

Lot 2 - Lump Sum Work Packages
Pricing Schedule - YEAR 3

Area; Representative grade or average	TABLE 4 - Breakdown of Labour Costs					[100%]
	Bid Component					
	E	F	G	H	I	
	Wage; Base wage (ie paid to the individual) %	National Insurance Contribution (% MarkUp on Base Wage) %	Holiday Entitlement (% MarkUp on Base Wage)	Direct Costs including training, PPE etc (% MarkUp on Base Wage)	Overhead, Operating Costs & Profit (% MarkUp on Base Wage)	
Labour 01 - Track Skilled Operative	68.8%	9.5%	8.3%	6.5%	7.0%	0.0%
Labour 01 - Track handback	68.4%	9.4%	8.2%	7.0%	7.0%	0.0%
Labour 02 - Support grades	70.3%	9.7%	8.4%	4.6%	7.0%	0.0%
Labour 03 - Track Protection Licenses	66.7%	9.2%	8.0%	9.0%	7.0%	0.0%

TABLE 5 - Materials Handling Charge	
	Percentage Mark Up %
Materials Handling Charge %	10

TABLE 6 - Vehicles	
Type	Price per shift £
1.5 tonne Flatbed	97.87
3.5 tonne Tipper	
3.5 tonne Flatbed	
7.5 tonne Tipper	
Minibus 8 seater	
Minibus 16 seater	
Small Van (e.g.VW Caddy)	44.65
Transit type van	

TABLE 7 - Volume Discounts	
of orders placed (excluding VAT) to the Contractor under the framework agreement in each financial year (April 1 – March 31)	
Volume Discount Band (eg £1m to £1,499,999)	Discount (%)

TABLE 8 - Supplier Assumptions	
Reference	Details of Assumption
1	Please note, that our rates are based on our current understanding of competencies/productivity
2	We have provided a quotation for rates in year 1 and then have added 1.5% increments to years 2, 3 & 4 for estimated RPI
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TABLE 9 - Bidder Caveats			
Reference	A. Details of Caveat	B. Price/Value of Caveat	C. Included in Pricing Yes/No
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Lot 2 - Lump Sum Work Packages
Pricing Schedule - YEAR 3

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**Lot 2 - Lump Sum Work Packages
Pricing Schedule - YEAR 4**

TABLE 1 - Labour - Track General		SHIFT RATE *			
		A	B	C	D
	LU Comments	Price per WEEK shift £	Price per WEEKEND shift £	Price per BANK HOLIDAY shift £	Price per CHRISTMAS DAY & NEW YEARS DAY shift £
1-01	Skilled Track Operative	123.39	123.39	185.09	246.78
1-02	Track Operative	n/a	n/a	n/a	n/a
1-03	Labourer	n/a	n/a	n/a	n/a
1-04	Chargehand (supervisor)	209.14	209.14	313.70	418.27
1-05	Rail Stressor Operative Level 1 (restoration)	313.70	313.70	470.56	627.41
1-06	Rail Stressor Operative Level 2 (Plain line)	313.70	313.70	470.56	627.41
1-07	Rail Stressor Operative Level 3 (points & crossings)	313.70	313.70	470.56	627.41
1-08	Banksman slinger	209.14	217.50	326.25	543.75
1-09	T001 Track patrolling inspector	209.14	209.14	313.70	418.27
1-10	Track handback T002/3 (Repaired & modified)	284.42	284.42	426.64	568.85
1-11	Carpenter (track site works)	172.54	198.68	248.35	345.07
1-12	Electrician (track site works)	172.54	198.68	248.35	345.07
1-13	PM3 Inspector	313.70	313.70	470.56	627.41
1-14	PM3 Inspector (TANC Approved)	313.70	313.70	470.56	627.41
1-15	PM4 Inspector	345.07	345.07	517.61	690.15
1-16	PM4 Inspector (TANC Approved)	345.07	345.07	517.61	690.15
1-16	PWT - Skilled P-way Supervisor	184.04	184.04	276.06	368.08

