# NEC4 Engineering and Construction Short Contract

Lots 2				
National Property Flood Resilience Framework				
A contract between	The Environment Agency			
	Horizon House			
	Deanery Road			
	Bristol			
	BS1 5AH			
And				
For	Leatherhead and Fetcham Property Flood Resilience			
	Scheme – Supply and Install			
	Contract Forms - Contract Data - The Contractor's Offer and Client's Acceptance - Price List - Scope - Site Information			

### The Client's Contract Data

<b>,</b>	The Client is	
Name	Environment Agency	
Address for communications	Orchard House, London F ME19 5SH	Road, Addington, West Malling, k
Address for electronic communications		
The <i>works</i> are		resilience measures as detailed in ys as per LOT 2 of PFR Framework
The site is	74 residential properties in	Fetcham and Leatherhead, Surrey
The starting date is	20/072020	
The completion date is	31/03/2021	
The delay damages are	n/a	Per day
The <i>period</i> for reply is	2	weeks
The defects date is	52	weeks after Completion
The defects correction period is	4	weeks
The assessment day is	the last working day	of each month
The retention is	5	%
United Kingdom Housing Grants, Const	ruction and Regeneration Act	(1996) does not apply

In the event that a first dispute is referred to adjudication, the referring Party at the same time applies to the Institution of Civil Engineers to appoint an *Adjudicator*. The application to the Institution includes a copy of this

definition of the <i>Adjudicator</i> . The referring Party pays the administrative charge made by the Institution. The person appointed is also <i>Adjudicator</i> for later disputes.

### The Client's Contract Data

interest rate on late payment is	- % per complete week of delay.		olete week of delay.
•	•		
any one event, the liability of the <i>Contractor</i> e <i>Client</i> for loss of or damage to the <i>Client's</i> erty is limited to			
Client provides this insurance			
enter details here if the <i>Client</i> is to provid	de insurance.		
ent	Cover		Cover provided until
ss of or damage to the works	The replacement	cost	The <i>Client's</i> certificate of Completion has been issued
ss of or damage to Equipment, Plant and terials	The replacement	cost	The Defects Certificate has been issued
e Contractor's liability for loss of or damage property (except the works, Plant and terials and Equipment) and for bodily injury or death of a person (not an employee of the intractor) arising from or in connection with Contractor's Providing the Works	Minimum £5,000 respect of ever without limit number of claims	ry claim to the	
bility for death of or bodily injury to ployees of the <i>Contractor</i> arising out of and he course of their employment in connection has this contract	The amount required the applicable lav		
lure of the <i>Contractor</i> to use the skill and e normally used by professionals providing rks similar to the <i>works</i>	Minimum £5,000 respect of ever without limit number of claims	ry claim to the	6 years following Completion of the whole of the works or earlier termination
	T	0: 15 :	
Adjudicator nominating body is	ine institution of	Civil Engir	neers
tribunal is	litigation in the co	ourts	
conditions of contract are the NEC4 Engineer		tion Short	Contract June 2017 and the following
renter details here if the Client is to provident  sent  services of or damage to the works  services of or damage to Equipment, Plant and sterials  services contractor's liability for loss of or damage property (except the works, Plant and sterials and Equipment) and for bodily injury or death of a person (not an employee of the intractor) arising from or in connection with Contractor's Providing the Works  solility for death of or bodily injury to ployees of the Contractor arising out of and the course of their employment in connection in this contract  sure of the Contractor to use the skill and the normally used by professionals providing risks similar to the works  Adjudicator nominating body is  stribunal is	The replacement  The replacement  Minimum £5,000 respect of ever without limit number of claims  The amount required the applicable lave  Minimum £5,000 respect of ever without limit number of claims  The Institution of the litigation in the couring and Construct	0,000 in ry claim to the sourced by w	Completion has been issued  The Defects Certificate has be issued  6 years following Completion of the works or earl termination

