual property rights without adversely affecting the functionality or performance of the Deliverables.~~

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- ~~1.175~~ If the Supplier is not able to resolve the IPR Claim to the Buyer's reasonable satisfaction within a reasonable time, the Buyer may give written notice that it terminates the Contract from the date set out in the notice, or where no date is given in the notice, the date of the notice. On termination, the consequences of termination in clause 1.52.1 shall apply.
- ~~1.176~~ The Supplier shall not use in the Delivery of the Deliverables any Third Party IPR unless:
- ~~1.176.1~~ the Buyer gives its approval to do so; and
  - ~~1.176.2~~ one of the following conditions applies:
    - ~~1.176.2.1~~ the owner or an authorised licensor of the relevant Third Party IPR has granted the Buyer a direct licence that provides the Buyer with the rights in clause 1.168; or
    - ~~1.176.2.2~~ if the Supplier cannot, after commercially reasonable endeavours, obtain for the Buyer a direct licence to the Third Party IPR as set out in clause 1.176.2.1:
      - ~~(a)~~ the Supplier provides the Buyer with details of the licence terms it can obtain and the identity of those licensors;
      - ~~(b)~~ the Buyer agrees to those licence terms; and
      - ~~(c)~~ the owner or authorised licensor of the Third Party IPR grants a direct licence to the Buyer on those terms; or
    - ~~1.176.2.3~~ the Buyer approves in writing, with reference to the acts authorised and the specific intellectual property rights involved.
- ~~1.177~~ In spite of any other provisions of the Contract and for the avoidance of doubt, award of this Contract by the Buyer and the ordering of any Deliverable under it, does not constitute an authorisation by the Crown under Sections 55 and 56 of the Patents Act 1977, Section 12 of the Registered Designs Act 1949 or Sections 240–243 of the Copyright, Designs and Patents Act 1988.
- ~~1.178~~ Subject to clause 1.177, the Supplier agrees that the Buyer may at its sole discretion publish under Open Licence all or part of the New IPR Items and the Supplier warrants that the New IPR Items are suitable for release under Open Licence and that the publication of the New IPR Items under Open Licence will not infringe the rights of any third party and will not harm any Third Party or the Buyer.
- ~~1.179~~ The Supplier will supply any or all New IPR Items in a format suitable for publication under Open Licence ("**the Open Licence Publication Material**") within 30 days of written request from the Buyer ("**Buyer Open Licence Request**"). Where any Supplier Existing IPR is included in the Open Licence Publication Material, this will become Open Licence material.
- ~~1.180~~ The Supplier may within 15 days of a Buyer Open Licence Request under clause 1.179, request in writing that the Buyer excludes all or part of:
- ~~1.180.1~~ the New IPR; or
  - ~~1.180.2~~ Supplier Existing IPR or Third Party IPR that would otherwise be included in the Open Licence Publication Material supplied to the Buyer pursuant to clause 1.179

from Open Licence publication.

- ~~1.181 Any decision to approve any such request from the Supplier pursuant to clause 1.180 shall be at the Buyer's sole discretion, not to be unreasonably withheld, delayed or conditioned.~~
- ~~1.182 Subject to clause 0, the Buyer will not be liable in the event that any Supplier Existing IPR or Third Party IPR is included in the Open Licence Publication Material published by the Buyer.~~

## **~~Part B Supplier ownership of New IPR with Buyer rights for the current Contract and broader public sector functions~~**