TABLE 2 - Support - Track General		Price per WEEK shift £	Price per WEEKEND shift £	Price per BANK HOLIDAY shift £	Price per CHRISTMAS DAY & NEW YEARS DAY shift £
2-01	Scoping Engineer (site)	#VALUE!	#VALUE!	#VALUE!	#VALUE!
2-02	Lead Site Engineer	#VALUE!	#VALUE!	#VALUE!	#VALUE!
2-03	Site Engineer	223.78	223.78	223.78	447.55
2-04	Project Manager	326.25	326.25	326.25	652.50
2-05	Construction Manager	#VALUE!	#VALUE!	#VALUE!	#VALUE!
2-06	Production Delivery Supervisor	#VALUE!	#VALUE!	#VALUE!	#VALUE!
2-07	Track Auditor	#VALUE!	#VALUE!	#VALUE!	#VALUE!
2-08	Track Administration Support	#VALUE!	#VALUE!	#VALUE!	#VALUE!
		0.00	0.00	0.00	0.00

TABLE 3 - Labour - Track Protection Licenses		Price per WEEK shift £	Price per WEEKEND shift £	Price per BANK HOLIDAY shift £	Price per CHRISTMAS DAY & NEW YEARS DAY shift £	
3-01	Protecting Workers on the Track Engineering Hours (PWT-EH)	LU Standard S1419	147.32	153.59	191.99	230.38
3-02	Protecting Workers on the Track Traffic Hours (PWT-T)	[LU Standard 1 - 417]	147.32	153.59	191.99	230.38
3-03	PWT-TM (Protecting Workers on the Track-Train Movements)	PWT-TM OR Train Master license	166.47	172.75	215.93	259.12
3-04	Protecting Workers on the Track Depot (PWT-D)		147.32	153.59	191.99	230.38
3-05	Possession Controller	Possession Controller OR Possession Master Train Movements license	227.96	234.23	292.79	351.35
3-06	LU site warden		147.32	153.59	191.99	230.38
3-07	Train Master	[LU Standard 1 - 418]	166.47	172.75	215.93	259.12
3-08	Possession Master	LU Standard 1 - 147	194.08	200.35	250.44	300.53
3-09	Possession Master Traffic Hours		194.08	200.35	250.44	300.53
3-10	Possession Master (Depot & train movement)		190.90	197.17	246.47	295.76
3-11	Possession Controller	Possession Controller OR Possession Master Train Movements license	227.96	234.23	292.79	351.35
3-12	Track Trolley Controller	Track Trolley Controller License	147.32	153.59	191.99	230.38
3-13	D32 assessor	Assessor Qualification to assess a candidate using a range of methods, as approved by LU Access Department	177.01	183.29	229.11	274.93
3-14	Site Access controller (marshal)		141.75	149.07	186.34	223.61
3-15	Look Out (LU grade)		147.32	153.59	191.99	230.38
3-16	Hand signalman (LU grade)		147.32	153.59	191.99	230.38
3-17	PTS - Personal Track Safety (NR)	Railwork Rail standard	123.39	131.76	197.63	329.39
3-18	PTS - Personal Track Safety/Plate Layer (NR)	Railwork Rail standard	146.39	146.39	219.59	365.99
3-19	ES Engineering Supervisor (NR)	Railwork Rail standard	209.14	217.50	326.25	543.75
3-20	COSS - Controller of Site Safety (NR)	Railwork Rail standard	173.75	182.12	273.17	455.29
3-21	PICOP - Person in charge of Protection (Network Rail)	Railwork Rail standard	244.69	253.05	379.58	632.64
3-22	DC Strap Man (for third rail operations / Level A) - (NR)	Railwork Rail standard	244.69	253.05	379.58	632.64
3-23	Safe Work Leader (NR)	Railwork Rail standard	230.05	238.41	357.62	596.04
3-24	Level crossing attendant (NR)	Railwork Rail standard	173.75	182.12	273.17	455.29
3-25	Possession Support (PS)	Railwork Rail standard	173.75	182.12	273.17	455.29
3-26	AC Isolation Planner (IDF)	Railwork Rail standard	313.70	326.25	326.25	976.75
3-27	AC Isolation and DEP walkouts	Railwork Rail standard	313.70	326.25	326.25	976.75
3-28	AC Nominated Person (NOM)	Railwork Rail standard	259.33	284.42	284.42	853.27
3-29	AC Authorised Person (AP)	Railwork Rail standard	242.60	259.33	259.33	777.98
3-30	AC Earthing assistant (EA)	Railwork Rail standard	146.39	163.13	163.13	489.38
3-31	DC Isolation Planner (B1)	Railwork Rail standard	313.70	326.25	326.25	976.75
3-32	DC Isolation walkouts	Railwork Rail standard	313.70	326.25	326.25	976.75
3-33	DC Nominated Person (NP)	Railwork Rail standard	219.59	227.96	341.94	569.89
3-34	DC Level B (AP)	Railwork Rail standard	171.32	179.69	269.53	449.22
3-35	Hand signalman (NR)	Railwork Rail standard	173.75	182.12	273.17	455.29