Z1.0	Sub-contracting Sub-contracting
Z1.1	The <i>Contractor</i> submits the name of each proposed sub-contractor to the <i>Client</i> for acceptance. A reason for not accepting the sub-contractor is that their appointment will not allow the <i>Contractor</i> to Provide the Works. The <i>Contractor</i> does not appoint a proposed subcontractor until the <i>Client</i> has accepted him.
Z1.2	Payment to subcontractors and suppliers will be no more than 30 days from receipt of invoice.
Z2.0	Environment Agency as a regulatory authority
Z2.1	The Environment Agency's position as a regulatory authority and as <i>Client</i> under the contract is separate and distinct. Actions taken in one capacity are deemed not to be taken in the other.
Z2.2	Where statutory consents must be obtained from the Environment Agency in its capacity as a regulatory authority, the <i>Contractor</i> is responsible for obtaining these and paying fees. The <i>Client's</i> acceptance of a tender and the <i>Client's</i> instruction or variation of the works does not constitute statutory approval or consent.
Z2.3	An action by the Environment Agency as regulatory authority is not in its capacity as <i>Client</i> and is not a compensation event.
Z3.0	Confidentiality & Publicity
Z3.1	The Contractor may publicise the works only with the Client's written agreement
Z4.0	Correctness of Site Information
Z4.1	Site Information about the ground, subsoil, ducts, cables, pipes and structures is provided in good faith by the <i>Client</i> but is not warranted correct. The <i>Contractor</i> checks the correctness of any such Site Information they rely on for the purpose of Providing the Works.
Z5.0	The Contracts (Rights of Third Parties) Act 1999
Z5.1	For the purposes of the Contracts (Rights of Third Parties) Act 1999, nothing in this contract confers or purports to confer on a third party any benefit or any right to enforce a term of this contract.
Z6.0	Design
Z6.1	Where design is undertaken, it is the obligation of the <i>Contractor</i> to ensure the use of skill and care normally used by professionals providing similar design services.
Z6.2	The Contractor designs the parts of the works which the Scope states they are to design.
Z6.3	The Contractor submits the particulars of their design as the Scope requires to the Client for acceptance. A reason for not accepting the Contractor's design is that it does not comply with either the Scope or the applicable law.
	The Contractor does not proceed with the relevant work until the Client has accepted his design
Z6.4	The Contractor may submit their design for acceptance in parts if the design of each part can be assessed fully.
Z7.0	Change to Compensation Events
Z7.1	Delete the text of Clause 60.1(11) and replace by:
	The works are affected by any one of the following events
	War, civil war, rebellion revolution, insurrection, military or usurped power
	• Strikes, riots and civil commotion not confined to the employees of the <i>Contractor</i> and sub-contractors
	• Ionising radiation or radioactive contamination from nuclear fuel or nuclear waste resulting from the combustion of nuclear fuel
	Radioactive, toxic, explosive or other hazardous properties of an explosive nuclear device
	Natural disaster
	Fire and explosion
	Impact by aircraft or other device or thing dropped from them
Z8.0	Framework Agreement
Z8.1	The <i>Contractor</i> shall ensure at all times during this contract it complies with all the obligations and conditions of the Framework Agreement made with the <i>Client</i> .

Z9.0	Termination
Z9.1	Payment on Termination
	Replace Clause 92.3 with "If the <i>Contractor</i> terminates for Reason 1 or 6, the amount due on termination also includes 5% of any excess of a forecast of the amount due at Completion had there been no termination over the amount due on termination assessed as for normal payments".
Z10	Data Protection
Z10.1	Schedule 11 – Data Protection Schedule of the Deed of Agreement shall be incorporated into this Agreement.
Z10.2	A request or instruction pursuant to Schedule 11 by the <i>Project Manager</i> shall be treated as being a request or instruction by the <i>Client</i> .
Z10.3	For the avoidance of doubt, reference to Supplier in Schedule 11 is reference to the Contractor.
Z11	Liabilities and Insurance
Z11.1	Civil data protection claims and regulatory fines for breaches of Data Protection Legislation are excluded from any limit of liability stated.