### **~~10 INTELLECTUAL PROPERTY RIGHTS ( "IPRS" )~~**

- ~~1.183 Each Party keeps ownership of its own Existing IPRs. Any New IPR created under the Contract is owned by the Supplier. The Supplier gives the Buyer a non-exclusive, perpetual, royalty free, irrevocable, transferable, sub-licensable worldwide licence to use, copy and adapt the Supplier's Existing IPR and the New IPR to enable the Buyer and its sub-licensees to receive and use the Deliverables and the New IPR for any purpose relating to the exercise of the Buyer's (or, if the Buyer is a Public Sector Body, any other Public Sector Body's) business or function. For the purposes of this clause "Public Sector Body" means a formally established organisation that is (at least in part) publicly funded to deliver a public or government service.~~
- ~~1.184 The termination or expiry of the Contract does not terminate any licence granted under this clause 10.~~
- ~~1.185 The Buyer gives the Supplier a royalty free, non-exclusive, non-transferable licence to use, copy, and adapt any Existing IPRs for the purpose of fulfilling its obligations during the Term and commercially exploiting the New IPR developed under the Contract. This licence is sub-licensable to a Subcontractor for the purpose of enabling the Supplier to fulfil its obligations under the Contract, and in that case the Subcontractor must enter into a confidentiality undertaking with the Supplier on the same terms as set out in clause 0 (What you must keep confidential).~~
- ~~1.186 Unless otherwise agreed in writing, the Supplier and the Buyer will record any New IPR and keep this record updated throughout the Term.~~
- ~~1.187 Where a Party acquires ownership of intellectual property rights incorrectly under this Contract it must do everything reasonably necessary to complete a transfer assigning them in writing to the other Party on request and at its own cost.~~
- ~~1.188 Neither Party has the right to use the other Party's intellectual property rights, including any use of the other Party's names, logos or trademarks, except as provided in this clause 10 or otherwise agreed in writing.~~
- ~~1.189 If any claim is made against the Buyer for actual or alleged infringement of a third party's intellectual property arising out of, or in connection with, the supply or use of the Deliverables (an "IPR Claim"), then the Supplier indemnifies the Buyer against all losses, damages, costs or expenses (including professional fees and fines) incurred as a result of the IPR Claim.~~
- ~~1.190 If an IPR Claim is made or anticipated, the Supplier must at its own option and expense, either:~~

- ~~1.190.1 — obtain for the Buyer the rights in clause 1.183 without infringing any third party intellectual property rights; and~~
- ~~1.190.2 — replace or modify the relevant item with substitutes that don't infringe intellectual property rights without adversely affecting the functionality or performance of the Deliverables.~~
- ~~1.191 — If the Supplier is not able to resolve the IPR Claim to the Buyer's reasonable satisfaction within a reasonable time, the Buyer may give written notice that it terminates the Contract from the date set out in the notice, or where no date is given in the notice, the date of the notice. On termination, the consequences of termination in clause 1.52.1 shall apply.~~
- ~~1.192 — The Supplier shall not use in the Delivery of the Deliverables any Third Party IPR unless:~~
  - ~~1.192.1 — the Buyer gives its approval to do so; and~~
  - ~~1.192.2 — one of the following conditions applies:~~
    - ~~1.192.2.1 — the owner or an authorised licensor of the relevant Third Party IPR has granted the Buyer a direct licence that provides the Buyer with the rights in clause 1.183; or~~
    - ~~1.192.2.2 — if the Supplier cannot, after commercially reasonable endeavours, obtain for the Buyer a direct licence to the Third Party IPR as set out in clause 1.192.2.1:~~
      - ~~(a) — the Supplier provides the Buyer with details of the licence terms it can obtain and the identity of those licensors;~~
      - ~~(b) — the Buyer agrees to those licence terms; and~~
      - ~~(c) — the owner or authorised licensor of the Third Party IPR grants a direct licence to the Buyer on those terms; or~~
    - ~~1.192.2.3 — the Buyer approves in writing, with reference to the acts authorised and the specific intellectual property rights involved.~~
- ~~1.193 — In spite of any other provisions of the Contract and for the avoidance of doubt, award of this Contract by the Buyer and the ordering of any Deliverable under it, does not constitute an authorisation by the Crown under Sections 55 and 56 of the Patents Act 1977, Section 12 of the Registered Designs Act 1949 or Sections 240 — 243 of the Copyright, Designs and Patents Act 1988.~~