*Shift length is a maximum of 8 hours. Long shifts may from time-to-time be required paid on a 'pro rate' basis.

Area; Representative grade or average	Bid Component					[100%]
	E Wage; Base wage (ie paid to the individual) %	F National Insurance Contribution (% MarkUp on Base Wage) %	G Holiday Entitlement (% MarkUp on Base Wage)	H Direct Costs including training, PPE etc (% MarkUp on Base Wage)	I Overhead, Operating Costs & Profit (% MarkUp on Base Wage)	
Labour 01 - Track Skilled Operative	68.8%	9.5%	8.3%	6.5%	7.0%	0.0%
Labour 01 - Track handback	68.4%	9.4%	8.2%	7.0%	7.0%	0.0%
Labour 02 - Support grades	70.3%	9.7%	8.4%	4.6%	7.0%	0.0%
Labour 03 - Track Protection Licenses	66.7%	9.2%	8.0%	9.0%	7.0%	0.0%

	Percentage Mark Up %
Materials Handling Charge %	10

Type	Price per shift £
1.5 tonne Flatbed	99.34
3.5 tonne Tipper	
3.5 tonne Flatbed	
7.5 tonne Tipper	
Minibus 8 seater	
Minibus 16 seater	
Small Van (e.g. VW Caddy)	45.32
Transit type van	

Volume Discount Band (eg £1m to £1,499,999)	Discount (%)

Reference	Details of Assumption
1	Please note, that our rates are based on our current understanding of competencies/productivity
2	We have provided a quotation for rates in year 1 and then have added 1.5% increments to years 2, 3 & 4 for estimated RPI
3	
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Reference	A. Details of Caveat	B. Price/Value of Caveat	C. Included in Pricing Yes/No
1			
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Lot 2 - Lump Sum Work Packages
Pricing Schedule - YEAR 4

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Schedule 5

Contract Variation Procedure

Variations to any Contract in accordance with Clause 15 of the Conditions of Contract will be dealt with in accordance with the following procedure.

Variations will be dealt with on behalf of the Company by the Company's Representative.

- 1.1 In any case where the Company is considering the introduction of a Variation, whether at the request of the Contractor or not, but does not wish to proceed until the effect, if any, of the proposed Variation on the Order Price is known, the Company's Representative will complete the top portion of a Notification of Proposed Variation to Contract ("**NPVC**") in the form of Appendix 1A and send two copies to the Contractor. The Contractor will complete the form detailing the variation, if any, in the Order Price for each Variation, and will return one copy to the Company Representative.
- 1.2 In any case where the Contractor wishes to recommend a Variation, the Contractor shall complete and send to the Company's Representative one copy of a Contractor's Recommended Variation to Contract ("**CRVC**") in the form of Appendix 1B detailing the proposed Variation and their effect, if any, on the Order Price.
- 1.3 Subject to Paragraph 2 below, the Contractor must not implement any Variation until it has been duly authorised by the Company's Representative by the issue of an Authority for Variation to Contract ("**AVC**") in the form of Appendix 3.
2. In cases where the Company requires a Variation to be implemented by the Contractor, whether at the suggestion of the Contractor or not, as soon as possible and before the effect on the Order Price is established, the Company's Representative will complete the top portion of a Notification of Required Variation to Contract ("**NRVC**") in the form of Appendix 2, which will be authorised by the Company's Representative and send two copies to the Contractor. The Contractor will take the same action as detailed in Paragraph 1.1 above in respect of the completion and return of the form but will immediately proceed with the Variation required. The Parties shall agree the variation of the Order Price as soon as reasonably possible thereafter. In default of such agreement within twenty-one (21) days of despatch of the NRVC by the Company's Representative the Dispute resolution procedure set out in Clause 19 of the Conditions of Contract shall apply.
3. When a NPVC or a CRVC has been issued and the variation, if any, in the Order Price has been negotiated between the Company's Representative and the Contractor and agreed, the Company's Representative will authorise the Contractor to proceed with the Variation by the

issue, in duplicate, of an AVC. The Contractor will countersign and return one copy to the Company's Representative.