The Contractor's Contract Data				
THE COMMACION'S COMMACI Data				
	The Contractor is			
Name	Flood Control NI Ltd			
Address for communications				
Address for electronic communications				
The fee percentage is		%		
The people rates are				
category of person	Unit	rate		
The published list of Equipment is				
The percentage for adjustment for Equipment is				

## The *Contractor's* Offer and *Client's* Acceptance

7 toooptarioo			
The <i>Contractor</i> offers to Provide the W determined in accordance with these of	orks in accordance with these conditions of contract for an amount to be conditions of contract.		
The offered total of the Prices is	£365,019		
	Enter the total of the Prices from the Price List.		
Signed on behalf of the Contractor	•		
Name			
Position			
Signature			
Date			
The Client accepts the Contractor's Off	fer to Provide the Works		
Signed on behalf of the Client			
Name			
Position			
Signature			
D-1-			
Date			

Price List					
Item Number	Description	Unit	Quantity	Rate	Price
	See attached Excel Leatherhead_Lot2_Property_List_v5.xls				
		The total of	the Prices	0005.04	_
		THE IOIAI OI	uie Filoes	£365,01	9
The method and rules used to compile the Price List are					
Framework rates					

## Scope

The Scope should be a complete and precise statement of the *Client's* requirements. If it is incomplete or imprecise there is a risk that the *Contractor* will interpret it differently from the *Client's* intention.

#### 1. Description of the works

Give a detailed description of what the *Contractor* is required to do and of any work the *Contractor* is to design.

The *Contractor* shall deliver the *works* in compliance with the framework scope found in PFR Framework Lot 2 Scope.docx

The Contractor is to complete the works as per the survey Consultant's reports, listed within 2. Drawings.

The Contractor shall raise any concerns with the survey Consultant suggestions with the Client and not the homeowner.

The *Contractor* shall attend individual homeowner meetings at each site with the *Client* prior to procurement of the measures to gain homeowner approval of the measures.

If a product suggested within the survey Consultant's reports is not possible to be fitted then a negative compensation event will be used to remove it from the works.

Any replacement of existing PFR measures will need an adequate justification by the *Contractor* and if replaced will be a compensation event.

An extra 11 houses within the area are eligible for PFR measures but have not yet been surveyed. Some, all or none of these may be included as a compensation event at the discretion of the *Client*.

To enable comparable pricing, for each property an assumption has been made for the number of flood doors and barriers. This is subject to change and will be dealt with via a compensation event. Justification is required by the *Contractor* to evidence the need for change.

The *Client* will lead on all stakeholder/homeowner engagement, unless permission is given to the *Contractor* in writing from the *Client*'s project manager.

#### 2. Drawings

List the drawings that apply to the contract.