4. Subject to Paragraph 2 above, no variation whatsoever from the original Order Price will be considered unless the foregoing procedure has been carried out, and it will be the responsibility of the Contractor to ensure that the relevant form or forms has or have been received from the Company's Representative before any Variation is implemented.
5. All forms referred to in this Schedule shall be completed and returned by the Contractor to the Company's Representative as soon as possible and in any event within twenty-eight (28) days of receipt by the Contractor from the Company's Representative.
6. Any variation to the Order Price ("**the Variation Sum**") shall take effect on the date of its agreement by the Parties in accordance with the procedure set out in this Schedule 5 or (as the case may be) the date of its determination in accordance with the Dispute resolution procedure in Clause 19 of the Conditions of Contract. The Variation Sum shall be paid as an equal proportionate adjustment to the Order Price for the remaining period of the Contract until the Order Completion Date unless otherwise specified in the AVC.

Appendix 1a
VARIATION PROCEDURE FORM 1A

XX

Telephone 020-7xxx-xxxx Fax 020-7xxx-xxxx

Contract No. xxxx

Variation No. xxx

Date xxx

NOTIFICATION OF PROPOSED VARIATION TO CONTRACT (NPVC)

Price only required

THIS IS NOT AN INSTRUCTION TO PROCEED

THE PROCEDURE SET OUT BELOW MUST BE FOLLOWED IN RETURNING THIS FORM.

- (i) This form constitutes a supplementary tender and must be completed as soon as possible and in any case within two weeks from the date hereof.
- (ii) The prices entered on this form will be related to the levels of labour rates and material prices prevailing at the date of tender and NOT at current price levels. In the event of the Order Price not being affected "nil" should be entered in the appropriate space(s).
- (iii) This form is sent in duplicate. One completed copy must be returned to the Company's Representative.

DETAILS OF VARIATION(S)

Signed by [Company's Representative]

Registered Office: Windsor House, 42-50, Victoria Street, London, SW1H 0TL England. Registered Numbers: 6242508 and 6221959 in England and Wales.

TO BE COMPLETED BY THE CONTRACTOR - Cost of above variation(s)

	Increase to Order Price	Decrease to Order Price	Details and costs of Redundant Parts or Raw Materials
i)			

Date

Signed

on behalf of *[insert name of Contractor]*

Appendix 1b
VARIATION PROCEDURE FORM 1B

[Insert name of contractor]

Telephone 020-7xxx-xxxx Fax 020-7xxx-xxxx

Contract No. xxxx

Datexxx

NOTIFICATION OF CONTRACTOR'S RECOMMENDED VARIATION TO CONTRACT ("CRVC")

THE PROCEDURE SET OUT BELOW MUST BE FOLLOWED IN RETURNING THIS FORM.

- (i) This form constitutes a supplementary tender. The Company's Representative shall inform the Contractor as soon as possible and in any case within two weeks from the date hereof whether or not the Contractor's recommended variation is acceptable or not to the Company.
- (ii) The prices entered on this form are related to the levels of labour rates and material prices prevailing at the date of tender and NOT at current price levels. Where the Order Price is not affected by the variation recommended in this CRVC "nil" has been entered in the appropriate space(s).
- (iii) This form is sent in duplicate. One completed copy must be returned to the Contractor.

DETAILS OF VARIATION(S)

TO BE COMPLETED BY THE CONTRACTOR - Cost of above variation(s)

	Increase to Order Price	Decrease to Order Price	Details and costs of Redundant Parts or Raw Materials
			Proposed Date for Variation to take place

Date

Signed

on behalf of *[insert name of Contractor]*

Appendix 2
VARIATION PROCEDURE FORM 2

XX

Telephone 020-7xxx-xxxx Fax 020-7xxx-xxxx

Contract No. xxxx

Variation No. xxx

Date xxx

NOTIFICATION OF REQUIRED VARIATION FROM CONTRACT ("NRVC")

This form constitutes an **INSTRUCTION TO PROCEED** with the variation(s) detailed below on a "price to be agreed" basis and is a tender form on which your price(s) for such variation(s) must be notified.

THE PROCEDURE SET OUT BELOW MUST BE FOLLOWED IN RETURNING THIS FORM.

- (i) No claim for a change to the Order Price will be accepted unless this form is completed and returned within two weeks from the date hereof unless mutually agreed in writing.
- (ii) The prices entered on this form will be related to the levels of labour rates and material prices prevailing at the date of tender and NOT at current price levels. In the event of the price not being affected, "nil" should be entered in the appropriate space(s).
- (iii) This form is sent in duplicate. One completed copy must be returned to the Company's Representative.

DETAILS OF VARIATION(S)

Signed [Company's Representative]

for BCV and SSL

Registered Office: Windsor House, 42-50 Victoria Street London SW1H 0TL, England. Registered Numbers: 6221959 and 6242508 in England and Wales.

TO BE COMPLETED BY THE CONTRACTOR - Cost of above variation(s)

Increase to Order Price	Decrease to Order Price	Details and costs of Redundant Parts or Raw Materials
		Proposed date from which Variation to take place

Date

Signed

on behalf of Contractor

Appendix 3
VARIATION PROCEDURE FORM 3

XX

Telephone 020-7xxx-xxxx Fax 020-7xxx-xxxx

Contract No: xxxx Variation No: Date:

AUTHORITY FOR VARIATION TO CONTRACT ("AVC")

Pursuant to Clause 15 and Schedule 5 of the Conditions of Contract authority is hereby given for the implementation of the Variation and the variation in the Order Price as detailed below. The duplicate copy of this form must be signed by or on behalf of the Contractor and returned to the Company's Representative as an acceptance by the Contractor of the variation shown below.

DETAILS OF VARIATION	AMOUNT (£)
ALLOWANCE TO XX	
EXTRA COST TO XX	
TOTAL	

Signed

For the Company

Registered Office: Windsor House, 42-50 Victoria Street London, SW1H 0TL, England. Registered Number: 6221959 in England and Wales.

ACCEPTANCE BY THE CONTRACTOR	
Date	Signed

Schedule 6
QUENSH Menu and Quality and Safety Plan



F0780 Contract Menu

This Contract Menu must be used in conjunction with Category 1 Standard [S1552](#) "Contract QUENSH Conditions"

Issue No.: A16

Issue date: November 2014

Review date: November 2019

MAYOR OF LONDON



Contract Menu

Contract No: To Be Inserted upon Award

Contract Name Track Labour – Packaged Works Lot 2

Client: London Underground

Supplier: To Be Inserted upon Award

Principal Contractor: Yes No

Guidance

The menu is a tool which is used by the Client to identify conditions that apply to specific contracts and communicate these conditions to the Supplier.

How to complete the menu

1. The Client evaluates the scope of work and enters 'Y' or 'N' in the 'Identified by the Client' column of the menu against each condition selected as applicable or not applicable to the Contract. In the 'Other documents / comments' column the Client can make references to other documents which are supplementary information which is available although not contained within the QUENSH manual but should be considered by the Supplier when they review the conditions. Copies of any additional documents identified in the menu shall be made available to the Supplier. All documents referenced in the Menu shall be current issue, unless otherwise advised. This column can also be used to communicate information (comments) to the Supplier which may be of use to the Supplier when reviewing the conditions.
2. The Client fills in 'Client menu (Invitation to Tender)' section on the last page of the menu and issues the menu as part of the ITT.
 - (1) The Supplier receives the ITT, evaluates the scope of work and, as a requirement of the tendering process, inserts 'Y' or 'N' in the 'Identified by the Supplier' column of the menu against each condition selected as being applicable. These selections may be different from those identified by the Client. Where the Supplier's selection differs from the Client's selection, a clear explanation of the reason for these differences shall be given by the Supplier. A reference to these explanations shall be put in the 'Reference to explanation' column on the menu.
 - (2) The Supplier representative signs and dates the 'Supplier menu (Tender)' on the last page of the menu and submits it with the tender, for consideration by the Client.
 - (3) Differences in the Client and Supplier menu selections will be discussed and resolved with the Client at subsequent tender review meetings. The agreed final version of the menu selections shall form a mandatory part of the Contract and shall be complied with by all Suppliers and their sub-contractors.
 - (4) The menu shall be subject to project version and document control.