List the drawings tha	t apply to the contract.	•
Drawing Number	Revision Title	
_	2 PFR Survey Report v1.0 3 PFR Survey Report v1.0	
_	5 PFR Survey Report v1.0	
_	5 PFR Survey Report v1.0	
_	7 PFR Survey Report v1.0	
_	3 PFR Survey Report v1.0	
	) PFR Survey Report v1.0	
_	L PFR Survey Report v1.0	
_	3 PFR Survey Report v1.0	
_	PFR Survey Report v1.0	
_		
_	PFR Survey Report v1.0	
	5 PFR Survey Report v1.0	
_	3 PFR Survey Report v1.0	
2019s1288 - LHAF020	2 PFR Survey Report v1.0	
_	PFR Survey Report v1.0	
2019s1288 - LHAF025		
_		
_	7 PFR Survey Report v1.0 3 PFR Survey Report v2.0	
_	PFR Survey Report v1.0	
_	PFR Survey Report v2.0	
_	PFR Survey Report v1	
_	3 PFR Survey Report v1.0	
_	PFR Survey Report v1.0	
2019s1288 - LHAF035		
_	5 PFR Survey Report v2.0	
_	PFR Survey Report v1.0	
1 2019s1288 - I HAF038	3 PFR Survey Report v1.0	
_	9 PFR Survey Report v1.0	
_	) PFR Survey Report v1	
_	L PFR Survey Report v1.0	
	2 PFR Survey Report v1	
_	3 PFR Survey Report v1.0	
2019s1288 - LHAF044	PFR Survey Report v1.0	
🔁 2019s1288 - LHAF045	5 PFR Survey Report v1.0	
2019s1288 - LHAF047	7 PFR Survey Report v1.0	

```
2019s1288 - LHAF048 PFR Survey Report v1.0
2019s1288 - LHAF049 PFR Survey Report v1.0
2019s1288 - LHAF050 PFR Survey Report v1.0
2019s1288 - LHAF051 PFR Survey Report v1.0
2019s1288 - LHAF052 PFR Survey Report v1.0
芃 2019s1288 - LHAF053 PFR Survey Report v1.0
🔁 2019s1288 - LHAF054 PFR Survey Report v1.0
2019s1288 - LHAF055 PFR Survey Report v1.0
2019s1288 - LHAF056 PFR Survey Report v1.0
2019s1288 - LHAF057 PFR Survey Report v1.0
2019s1288 - LHAF058 PFR Survey Report v1.0
2019s1288 - LHAF059 PFR Survey Report v1.0
2019s1288 - LHAF060 PFR Survey Report v1.0
🔁 2019s1288 - LHAF061 PFR Survey Report v1.0
芃 2019s1288 - LHAF062 PFR Survey Report v1.0
2019s1288 - LHAF063 PFR Survey Report v1.0
2019s1288 - LHAF065 PFR Survey Report v1.0
🔁 2019s1288 - LHAF067 PFR Survey Report v1
2019s1288 - LHAF069 PFR Survey Report v1.0
2019s1288 - LHAF070 PFR Survey Report v1.0
芃 2019s1288 - LHAF071 PFR Survey Report v1.0
2019s1288 - LHAF072 PFR Survey Report v1.0
2019s1288 - LHAF073 PFR Survey Report v1.0
2019s1288 - LHAF075 PFR Survey Report v1.0
🏂 2019s1288 - LHAF076 PFR Survey Report v1.0
芃 2019s1288 - LHAF077 PFR Survey Report v1.0
🔼 2019s1288 - LHAF079 PFR Survey Report v1.0
芃 2019s1288 - LHAF080 PFR Survey Report v1.0
2019s1288 - LHAF081 PFR Survey Report v1.0
🔁 2019s1288 - LHAF082 PFR Survey Report v1.0
2019s1288 - LHAF083 PFR Survey Report v1.0
芃 2019s1288 - LHAF084 PFR Survey Report v1.0
2019s1288 - LHAF085 PFR Survey Report v1.0
2019s1288 - LHAF086 PFR Survey Report v1.0
72 2019s1288 - LHAF088 PFR Survey Report v1.0
芃 2019s1288 - LHAF089 PFR Survey Report v1.0
🔁 2019s1288 - LHAF092 PFR Survey Report v1
🔁 2019s1288 - LHAF095 PFR Survey Report v1
```

#### 3. Specifications

List the specifications which apply to the contract.

Title	Date or Revision	Tick if publicly available
Current CDM Regulations		Yes
SHEW CoP	May 2018	No

#### 4. Constraints on how the Contractor Provides the Works

State any constraints on the sequence and timing of work and on the methods and conduct of work including the requirements for any work by the Client.

The Contractor must have permission from the Client prior to engaging with a homeowner

#### Working times

The Contractor will be permitted to work between 7.30am and 6.00pm on weekdays (Monday to Friday)

#### 5. Requirements for the programme

State whether a programme is required and, if it is, state what form it is to be in, what information is to be shown on it, when it is to be submitted and when it is to be updated.

State what the use of the works is intended to be at their Completion as defined in clause 11.2(1).

The *Contractor* submits his programme with the *Contractor*'s Offer for acceptance. The *Contractor* shows on each programme which he submits for acceptance (in form of Gantt chart showing the critical path, proposed order and timing to undertake the works and proposed plant and labour resources) the following:

- (a) Period required for mobilisation/ planning & post contract award
- (b) Starting date
- (c) Each of the activities listed within the Price List
- (d) Any key third party interfaces: lead in periods for materials and sub-contractors; time required to obtain consents/waste permits; stated constraints; Contractor's risks.
- (e) Completion date
- (f) Client updated weekly of changes to programme

#### 6. Services and other things provided by the Client

Describe what the <i>Client</i> will provide, such as servi Plant and Materials and equipment.	ces (including water and electricity) and "free issue"
Item	Date by which it will be provided
7. Site Information	
Please see APPENDIX A PFR Site Information Table LI	HAF.xls

Proposed sub-contractors		
	Name and address of proposed subcontractor	Nature and extent of work
1.		
	Form of Contract:	
2.		
	Form of Contract:	
3.		
	Form of Contract:	
4.		
	Form of Contract:	