Queries on the menu

Any queries in relation to the Contract QUENSH Conditions selected on the menu are to be referred to the Client representative, see contact details/address on last page of the menu.



Contract menu

Requirements in QUENSH

Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
4	Agreement of the applicable QUENSH contract conditions			Y	
5	Supplier's selection of sub-contractors		Y	Y	
6	Identification of Safety Critical Activities		Y	Y	PWT; T001; T002; T003
7	Works Environmental Management		N	Y	56024 shall develop and document arrangements for managing environmental Impacts on all sites?.
8	Emergency Plan		N	Y	Supplied by Client
9	Method Statements	Labour Supply Only. MS will be supplied by Client. Supplier to comply with MS requirements	Y	Y	Confirmation that MS will be supplied by Client
10	Health, Safety and Environment File		N	Y	Supplied by Client
11	Pre-start health, safety and environment meeting		N	Y	After contract award
12	Supplier's site induction		Y	Y	Client specific or 56024?
13	Site Person in Charge		Y	Y	Now PWT?
14	Staff requirements		Y	Y	
14.1	Behaviours		Y	Y	
14.1.1	Alcohol and drugs		Y	Y	Including unannounced random



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
14.1.2	Control of hours worked		Y	Y	Max 6 out of 7 shifts worked
14.2	Knowledge		Y	Y	
14.2.1	English language		Y	Y	
14.2.2	Access Card and Worksite Briefing		Y	Y	
14.2.3	Visitors to sites		Y	Y	
14.3	General competence		Y	Y	
14.3.1	Evidencing competence of safety critical staff		Y	Y	By way of assessment and interview
14.3.2	Identification of safety critical staff		Y	Y	56024 or Client Hi-Vis and armbands
14.3.3	Competent external safety critical personnel		Y	Y	Not applicable – use of existing 'Safety Critical Personnel'?
14.3.4	Training		Y	Y	Ongoing (Refresher)
14.3.5	Asset specific competence		Y	Y	
14.4	Medical requirements		Y	Y	
14.5	Identification of Suppliers staff		Y	Y	Supplier Log'd
14.6	Clothing		Y	Y	Full orange PPE
15	Permits and licences		Y	Y	
15.1	LU specific permits and licences		Y	Y	
15.2	Permits, licences and certificates for Supplier's staff		Y	Y	
16	The Principles of Access		Y	Y	
16.1	Introduction		Y	Y	
16.2	Access to Stations		Y	Y	
16.3	Access to Track		Y	Y	
16.4	Access to depots		Y	Y	
17	Applying for Planned Access	Arrangements to be made	N	N	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments by Client	Y / N	Agreed? Y / N	Reference to explanation
17.1	Introduction		N	N	
18	Applying for Generic Access		N	Y	56024 will apply for a generic sabre number to allow 'Safety Team' to carry out safety audits, site inspections.
18.1	Constraints that apply to Generic Access		N	N	
19	Access for fault repair		N	N	
20	Operational Assurance		N	Y	OAN supplied by Client (LU)
21	Closures and possessions	Arrangements to be made by Client	N	N	
21.1	Requirements for closures		N	N	
21.2	Requirements for possessions		N	N	
22	Controls at point of access		Y	Y	
22.1	Publication of works		Y	Y	
22.2	Checks at point of access		Y	Y	
22.3	Signing-on with the Station Supervisor - The Station Visitors Record Sheet and Person in Charge Evacuation Register (PICER)		Y	Y	
22.4	Track specific requirements		Y	Y	
22.4.1	Protection Master		Y	Y	Now PWT
22.4.2	Possessions (Possession Master, Technical Officer, Cable Lineman, Signal Operator, etc.)		Y	Y	
23	Removal of supplier's personnel from LU Premises		Y	Y	D&A failures; Breaches of H&S etc
24	Incidents		Y	Y	Reported immediately
25	Notification of regulatory concern or action		Y	Y	Reported



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
					immediately
26	Confidential Incident Reporting and Analysis System (CIRAS)		Y	Y	Whistleblowing Policy
27	Monitoring		Y	Y	
27.1	LU inspections		Y	Y	Appreciate dual site visits pcm
27.2	Monitoring the supply chain		Y	Y	
27.3	Health, safety and environmental audits, inspection and tours by the Supplier's personnel		Y	Y	56024 Safety Team
27.4	Work location inspection and audit		Y	Y	56024 Safety Team
27.5	Timescales for rectifying non-compliances		Y	Y	*See comments below
28	Radio transmitters and transceivers		Y	Y	
29	Mobile phones		Y	Y	
30	Knives		Y	Y	
31	Site health, safety and environment committee		Y	Y	Client led
32	Site housekeeping and security		Y	Y	
33	Accidental damage, obstruction or interference with assets		Y	Y	Reported immediately
34	Delivery of materials		Y	Y	
35	Conveyance of loads	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
35.1	Conveyance of loads on lifts and escalators		Y	Y	
35.2	Conveyance of hazardous materials and substances		Y	Y	
36	Asbestos (non asbestos removal projects)		Y	Y	If required, asbestos removal will be managed by LUL
37	Working in or near lifts and escalators		N	N	
38	Work on or adjacent to utilities and High Voltage cables (buried)	Arrangements to be made	Y	Y	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
	services)	by the Client. Supplier to comply with arrangements			
39	Working on or about the track		Y	Y	
40	Access to electrical sub-stations, working equipment, relay and other secure rooms		N	N	
41	Entering areas with gaseous fire suppression systems		N	N	
42	Fire prevention	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
42.1	General requirements		Y	Y	
42.2	Temporary fire points		Y	Y	
42.3	Timber		Y	Y	
42.4	Composites		Y	Y	
42.5	Sheeting materials		Y	Y	
42.6	Gas cylinders		Y	Y	
42.6.1	Use of gas cylinders in below ground locations		Y	Y	
42.6.2	Storage of gas cylinders (above ground)		Y	Y	
42.7	Flammable and highly flammable materials		Y	Y	
42.7.1	Use of flammable and highly flammable materials below ground		Y	Y	
42.7.2	Storage of flammable and highly flammable materials below ground		Y	Y	
43	Hot work and fire hazards		Y	Y	
43.1	Hot work		Y	Y	56024 to complete hot works permit if required, this will be completed 10 days prior to hot works commencing.
43.2	Reasonable notice of works		N	N	
43.3	Precautions		N	N	
43.3.1	Buildings, assets etc.		N	N	



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
43.3.2	Gas cylinders		N	N	
43.3.3	Gas detection		N	N	
44	Storage	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
44.1	General requirements for storage		Y	Y	
44.2	Trackside storage		Y	Y	
44.3	Hazardous materials and substances		Y	Y	
44.4	Allocation of space on operational property		Y	Y	
45	Plant and equipment		Y	Y	
46	Clearance approvals		Y	Y	
47	Access equipment		Y	Y	
48	Temporary works		Y	Y	
49	Temporary fences and hoardings		Y	Y	
50	Temporary lighting and power supplies	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
50.1	General requirements		Y	Y	
50.2	Lighting in tunnels and shafts		Y	Y	
51	Screening of lights and positioning		Y	Y	
52	Environmental requirements	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
52.1	General environmental requirements		Y	Y	
52.2	Environmental nuisance		Y	Y	
52.3	Water		Y	Y	
52.4	Waste management		Y	Y	Waste Carrier License held



Applicable requirements identified by Client				Identified by Supplier	
Section	Topic	Other documents / Comments	Y / N	Agreed? Y / N	Reference to explanation
52.5	Noise and vibration		Y	Y	
52.6	Archaeology, historical interest and listed buildings		Y	Y	
52.7	Wildlife and Habitats		Y	Y	
52.8	Resource Use		Y	Y	
52.9	Pest control		Y	Y	
52.10	Land and water pollution prevention		Y	Y	
53	Quality requirements	Arrangements to be made by the Client. Supplier to comply with arrangements	Y	Y	
53.1	Records		Y	Y	As per ISO 9001
53.2	Retention period		Y	Y	As per ISO 9001
53.3	Availability of records for inspection		Y	Y	As per ISO 9001
53.4	Statistical process control, audit and inspection procedures		Y	Y	As per ISO 9001
53.5	General quality requirements		Y	Y	As per ISO 9001
53.6	Quality Plan		Y	Y	Agreed by both parties
53.7	Testing and inspection		Y	Y	As per ISO 9001
53.8	Certification of conformity		Y	Y	As per ISO 9001
53.9	Quarantine		Y	Y	As per ISO 9001
53.10	Traceability		Y	Y	As per ISO 9001
53.11	Maintenance and servicing		Y	Y	As per ISO 9001
53.12	Design		Y	Y	Client responsibility
53.13	Computer aided design		Y	Y	Client responsibility
53.14	Asset commissioning and handover		Y	Y	As per ISO 9001



Other requirements / comments

*** Timescales for rectifying non-compliances**

HAZARD		Definition
	Response	
A1	Immediate within 24hrs	Contravention of a legal requirement and/or of LUL rules, regulations standards or practise likely to cause permanent disability, loss of life or body and/or extensive loss of structure, equipment or material.
A2	Within 14 Days	Contravention of a legal requirement contravention of LUL rules, regulations and/or standards of practise.
B	Within 28 Days	A condition or practise likely to cause serious injury or illness (resulting in temporary disability) or property damage that is disruptive but less severe than Class A. Also a non-conformance with HSE guidance notes.
C	Within 3 Months	A condition or practise likely to cause minor (non-disabling) injury or illness or non-disruptive property damage. Any instance of bad working practise.

Note: 56024 International express here, Acknowledgement of Additional contract document requirements list

hereof shall be exercisable and enforceable by the Contractor against the New Company;
and

2.3.2 any and all rights, claims, counter-claims, demands and other remedies of the Company against the Contractor accrued under or in connection with the Contract prior to the date hereof shall be exercisable by the New Company against the Contractor.

2.4 the Company transfers its rights and obligations under the Contract to the New Company.

3. A person who is not a party to this Deed may not enforce any of its terms by virtue of the Contracts (Rights of Third Parties) Act 1999.

Executed as a deed by the parties and delivered on the date of this Deed

Executed as a deed by affixing the Common Seal of)
London Underground Limited)
in the presence of: -) *[Authorised Signatory]*

Executed as a Deed by [CONTRACTOR])
acting by)
) Authorised Signatory
and)
) Authorised Signatory

Executed as a Deed by [NEW COMPANY])
acting by)
) Authorised Signatory
and)
) Authorised Signatory

Schedule 8

Form of Parent Company Guarantee and Performance Bond

THIS GUARANTEE is made the _____ day of _____ 20[]

BETWEEN:

- (1) [] a company registered in England and Wales under number [] and having its registered office at [] (the "**Guarantor**");
- (2) [] a company registered in England and Wales under number [] and having its registered office at Windsor House, 42-50 Victoria Street, London SW1H 0TL (the "**Company**" which expression shall include its successors in title and assigns); and
- (3) [] a company registered in England and Wales under number [] and having its registered office at [] (the "**Contractor**").

WHEREAS:

- (A) This Guarantee is supplemental to a framework agreement pursuant to which contracts may be made (together the "**Contract**") for the carrying out of [] at [] made between (1) the Company and (2) the Contractor.
- (B) The Guarantor has agreed to guarantee to the Company the due and punctual performance of the Contract by the Contractor in the manner hereinafter appearing.
- (C) The Contractor is a party to this Guarantee in order to confirm its request that the Guarantor provide this Guarantee on the terms set out herein.

NOW IT IS HEREBY AGREED as follows:

1. The Guarantor unconditionally guarantees to the Company the proper and punctual performance and observance by the Contractor of all its obligations, warranties, duties, undertakings and responsibilities under the Contract and shall forthwith make good any default thereunder on the part of the Contractor and the Guarantor shall pay or be responsible for the payment by the Contractor to the Company of all sums of money, liabilities, awards, losses, damages, costs, charges and expenses that may be or become due and payable under or arising out of the Contract in accordance with its terms or otherwise by reason or in consequence of any such default on the part of the Contractor.
2. This Guarantee shall be a continuing guarantee and indemnity and accordingly shall remain in full force and effect until all obligations, warranties, duties and undertakings now or hereafter to be carried out or performed or observed by the Contractor under or arising out of the Contract have been duly and completely performed and observed in full.
3. The Guarantee is in addition to and not in substitution for any other security or warranty which the Company may at any time hold for the performance of any obligations, warranties, duties and undertakings under the Contract and may be enforced by the Company without first taking any